



Special Meeting of the Board of Directors

Tuesday, November 25, 2014

9:00 a.m.

Antelope Valley Transit Authority Community Room
42210 6th Street West, Lancaster, California
www.avta.com

AGENDA

For record keeping purposes, and in the event that staff may need to contact you, we request that a speaker card, located at the Community Room entrance, be completed and deposited with the AVTA Clerk of the Board. This will then become public information. Please note that you are not required to complete this form or to state your name in order to speak. A three-minute time limit will be imposed on all speakers other than staff members.

In accordance with the Americans with Disabilities Act of 1990, if you require a disability-related modification or accommodation to attend or participate in this meeting, including auxiliary aids or services, please contact the Clerk of the Board at (661) 729-2206 at least 72 hours prior to the scheduled Board of Directors meeting.

Limited English Proficiency (LEP) persons, if you require translation services, please contact the Clerk of the Board at (661) 729-2206 at least 72 hours prior to the meeting.

Please turn off, or set to vibrate, cell phones, pagers, and other electronic devices for the duration of this meeting.

CALL TO ORDER

PLEDGE OF ALLEGIANCE

ROLL CALL:

Chairman Norm Hickling, Vice Chairman Marvin Crist, Alternate Director Fred Thompson, Director Steve Hofbauer, Director Dianne Knippel and Director Sandra Johnson

APPROVAL OF AGENDA

PUBLIC BUSINESS FROM THE FLOOR:

If you wish to address the AVTA Board on any agenda item but are unable to stay until the item is called, your comments may be made at this time. Please give your completed Speaker Card (available as you enter the Community Room) to the Clerk of the Board. Speaking clearly, state and spell your name for the record. Each speaker is limited to three (3) minutes.

MISCELLANEOUS BUSINESS – NON-AGENDA ITEMS:

This portion of the meeting allows the public the opportunity to address the Board of Directors of the Antelope Valley Transit Authority on non-agenda items that are within the Board's subject matter jurisdiction. **State law generally prohibits the Board of Directors from taking action on or discussing non-agenda items.** Your matter will be referred to the Authority's Executive Director for follow-up. Individual speakers are limited to three (3) minutes each.

SPECIAL REPORTS and PRESENTATIONS (SRP):

During this portion of the meeting, staff will present information that would not normally be covered under regular meeting items. This information may include, but is not limited to budget presentations, staff conference presentations, or information from outside sources that relates to the transit industry. **These items are for discussion purposes, and unless otherwise indicated do not require Board action.**

SRP 1 PRESENTATION OF TRANSDEV OPERATOR OF THE MONTH AND EMPLOYEE OF THE MONTH FOR OCTOBER – HECTOR FUENTES, TRANSDEV

SRP 2 STATE LEGISLATIVE UPDATE FOR NOVEMBER 2014 – WENDY WILLIAMS

Recommended Action: Receive and file the State Legislative Update for November 2014.

SRP 3 FEDERAL LEGISLATIVE UPDATE FOR NOVEMBER 2014 – JUDY VACARRO-FRY

Recommended Action: Receive and file the Federal Legislative Update for November 2014.

SRP 4 FY15 FIRST QUARTER KEY PERFORMANCE INDICATORS REPORT (JULY 1 – SEPTEMBER 30, 2014) – DIETTER ARAGON

Recommended Action: Receive and file the FY15 First Quarter Key Performance Indicators Report for the period covering July 1 through September 30, 2014.

CONSENT CALENDAR (CC): Items 1 through 6 are consent items that may be received and filed and/or approved by the board in a single motion. If any member of the Executive Board wishes to discuss a consent item, please request that the item be pulled for further discussion and potential action.

CC 1 BOARD OF DIRECTORS MEETING MINUTES FOR OCTOBER 28, 2014 – KAREN DARR

Recommended Action: Approve the Board Meeting Minutes for October 28, 2014.

CC 2 FINANCIAL REPORTS – SEPTEMBER AND OCTOBER 2014 – COLBY KONISEK

Recommended Action: Receive and file the Treasurer's Report for the month ended September 30, 2014; the Payroll History Report for the three months ended October 31, 2014; the Cash Disbursements Report for the month ended October 31, 2014; the Interim Financial Statements for the three months ended September 30, 2014; and the Fiscal Year-to-Date Budget versus Actual Report for the month ended September 30, 2014.

CC 3 FY15 FIRST QUARTER CAPITAL RESERVES REPORT (JULY 1 – SEPTEMBER 30, 2014) – COLBY KONISEK

Recommended Action: Receive and file the FY15 First Quarter Capital Reserves Report for the period covering July 1 through September 30, 2014.

CC 4 FY15 FIRST QUARTER GRANT STATUS REPORT (JULY 1 – SEPTEMBER 30, 2014) – JUDY VACCARO-FRY

Recommended Action: Receive and file the FY15 First Quarter Grant Status Report for the period covering July 1 through September 30, 2014.

CC 5 SEMI-ANNUAL FEDERAL FISCAL YEAR (FFY) 2013/14 DISADVANTAGED BUSINESS ENTERPRISE (DBE) AND SMALL BUSINESS ENTERPRISE (SBE) OUTREACH STATUS REPORT (APRIL 1 THROUGH SEPTEMBER 30, 2014) – LYLE BLOCK

Recommended Action: Receive and file the semi-annual FFY 2013/14 DBE and SBE Outreach Status Report for the period covering April 1 through September 30, 2014.

CC 6 AWARD CONTRACT NO. 2015-05 TO MUFG UNION BANK FOR BANKING SERVICES - LYLE BLOCK

Recommended Action: Authorize the executive director to execute Contract No. 2015-06 with MUFG Union Bank for banking services.

NEW BUSINESS (NB):

- NB 1 AWARD CONTRACT NO. 2015-06 TO THE "G" CREW FOR LABOR COMPLIANCE SERVICES – LYLE BLOCK

Recommended Action: Authorize the executive director to execute Contract No. 2015-06 for Labor Compliance Services to The "G" Crew, Glendale, CA, a certified DBE firm.

- NB 2 ADOPTION OF RECOMMENDATIONS INCLUDED IN THE ROUTE TO SUCCESS – AVTA COMPREHENSIVE OPERATIONAL ANALYSIS & TEN-YEAR PLAN – LEN ENGEL/SENIOR ASSOCIATE JAMES GAMEZ, NELSON/NYGAARD CONSULTING ASSOCIATES INC.

Recommended Action: Adopt the major recommendations as outlined in the Route to Success – AVTA Comprehensive Operational Analysis & Ten-Year Plan.

- NB 3 FARE STRUCTURE RECOMMENDATIONS – WENDY WILLIAMS/SCOTT CHAPMAN, SENIOR ASSOCIATE, NELSON/NYGAARD CONSULTING ASSOCIATES INC.

Recommended Action: Receive and file the Fare Structure Analysis and direct staff to seek public input on the recommendations outlined in the report.

- NB 4 DRAFT AUDITED FINANCIAL STATEMENTS WITHIN THE COMPREHENSIVE ANNUAL FINANCIAL REPORT (CAFR) AND SINGLE AUDIT REPORT OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2014 – SCOTT DIONNE, AUDIT PARTNER AND TOM HUEY, SENIOR MANAGER, WINDES, INC

Recommended Action: Approve the Draft CAFR and Single Audit of Federal Awards for the year ended June 30, 2014.

- NB 5 AUTHORIZE EXECUTIVE DIRECTOR TO PURCHASE EIGHT CLEAN DIESEL BUSES FROM GILLIG CORPORATION UNDER CONTRACT NO. 2014-12 – LEN ENGEL

Recommended Action: Authorize the executive director to purchase eight (8) clean diesel buses from Gillig Corporation under Contract No. 2014-12.

REPORTS AND ANNOUNCEMENTS (RA):

- RA 1 Executive Director's Report

MISCELLANEOUS BUSINESS – NON-AGENDA BOARD OF DIRECTORS ITEMS:

During this portion of the meeting, Board Members may address non-agenda items by briefly responding to statements made or questions posed by the public, asking a question for clarification, making a brief announcement, or making a brief report on their own activities. **State law generally prohibits the AVTA Board of Directors from taking action on or discussing items not on the agenda.** Matters will be referred to the Executive Director for follow-up.

ADJOURNMENT:

The meeting was adjourned to the next Regular meeting of the Board of Directors on January 27, 2015 at 10:00 a.m. in the Antelope Valley Transit Authority Community Room, 42210 6th Street West, Lancaster, California.

The Agenda was posted by 5:00 p.m. on November 20, 2014 at the entrance to the Antelope Valley Transit Authority, 42210 6th St. West, Lancaster, CA 93534.

Copies of the staff reports and attachments or other written documentation relating to each proposed item of business on the agenda presented for discussion by the Board of Directors are on file in the Office of the Executive Director. Additionally, any disclosable public records related to an open session item on a regular meeting agenda and distributed by the AVTA to the Board of Directors less than 72 hours prior to that meeting are on file in the Office of the Executive Director. These documents are available for public inspection during regular business hours at the Customer Service window of the AVTA located at 42210 6th Street West, Lancaster or by contacting the Clerk of the Board at (661) 729-2206.



DATE: November 20, 2014

TO: BOARD OF DIRECTORS

SUBJECT: State Legislative Update for November 2014

RECOMMENDATION

That the Board of Directors receive and file the State Legislative Update for November 2014.

FISCAL IMPACT

No fiscal impact at this time.

BACKGROUND

There is no news to report from the State Legislature as the legislative cycle ended on September 30. However, efforts are underway throughout the state to develop guidelines for disbursement of Cap and Trade auction proceeds. AVTA is actively participating in workshops to stay updated and intends to submit various applications in January to seek funding for additional electric buses and infrastructure needs. AVTA is in the process of submitting an application for grant funding that will soon be available through the Low Carbon and Zero Emissions Programs.

The FY15 State Budget sets aside \$200 million in Cap and Trade revenue to fund zero emission vehicles. This money will be dispersed by the California Air Resources Board (ARB) to regional Air Quality Management Districts. The money will not be issued through The Carl Moyer Memorial Air Quality Standards Attainment Program (Carl Moyer Program) as initial reports suggested. Rather, ARB has indicated Air Quality Districts will be asked to manage a separate Cap and Trade funding account. The Antelope Valley Air Quality Management District (AVAQMD) expects to know by early January their funding allocation for this program. AVTA's Grants Administrator is currently in the process of applying for this new source of funding that is dedication to zero emission pilot programs. Staff intends to seek funding to cover the additional costs associated with an electric bus procurement and supporting infrastructure needs.

The ARB funding plan for FY15 totals \$222 million, including \$200 million from the Cap and Trade revenue. A total of \$116 million has been allocated to the Clean Vehicle Rebate Project (CVRP) with another \$10 million allocated to the Truck Loan Assistance Program. A total of \$85 million will go toward low carbon trucks and buses. Of this last amount, \$20 - \$25 million is allocated to large scale pilot projects that demonstrate zero emission technologies in the freight and transit sectors. We had originally been told that \$100 million would be available for this portion.

According to state legislation, 50% of Cap and Trade revenue for the low carbon and zero emission program must benefit disadvantaged communities. The California Environmental Protection Agency (CalEPA) developed a mapping tool called CalEnviroScreen to identify disadvantaged communities in the state. The mapping tool combined pollution factors and vulnerability to pollution factors such as poverty, unemployment, and poor health. This mapping tool identified the top 25% of disadvantaged communities (DACs) in California of which none were located in the Antelope Valley. This does not mean AVTA is eliminated as a qualified candidate but it does mean the agency could be less competitive in the application process for the numerous funding sources available through Cap and Trade programs.

ALIGNMENT WITH AGENCY GOALS

This report complies with AVTA's goal of operating an effective transit system through the integration of electric bus technology.

Prepared by:

Submitted by:

Wendy Williams
Director of Communications

Julie M. Austin
Executive Director



DATE: November 25, 2014

TO: BOARD OF DIRECTORS

SUBJECT: Federal Legislative Update for November 2014

RECOMMENDATION

That the Board of Directors receive and file the Federal Legislative Update for November 2014.

FISCAL IMPACT

There is no fiscal impact at this time.

BACKGROUND

Reauthorization bill: With the change in leadership after the recent election, funding for transportation programs may take a different position. The new leadership will be pushing for a way to fund a bill that would have tax cuts tied to any increase in spending. Congress needs to reauthorize the federal transit and highway bill before the recently extended MAP-21 law expires May 31, 2015. Getting a surface transportation bill through both Houses of Congress and to the President's desk before June will require legislative action early in the 114th Congress, which begins in January. Steve Palmer of Van Scoyoc, our government relations firm, has offered to come out in January to discuss AVTA's legislative strategy for the upcoming Congressional session.

2015 Appropriations: The House and Senate are continuing to work on the appropriations bill to keep the government funded through December 11, 2014, which were extended under a continuing resolution.

FTA Administrator: On Thursday November 13, the Senate Committee on Banking, Housing, and Urban Affairs began hearings to consider the nomination of Therese McMillan to be Federal Transit Administrator. Ms. McMillan has been serving as Acting

Administrator for the past several months and was Deputy FTA Administrator from 2009-2014.

Elections: Congressman Kevin McCarthy was overwhelmingly reelected and will retain his post as the House Majority Leader, and Senator Steve Knight was successful in his bid to win the 25th congressional district seat. We have prepared congratulatory letters to both of our esteemed representatives.

Prepared by:

Submitted by:

Judy Vaccaro-Fry
Grants Administrator

Julie M. Austin
Executive Director



DATE: November 25, 2014

TO: BOARD OF DIRECTORS

SUBJECT: FY15 First Quarter Key Performance Indicators Report (July 1 – September 30, 2014)

RECOMMENDATION

That the Board of Directors receive and file the FY15 First Quarter Key Performance Indicators report for the period covering July 1 through September 30, 2014.

SUMMARY

The Key Performance Indicators report provides an analysis of Antelope Valley Transit Authority's (AVTA) main goals on a monthly basis. Data is collected from a variety of sources such as the farebox, contractor reports, and financial performance reports.

ANALYSIS

AVTA's fixed route local and commuter service performance is based on several key indicators. These include total monthly ridership, vehicle service hours, fare revenues, and total operating expenses. Following are highlights of the system performance and indicators correlating to each respective goal.

Boardings – A total of 918,039 boardings were recorded during the first quarter of FY15. This was equal to FY14 first quarter boardings. As in previous fiscal years, ridership is following the same trends, beginning to pick up during the months of September and peaking in October.

Fare Revenue –A total of \$1.2 million was received in fare revenues during the first quarter of FY15. This was also equal to last fiscal year's first quarter results. This produced an average fare per boarding of \$1.32. The largest revenue increase came from commuter

fares, totaling \$684,877. Fare revenues for local service totaled \$533,787, which reflected a 2% decrease from FY14 first quarter local revenues. The largest contributor to this decrease was the continued rise in free boardings, particularly in Access and Disabled boardings.

Operating Expenses – Expenditures during the first quarter of FY15 totaled to \$5 million. This was a 2.5% increase over the FY14 first quarter results. This is in line with Transdev's yearly contractor rate increase, along with increases in indirect (overhead) costs when compared to the previous fiscal year.

KEY PERFORMANCE INDICATORS (KPIs) – AVTA met five out of nine performance targets during the first quarter of FY15. Schedule Adherence continued to average about 95.1%, just below the new performance target. Service changes implemented in October are expected to improve this measure. Average Hold Time continued to decrease. Although the first quarter average of one minute ten seconds reflected a great improvement over the previous fiscal year's one minute 21 seconds, it fell just short of the new goal of one minute. Boardings per service hour were just below the new performance target of 21.5, at 21.3 average boardings per hour.

Goal 1 – Operate a Safe Transit System – This is measured by the number of preventable accidents for every 100,000 miles of fixed route operation.

Accidents [Target - .50 preventable accidents/100,000 miles] –The performance target of .50 preventable accidents per 100,000 miles was decreased from the previous performance target of .90 (lower is better). During the first quarter a total of four preventable accidents were recorded, resulting in an average of 0.48 preventable accidents per 100,000 miles.

Goal 2 – Provide Outstanding Customer Service – This is measured by complaints per 100,000 boardings, schedule adherence, average hold time, and average miles between service interruptions.

Customer Complaints [Target – 7 complaints/100,000 boardings] – Valid complaints recorded during the FY15 first quarter were at an all-time low, with the exception of September, where we saw a slight spike in complaints, specifically on the 785 and 787 commuter services. During this time of the year school was in full swing, and with the rise in student ridership, coupled with a surplus of previous Metrolink riders, complaints began to rise and will most likely peak during the month of October. However, valid complaints did remain just below the new performance target of 7 complaints per 100,000 boardings at 6.64 during the first quarter.

Schedule Adherence [Target - 96%] –Approximately 5,400 time point checks were conducted by our road supervisors throughout the first quarter, producing an average on-time performance (OTP) of 95%. The most frequent delays occurred on local routes

11/12 and on the commuter routes. With the October service enhancements, we anticipate that OTP will improve on those particulate routes, thus improving the overall OTP totals.

Average Hold Time [Target – 1:00 minutes] – One minute 10 seconds was the average hold time recorded during the first quarter. This was an improvement of almost 14% over the FY14 first quarter hold time of one minute 21 seconds. Of the 56,002 calls received during the first quarter, 10,144 were abandoned before they could be answered by a customer service representative. It should be noted that our CSR's handled approximately 20% more calls during the first quarter of FY 2015 when compared to the same time frame last year. Additional staffing has helped tremendously in handling more customer incoming calls.

Average Miles Between Service Interruptions [Target – 14,500 Miles] – A total of 833,973 miles were operated during the FY15 first quarter. With an average of 16,679 miles between service interruptions, this was a 12% improvement over the previous fiscal year. This was also above the new performance target of 14,500 miles between service interruptions.

Goal 3 – Operate an Effective Transit System – AVTA measures its overall effectiveness by monitoring boardings per vehicle service hour and average weekday boardings.

Boardings per Vehicle Service Hour [Target – 21.5] – The FY15 first quarter total boardings, coupled with an almost 3% increase in service hours, produced an average of 21.3 boardings per vehicle service hour during the first quarter of FY15. This was a slight decrease of 3% from the FY14 first quarter results (21.9), and just below the new performance target average of 21.5.

Average Weekday Boardings [Target – 12,700] – Average weekday boardings during the first quarter of FY15 were 13,147, well above the new performance target of 12,700 and equal to the FY14 first quarter average of 13,099.

Goal #4 – Operate an Efficient Transit System – AVTA makes efficient use of available resources by monitoring farebox recovery ratio and average cost per vehicle service hour.

Average Cost Per Vehicle Service Hour [Target - \$125.69] – The average cost per vehicle service hour during the FY15 first quarter was \$116.15. This was well below the performance target of \$125.69 and equal to the FY14 first quarter results. The increase in service hours coupled with similar increases in operating costs resulted in a flat cost per hour when compared to the previous fiscal year.

Farebox Recovery Ratio [Target – 22.51%] – The average farebox recovery ratio during the first quarter of FY14 was 24.24%. This reflects a decrease of 3% over the FY14 first quarter and was well above the new performance target of 22.51%. The decrease of 3%

over the previous fiscal year was due to our relatively flat revenues (less than 1%) and operating cost increases of 2.5% over the same period last year.

ALIGNMENT WITH FY15 BUSINESS PLAN GOALS

The key performance indicators align with AVTA's FY15 goals as they measure overall performance in safety, customer service, efficiency and effectiveness.

Prepared by:

Submitted by:

Dietter A. Aragón
Senior Planning Officer

Julie M. Austin
Executive Director

Attachments: A and B - Summary of System Goals and Performance

Antelope Valley Transit Authority | SRP 4.A: Key Indicators Report
Quarter 1

Goal	Performance Indicator	Performance Target	FY 15 Year to Date	YTD Meets/Exceeds	FY 14 Year to Date	% Change
Overall System Performance	Total Boardings	N/A	918,039	N/A	918,948	-0.10%
	Vehicle Service Hours	N/A	43,023	N/A	41,899	2.68%
	Total Fare Revenue	N/A	\$ 1,211,219	N/A	\$1,218,663	-0.61%
	Total Operating Expense	N/A	\$ 4,997,360	N/A	\$4,872,112	-2.57%
Safe Transit System	Preventable Accidents per 100,000 Miles	0.50	0.48	X	0.48	0.42%
Provide Outstanding Customer Service	Complaints per 100,000 Boardings	7.0	6.64	X	9.15	27.35%
	Schedule Adherence	96.1%	95.1%	DNM	97.6%	-2.60%
	Average Hold Time	1:00	1:10	DNM	1:21	13.58%
	Average Miles Between Service Interruptions	14,500	16,679	X	14,894	11.99%
Operate an Effective Transit System	Boardings per Vehicle Service Hour	21.5	21.3	DNM	21.9	-2.71%
	Average Weekday Boardings	12,700	13,147	X	13,099	0.37%
Operate an Efficient Transit System	Average Cost per Vehicle Service Hour	\$125.69	\$116.15	X	\$116.28	0.11%
	Farebox Recovery Ratio	22.51%	24.24%	X	25.01%	-3.10%

DNM = Does Not Meet

Antelope Valley Transit Authority | SRP 4.B: Operations Report
 Quarter 1

Goal	Performance Target	FY 15 Year to Date	YTD Meets/Exceeds	FY 14 Year to Date	% Change
Average Fare per Boarding	\$1.37	\$1.32	DNM	\$1.33	-0.51%
Average Cost per Boarding	\$6.11	\$5.44	X	\$5.30	-2.67%
Average Subsidy per Boarding	\$4.73	\$4.12	X	\$3.98	-3.73%
Revenue Miles	N/A	743,932	N/A	697,847	6.60%
Total Vehicle Miles	N/A	833,973	N/A	851,979	-2.11%
Total Vehicle Hours	N/A	47,840	N/A	46,185	3.58%
In-Service Speed	N/A	17.3	N/A	16.7	3.82%
Boardings per Vehicle Service Mile	N/A	1.23	N/A	1.32	-6.29%



Regular Meeting of the Board of Directors

Tuesday, October 28, 2014

10:00 a.m.

Antelope Valley Transit Authority Community Room
42210 6th Street West, Lancaster, California
www.avta.com

UNOFFICIAL MINUTES

CALL TO ORDER:

Chairman Hickling called the meeting to order at 10:00 a.m.

PLEDGE OF ALLEGIANCE:

Director Johnson led the Pledge of Allegiance.

ROLL CALL:

Present

Chairman Norm Hickling
Vice Chairman Marvin Crist
Alternate Director Fred Thompson
Director Dianne Knippel
Director Sandra Johnson

Absent

Director Tom Lackey

Director Lackey arrived at 10:01 a.m.

APPROVAL OF AGENDA:

Motion: Approve the agenda as comprised.

Moved by Vice Chairman Crist, seconded by Director Knippel

Vote: Motion carried (6-0-0-0)

Yeas: Chairman Hickling, Vice Chairman Crist, Directors Lackey, Knippel and Johnson, and Alternate Director Thompson

Nays: None

Abstain: None

Absent: None

PUBLIC BUSINESS FROM THE FLOOR:

There was no public business presented

MISCELLANEOUS BUSINESS – NON-AGENDA ITEMS:

Jerel Arbaugh, a member of the public, spoke about the policy regarding the operators using the bus radios while driving and overcrowding on the local transit buses during peak times. Araciela Sosa, a member of the public, was concerned about the schedule changes for the 785 commuter service and that a 10 minute schedule delay added 30 minutes to her travel time. Chairman Hickling referred both speakers to meet with Senior Planning Officer Dieter Aragon.

SPECIAL REPORTS and PRESENTATIONS (SRP):

SRP 1 PRESENTATION OF TRANSDEV OPERATOR OF THE MONTH AND EMPLOYEE OF THE MONTH FOR SEPTEMBER

General Manager Hector Fuentes and Director of Finance Colby Konisek presented plaques to Mauricio Palacios, Operator of the Month for September and Claudia Salty, Employee of the Month for September.

Mr. Fuentes also introduced Transdev's new Operations Manager Kyle Souza.

SRP 2 RECOGNITION OF TRANSDEV COACH OPERATOR LUIS GARCIA, JR.

Chairman Hickling presented a Certificate of Recognition to Coach Operator Luis Garcia, Jr. for his quick response when a NABI bus caught on fire on October 1, 2014.

SRP 3 PRESENTATION OF AVTA EMPLOYEE OF THE QUARTER (JULY 1 THROUGH SEPTEMBER 30, 2014) – COLBY KONISEK

Director of Finance Colby Konisek presented a plaque to Customer Service Supervisor Carlos Lopez, AVTA's Employee of the Quarter.

CONSENT CALENDAR (CC):

CC 1 BOARD OF DIRECTORS MEETING MINUTES FOR SEPTEMBER 23, 2014 – KAREN DARR

Motion: Approve Consent Calendar item 1.

Moved by Director Johnson, seconded by Director Knippel

Vote: Motion carried (4-0-2-0)

Yeas: Chairman Hickling, Directors Knippel and Johnson, and Alternate Director Thompson

Nays: None

Abstain: Vice Chairman Crist and Director Lackey

Absent: None

CC 2 FINANCIAL REPORTS –AUGUST AND SEPTEMBER 2014 – COLBY KONISEK

CC 3 FY15 FIRST QUARTER LOS ANGELES COUNTY SHERIFF'S DEPARTMENT REPORT – JULY 1 THROUGH SEPTEMBER 30, 2014 – WENDY WILLIAMS

Motion: Approve Consent Calendar items 2 and 3.

Moved by Vice Chairman Crist, seconded by Director Johnson

Vote: Motion carried (6-0-0-0)

Yeas: Chairman Hickling, Vice Chairman Crist, Directors Lackey, Knippel and Johnson, and Alternate Director Thompson

Nays: None

Abstain: None

Absent: None

NEW BUSINESS (NB):

NB 1 AWARD CONTRACT NO. 2015-03 TO TRANSDEV, INC. FOR DIAL-A-RIDE PARATRANSIT SERVICES – LYLE BLOCK

The Board waived the presentation of the staff report. There was no Board discussion regarding this item.

Motion: Authorize the executive director to execute Contract No. 2015-03 with Transdev, Inc. for Dial-a-Ride paratransit services.

Moved by Vice Chairman Crist, seconded by Director Knippel

Vote: Motion carried (6-0-0-0)

Yeas: Chairman Hickling, Vice Chairman Crist, Directors Lackey, Knippel and Johnson, and Alternate Director Thompson

Nays: None

Abstain: None

Absent: None

NB 2 AWARD CONTRACT NO. 2015-19 TO APOLLO VIDEO TECHNOLOGY, INC. FOR APOLLO SYSTEM VIDEO UPGRADE – LEN ENGEL

The Board waived the presentation of the staff report. There was no Board discussion regarding this item.

Motion: Authorize the executive director to execute Contract No. 2015-19 with Apollo Video Technology, Inc. for the Apollo system video upgrade.

Moved by Vice Chairman Crist, seconded by Director Knippel

Vote: Motion carried (6-0-0-0)

Yeas: Chairman Hickling, Vice Chairman Crist, Directors Lackey, Knippel and Johnson, and Alternate Director Thompson

Nays: None

Abstain: None

Absent: None

REPORTS AND ANNOUNCEMENTS (RA):

RA 1 Executive Director's Report - Mr. Konisek provided the following information in Executive Director Julie Austin's absence.

- The first purchase order for the electric bus project infrastructure was executed and covers the planning costs for the WAVE charging systems at Lancaster City Park and Palmdale Transportation Center (PTC). The next steps in the project will include construction and electrical planning, Southern California Edison requirements, construction scheduling, and the main engineering plans.
- At the Board's request, Ms. Austin, Grants Administrator Judy Vaccaro-Fry, Mr. Konisek, Federal Advocates Steve Palmer and David Haines conducted a debriefing session with Howard Hill from the United States Department of Transportation (DOT) regarding why AVTA's application for the TIGER grant was not selected. Below are the details of the session:
 - Over 800 applications were received with only 71 projects awarded funds. Mr. Hill stated there was a 96% failure rate and only a 4% approval rate for this round of TIGER grants.
 - Although AVTA provided good discussion on the description of the project, areas served, demographic data, partnerships, national applicability, project readiness and capacity, project management plan, and environmental sustainability, AVTA could have expanded on how the grant would improve the quality of life and safety for the citizens of the Antelope Valley and the surrounding region.
 - AVTA's application made it through the "acceptable" round and the "recommend" round; however, it was not promoted to Secretary Foxx as "highly recommended." The largest factor for AVTA's project not

advancing to the “highly recommend” phase was due to the overall geographic distribution of funds. Because California was awarded more funds than any other state, the DOT wanted to be more equitable in distributing funds across the nation.

- Lastly, since AVTA receives Federal 5307 funds, the Authority can proceed with the project without the award of TIGER Funds.
- Two additional daily trips have been added to commuter route 786 to West Los Angeles to meet passenger demands and alleviate overcrowding.
- MEETINGS/CONFERENCES: Director of Communications Wendy Williams is speaking at the SmartRail Conference in Charlotte, North Carolina October 28 through October 31. Finance Supervisor Rong Nemeschy, IT Supervisor Bob Keys, and Mr. Konisek are attending the annual User's Group Meeting for Tyler Incode in Fresno October 28 through October 31. Ms. Williams, Mr. Keys, and Mr. Konisek will be attending the California Transit Authority (CTA) Fall Conference in Monterey November 11 through November 14. Although Ms. Austin will be having surgery, she plans to attend the CTA conference. Mr. Keys and Mr. Konisek will be attending the TransTrack User's Group on Tuesday, November 11.

MISCELLANEOUS BUSINESS – NON-AGENDA BOARD OF DIRECTORS ITEMS:

Chairman Hickling and Vice Chairman Crist stated that AVTA and the BYD electric bus were a prominent part of APTA's Annual Meeting and Expo. Chairman Hickling reiterated to staff and the Board the importance of applying for all grant opportunities. Vice Chairman Crist stated his belief that BYD's battery technology provides a leading edge over the competition and was the optimal procurement choice. He also mentioned the city of Lancaster's plan to close the Lancaster Metrolink Station and the request for a bus bridge to the Palmdale Transportation Center.

ADJOURNMENT:

Chairman Hickling adjourned the meeting at 10:35 a.m. in memory of IntelliRide Project Manager Dan Thomas' mother, Mary Thomas and Transdev, Inc. Safety & Training Manager Quida Johnson's husband, Greg Forte.

The next Regular Meeting of the Board of Directors will be held on November 25, 2014 at 10:00 a.m. in the Antelope Valley Transit Authority Community Room, 42210 6th Street West, Lancaster, California.

PASSED, APPROVED, and ADOPTED this 25th day of November, 2014.

Norman L. Hickling, Chairman

Julie M. Austin, Secretary

Audio recordings of the Board of Directors Meetings are maintained in accordance with state law and AVTA's Records Retention Policy. Please contact the Clerk of the Board at 661/729-2206 to make arrangements to review a recording.



DATE: November 25, 2014

TO: BOARD OF DIRECTORS

SUBJECT: Financial Reports for September and October 2014

RECOMMENDATION

Receive and file the Treasurer's Report for the month ended September 30, 2014 (Attachment A); the Payroll History Report for the three months ended October 31, 2014 (Attachment B); the Cash Disbursements Report for the month ended October 31, 2014 (Attachment C); the Interim Financial Statements for the three months ended September 30, 2014 (Attachment D); and the Fiscal Year-to-Date Budget versus Actual Report dated September 30, 2014 (Attachment E).

FISCAL IMPACT

Payroll: October payroll of \$191,465 represents an increase of \$2,876 or 1.5% from the prior month's salary expense.

Expenditures: \$1,636,414

Interim Financial Statements: Change in Net Assets: (\$1,265,776), which includes YTD depreciation expense of \$1,317,079

BACKGROUND

To comply with the provisions required by Sections 37202, 37208 and 6505.5 of the Government Code, the Director of Finance prepares the Treasurer's Report, Payroll History Report, Cash Disbursements Report, Interim Financial Report and Budget versus Actual report, and submits them to the Executive Director/Treasurer who certifies the availability of funds for all the reports presented herein. These reports are hereby submitted to the Board of Directors for ratification.

AVTA's gross payroll for employees for the month of October 2014, exclusive of benefits, payroll taxes and service charges, is shown below:

Payroll Period	Amount	Journal #
09/21/14-10/04/14	\$95,841.94	PYPKT00587
10/05/14-10/18/14	\$95,623.18	PYPKT00589
Gross Pay Oct, 2014	\$191,465.12	

There was an increase of \$2,876 in payroll expense from September to October, attributable to a new hire in the Customer Service Department.

Register of Demands authorized the issuance of warrants in the following amount:

Register Date	Amount
10/01 - 10/31/14	\$1,636,414.45

Large items include TransDev Services, Inc. (August invoice - \$1,065,686), Pinnacle Petroleum (fuel - \$163,307), IntelliRide (DAR July and August invoices - \$176,043), Nelson/Nygaard Consulting Associates, Inc. (Comprehensive Operational Analysis and Ten-Year Plan - \$57,375) and Windes, Inc. (audit fees - \$40,000). These items comprise 91.8% of total expenditures for the month.

As of September 2014, the total cash per the general ledger is \$23,780,852. After deducting restricted funds, the operating cash balance is \$9,762,784. The net of receivables inflows and accounts payables outflows is projected to be a net use of cash of (\$1,312,192); the total expected cash available for operations in the next 30 days is \$8,450,592. The available cash is equal to 2.8 times the Authority's minimum cash balance target of \$3 million.

BUDGET TO ACTUAL NARRATIVE

Attachment E – Budget to Actual Report (BAR) shows the unaudited result for the three months ended September 30, 2014.

Income (net of depreciation) was favorable to budget by \$106K.

Revenues were unfavorable to budget by \$303K.

Budgeted federal funding was less than actual due to timing; the difference will be made up during the fiscal year.

Expenses were favorable to budget by \$409K.

Consulting was favorable due to timing as several projects have not begun; some expenses will be grantable to federal funds.

ALIGNMENT WITH FY15 BUSINESS PLAN GOALS

OPERATE AN EFFICIENT TRANSIT SYSTEM: Improve financial reporting and increase investment income.

I, Julie M. Austin, Executive Director of AVTA, declare that the attached reports are accurate and correct.

Prepared by:

Submitted by:

Colby Konisek
Director of Finance

Julie M. Austin
Executive Director/Treasurer

Attachments: A – Treasurer's Report for the Month Ended September 30, 2014
B – Payroll History Comparison Report (August - October, 2014)
C – Cash Disbursements Report (October 1 - October 31, 2014)
D – Interim Financial Statements as of September 30, 2014
E – Budget Versus Actual report as of September 30, 2014

ANTELOPE VALLEY TRANSIT AUTHORITY
Treasurer's Report
Month Ended September, 2014

Institution - Investment Type	Description	Starting Balance	Deposits	Disbursements	Ending Balance
-------------------------------	-------------	------------------	----------	---------------	----------------

Cash and Investments Under the Direction of the Treasurer

Local Agency Investment Fund (LAIF) - Capital Reserve	\$	4,951,054.14	\$	-	
Interest (earned quarterly)			\$	3,016.73	
Bank of America - Proposition 1B* Restricted Fund	\$	3,131,479.28	\$	(925,478.92)	
Interest earned for the month			\$	382.27	
* Deferred revenue, recorded as liability until associated expense incurred.					
TOTAL CAPITAL RESERVE AND RESTRICTED FUNDS					\$ 7,160,453.50
Wells Fargo and Broker's CDs	\$	1,000,450.55			
Interest			\$	-	
Wells Fargo - OP Staging	\$	371,815.85	\$	51,259.69	
TOTAL OPERATING RESERVE					\$ 1,423,526.09
Payroll, Payable & General Acct	\$	15,939,067.14			
Operating Accounts Analysis					
Cash Fares			\$	176,300.11	
Vendor Pass Sales Revenue			\$	223,271.17	
Federal Grants Revenue			\$	436,256.00	
MTA Revenue			\$	1,043,852.91	
TRANSPORTER Service			\$	75,835.09	
Jurisdictional Contributions			\$	188,280.00	
Non-Transportation Revenue			\$	10,356.56	
Transfer from 1B due to FY14 eligible expense			\$	925,478.92	
Accounts Payable for the Month				\$ (3,605,714.24)	
Employee Net Pay				\$ (141,004.32)	
Employee Taxes				\$ (27,073.04)	
Employee Deductions				\$ (12,814.36)	
Employer Payroll Taxes				\$ (2,724.75)	
CalPERS - AVTA paid				\$ (32,398.89)	
Equipment Lease - TAP card sales & renewal				\$ (65.06)	
Bank Fees --- Monthly and Quarterly				\$ (781.20)	
Net Operating Funds	\$	15,939,067.14	\$	3,079,630.76	\$ (3,822,575.86)
					\$ 15,196,122.04
Petty Cash					\$ 750.00
TOTAL CASH AND INVESTMENTS					\$ 23,780,851.63

I hereby certify that the investment portfolio of AVTA complies with its investment policy and the California Government Code Sections pertaining to the investment of local agency funds and Bank of America. Pending any future actions by the AVTA Board or any and unforeseen catastrophe, AVTA has an adequate cash flow to meet its expenditure requirements for the next six months.

Prepared by:

Submitted by:

Rong Nemeschy
Finance Supervisor

Colby Konisek
Director of Finance

ANTELOPE VALLEY TRANSIT AUTHORITY
Treasurer's Report Addendum: Cash Flow Projection
Month Ended September, 2014

Descriptions	\$ Subtotal	\$ Total
BALANCE FROM TREASURER'S REPORT		\$ 23,780,852
<u>Less Restricted Funds</u>		
Proposition 1B (Deferred Revenue)		(2,206,383)
Capital Reserve		(4,954,071)
Operating Reserve		(1,423,526)
Electric Bus Project Funds Remaining		(934,088)
Restricted for Operations		(4,500,000)
UNRESTRICTED CASH		\$ 9,762,784

ACCOUNTS RECEIVABLE AND PAYABLE AS OF SEPTEMBER 30, 2014

Add Accounts receivable:

MTA Revenue	69,558	
Jurisdiction Contributions Operating	0	
Vendor Pass Sales	149,703	
Jurisdictional Contribution	(20,901)	
A/R Federal Funding draws	1,056,154	1,264,155

Less Current Payable:

Accounts Payable	115,194	
Accrued invoice payable	2,461,153	2,576,347

NET INFLOW/(OUT FLOW) OF CASH: (1,312,192)

PROJECTED CASH AVAILABLE IN THE NEXT 30 DAYS: \$ 8,450,592

TOTAL OPERATING CASH MINIMUM TARGET: \$ 3,000,000

Operating Cash Coverage of AVTA Minimum Target: 2.8

**ANTELOPE VALLEY TRANSIT AUTHORITY
PAYROLL HISTORY REPORT
AUGUST - OCTOBER, 2014**

	August TOTAL	September TOTAL	October TOTAL
<u>NUMBER OF CHECKS</u>	78	74	76
<u>EARNINGS</u>			
Regular Pay	\$ 168,359.42	\$ 159,864.24	\$ 178,603.06
Overtime Pay	114.62	239.65	570.80
Vacation Pay	9,720.46	11,943.61	4,440.85
Sick Pay	3,892.44	2,128.38	5,211.68
Bereavement Pay	550.53	0.00	0.00
Holiday Pay	0.00	9,578.82	0.00
Floating Holiday Pay	778.41	1,059.54	835.67
Retroactive Pay	2,528.20	2,143.74	106.90
Vacation Cash Out/Bonus Pay	10,889.98	0.00	0.00
Deferred Income 457	1,080.44	955.16	955.16
Stipend --- Cell phone reimbursements	676.00	676.00	741.00
TOTAL	\$ 198,590.50	\$ 188,589.14	\$ 191,465.12
Inc(Dec)-Current month over previous month		\$ (10,001.36)	\$ 2,875.98
% Inc(Dec)-Current month over previous month		(5.0%)	1.5%



Cash Disbursement Report

Payment Number	Payment Date	Vendor Name	Description (Item)	Amount
Vendor: Adelman Broadcasting				
7114	10/09/2014	Adelman Broadcasting	Radio Advertising Contract -2 for 1 Special	\$ 500.00
7114	10/09/2014	Adelman Broadcasting	Radio Advertising Contract -2 for 1 Special	\$ 500.00
Vendor Adelman Broadcasting Total:				\$ 1,000.00
Vendor: American Heritage Life Ins.				
7115	10/09/2014	American Heritage Life Ins.	Employee Paid Extended Benefits	\$ 713.32
Vendor American Heritage Life Ins. Total:				\$ 713.32
Vendor: American Red Cross				
7157	10/22/2014	American Red Cross	First Aid and CPR training	\$ 1,400.00
Vendor American Red Cross Total:				\$ 1,400.00
Vendor: Antelope Valley College Foundation				
7158	10/22/2014	Antelope Valley College Foundation	AVC Foundation Golf Tournament	\$ 2,000.00
Vendor Antelope Valley College Foundation Total:				\$ 2,000.00
Vendor: Anthem Blue Cross				
7116	10/09/2014	Anthem Blue Cross	Health Insurance (EE Portion), Nov. 2014	\$ 6,608.00
7116	10/09/2014	Anthem Blue Cross	Health Insurance (ER Portion), Nov. 2014	\$ 32,835.00
Vendor Anthem Blue Cross Total:				\$ 39,443.00
Vendor: Aragon, Dietter				
7159	10/22/2014	Aragon, Dietter	Reimbursement- CalACT Cost Allocation Training	\$ 68.25
Vendor Aragon, Dietter Total:				\$ 68.25
Vendor: Aramark Uniform Services				
7117	10/09/2014	Aramark Uniform Services	Uniform service Sept. 2014	\$ 98.52
7117	10/09/2014	Aramark Uniform Services	Uniform service Sept. 2014	\$ 98.52
Vendor Aramark Uniform Services Total:				\$ 197.04
Vendor: At&T Calnet				
7118	10/09/2014	At&T Calnet	Phone,8/20/14-9/19/14	\$ 788.55
Vendor At&T Calnet Total:				\$ 788.55
Vendor: AT&T Mobility				
7160	10/22/2014	AT&T Mobility	Cell Phones, 9/7/14-10/6/14	\$ 86.83
Vendor AT&T Mobility Total:				\$ 86.83
Vendor: AV Mail n More				
7119	10/09/2014	AV Mail n More	CPOS reimbursement June, July, Aug 2014	\$ 150.00
Vendor AV Mail n More Total:				\$ 150.00
Vendor: AV Press				
7161	10/22/2014	AV Press	AV Press Employment Advertisement	\$ 536.78
7120	10/09/2014	AV Press	RFP Advertisement for Labor Compliance	\$ 810.90
7120	10/09/2014	AV Press	Advertisement of 2015-05 Banking Services RFP	\$ 720.90
7120	10/09/2014	AV Press	Antelope Valley Press - Sept. 2014	\$ 595.00
Vendor AV Press Total:				\$ 2,663.58
Vendor: Blue Tarp Financial- Northern Tool				
7121	10/09/2014	Blue Tarp Financial- Northern Tool	Warranty extension Server AC unit 2 years	\$ 399.99
7121	10/09/2014	Blue Tarp Financial- Northern Tool	Pressure Relief Valve	\$ 37.91
Vendor Blue Tarp Financial- Northern Tool Total:				\$ 437.90
Vendor: Board of Equalization- Fuel Taxes Division				
7162	10/22/2014	Board of Equalization- Fuel Taxes Div	Fuel Tax- July through Sept. 2014	\$ 2,006.00
Vendor Board of Equalization- Fuel Taxes Division Total:				\$ 2,006.00



Vendor: BOHN'S Printing

7163	10/22/2014	BOHN'S Printing	Transporter Brochures - Service Change	\$	615.85
7163	10/22/2014	BOHN'S Printing	Electric Bus Flyer	\$	201.65
7122	10/09/2014	BOHN'S Printing	Service Change Brochure	\$	547.52
Vendor BOHN'S Printing Total:				\$	1,365.02

Vendor: Brinks Incorporated

7164	10/22/2014	Brinks Incorporated	Daily Cash Pick up - Supplement Sept. 2014	\$	220.70
7164	10/22/2014	Brinks Incorporated	Daily Cash Pick up - October	\$	487.93
Vendor Brinks Incorporated Total:				\$	708.63

Vendor: Burris Coffee & Pure Water Service

7123	10/09/2014	Burris Coffee & Pure Water Service	Coffee supplies-Sept. 2014	\$	210.50
Vendor Burris Coffee & Pure Water Service Total:				\$	210.50

Vendor: Calstart

7165	10/22/2014	Calstart	Basic Service Level Membership 11/2014-10/2015	\$	1,500.00
Vendor Calstart Total:				\$	1,500.00

Vendor: Canon Business Solutions

7124	10/09/2014	Canon Business Solutions	Usage Charge 6/16/14-9/16/14	\$	1,153.05
Vendor Canon Business Solutions Total:				\$	1,153.05

Vendor: Carquest of Lancaster #7305

7166	10/22/2014	Carquest of Lancaster #7305	Trailer Hitch for Facilities truck (219)	\$	244.46
7125	10/09/2014	Carquest of Lancaster #7305	Bearings	\$	56.20
Vendor Carquest of Lancaster #7305 Total:				\$	300.66

Vendor: Consolidated Electrical Distr.

7126	10/09/2014	Consolidated Electrical Distr.	250MCM In-Line Splice	\$	32.60
7167	10/22/2014	Consolidated Electrical Distr.	3phase 75kva transformer (hamps)	\$	3,967.60
7167	10/22/2014	Consolidated Electrical Distr.	600 volt IND fuse	\$	64.45
Vendor Consolidated Electrical Distr. Total:				\$	4,064.65

Vendor: Dell Marketing

7168	10/22/2014	Dell Marketing	Extended Service Warranty for ST DHLV3P1	\$	419.12
7168	10/22/2014	Dell Marketing	Extended Service Warranty for ST 5VXV3P1	\$	419.15
7168	10/22/2014	Dell Marketing	Extended Service Warranty for ST 5TXV3P1	\$	419.15
7168	10/22/2014	Dell Marketing	Dell 51360cdn Magenta Imaging Drum	\$	279.55
7168	10/22/2014	Dell Marketing	Dell 5130cdn Yellow Toner Cartridge	\$	253.69
7168	10/22/2014	Dell Marketing	Dell 5130cdn Black Imaging Drum	\$	186.37
7168	10/22/2014	Dell Marketing	Dell 3110cn Black Toner Cartridge	\$	144.96
7168	10/22/2014	Dell Marketing	Dell 5130cdn Cyan Imaging Drum	\$	279.55
7168	10/22/2014	Dell Marketing	Dell 5130cdn Yellow Imaging Drum	\$	279.55
7168	10/22/2014	Dell Marketing	Dell 5130cdn Waste Toner Container	\$	25.88
7168	10/22/2014	Dell Marketing	Dell 5130cdn Magenta Toner Cartridge	\$	253.69
7168	10/22/2014	Dell Marketing	Dell 1320c Magenta Toner Cartridge	\$	99.40
7168	10/22/2014	Dell Marketing	Dell C3765dnf Imaging Drum 4-Pack	\$	155.31
7168	10/22/2014	Dell Marketing	Dell C3765dnf Black Toner Cartridge	\$	139.78
7168	10/22/2014	Dell Marketing	Dell C3765dnf Magenta Toner Cartridge	\$	264.04
7168	10/22/2014	Dell Marketing	Dell C3765dnf Cyan Toner Cartridge	\$	264.04
7168	10/22/2014	Dell Marketing	Dell 5130cdn Cyan Toner Cartridge	\$	253.69
7168	10/22/2014	Dell Marketing	Dell 5130cdn Black Toner Cartridge	\$	283.71
Vendor Dell Marketing Total:				\$	4,420.63

Vendor: Evault Inc

7170	10/22/2014	Evault Inc	June usage	\$	368.44
7170	10/22/2014	Evault Inc	Usage- August 2014	\$	1,457.18
7170	10/22/2014	Evault Inc	Usage- Sept. 2014	\$	1,130.53
Vendor Evault Inc Total:				\$	2,956.15



Vendor: Federal Express

7127	10/09/2014	Federal Express	Shipping	\$	95.83
				Vendor Federal Express Total:	\$ 95.83

Vendor: Fresno Irrigation District

7128	10/09/2014	Fresno Irrigation District	R. Keys- Tyler User Group Training	\$	50.00
				Vendor Fresno Irrigation District Total:	\$ 50.00

Vendor: Grainger

7171	10/22/2014	Grainger	Solenoid Air control valve	\$	104.77
7171	10/22/2014	Grainger	12 gallon side opening trash can (stainless)	\$	532.20
7129	10/09/2014	Grainger	1/2 hp motor	\$	182.21
7129	10/09/2014	Grainger	grinding wheels	\$	40.44
7129	10/09/2014	Grainger	2amp fuse	\$	27.21
7129	10/09/2014	Grainger	wire wheels	\$	48.16
				Vendor Grainger Total:	\$ 934.99

Vendor: Hart Printers Inc.

7130	10/09/2014	Hart Printers Inc.	Business cards for 5 employees	\$	141.70
				Vendor Hart Printers Inc. Total:	\$ 141.70

Vendor: Home Depot Credit Services

7131	10/09/2014	Home Depot Credit Services	Bottled Water	\$	16.15
7131	10/09/2014	Home Depot Credit Services	Insulation foam	\$	17.49
7131	10/09/2014	Home Depot Credit Services	Farebox installation supplies	\$	9.24
7131	10/09/2014	Home Depot Credit Services	Plastic Epoxy	\$	35.53
7131	10/09/2014	Home Depot Credit Services	Repair Supplies	\$	73.85
7131	10/09/2014	Home Depot Credit Services	Drain Pipe	\$	10.65
7131	10/09/2014	Home Depot Credit Services	Paint supplies	\$	62.08
7131	10/09/2014	Home Depot Credit Services	rust prevention supplies	\$	79.85
7131	10/09/2014	Home Depot Credit Services	Fuel Island repair supplies	\$	27.74
7131	10/09/2014	Home Depot Credit Services	Pipe-various	\$	39.75
7131	10/09/2014	Home Depot Credit Services	Tools and Pipe couplings	\$	167.60
7131	10/09/2014	Home Depot Credit Services	Small tools	\$	203.42
7131	10/09/2014	Home Depot Credit Services	Wire wheel brush, Locks	\$	55.48
7131	10/09/2014	Home Depot Credit Services	Bungee cords, 9inch tray set, tables	\$	169.17
				Vendor Home Depot Credit Services Total:	\$ 968.00

Vendor: Insight- Public Sector

7133	10/09/2014	Insight- Public Sector	WLS MSE WM324 Dell Wireless Mouse	\$	157.77
7133	10/09/2014	Insight- Public Sector	Traipp Lite TLM626 Surge Suppressor	\$	119.11
7133	10/09/2014	Insight- Public Sector	Tripp Lite 6 ft. HDMI Video Cable	\$	11.82
7133	10/09/2014	Insight- Public Sector	Logitech Wireless Keyboard/Mouse Set - MK320	\$	76.95
				Vendor Insight- Public Sector Total:	\$ 365.65

Vendor: IntelliRide

7172	10/22/2014	IntelliRide	DAR Revenue- July 2014	\$	(7,001.50)
7172	10/22/2014	IntelliRide	DAR Operations & Maintenance - July 2014	\$	88,807.16
7172	10/22/2014	IntelliRide	DAR Revenue- Aug. 2014	\$	(7,561.00)
7172	10/22/2014	IntelliRide	DAR Operations & Maintenance - Aug. 2014	\$	87,235.36
7172	10/22/2014	IntelliRide	DAR Coupon Charge	\$	1,386.00
7172	10/22/2014	IntelliRide	DAR Coupon Charge	\$	771.00
7172	10/22/2014	IntelliRide	DAR Coupon Charge	\$	2,580.00
				Vendor IntelliRide Total:	\$ 166,217.02

Vendor: Interior Plant Designs

7173	10/22/2014	Interior Plant Designs	Indoor Plant Services- October 2014	\$	195.00
7134	10/09/2014	Interior Plant Designs	Indoor Plant Services- Sept. 2014	\$	195.00
				Vendor Interior Plant Designs Total:	\$ 390.00



Vendor: Interstate Battery System

7174	10/22/2014	Interstate Battery System	deep cycle battery for lift	\$	96.96
				Vendor Interstate Battery System Total:	\$ 96.96

Vendor: Iron Mountain Records Mgmt Inc

7135	10/09/2014	Iron Mountain Records Mgmt Inc	Document Shredding	\$	76.79
				Vendor Iron Mountain Records Mgmt Inc Total:	\$ 76.79

Vendor: Jaqua & Sons

7136	10/09/2014	Jaqua & Sons	medium Arizona river rock	\$	1,265.49
				Vendor Jaqua & Sons Total:	\$ 1,265.49

Vendor: Julie Austin

7175	10/22/2014	Julie Austin	Reimbursement- Train tickets	\$	156.55
				Vendor Julie Austin Total:	\$ 156.55

Vendor: Karen Darr

7176	10/22/2014	Karen Darr	Reimbursement- Picture Frames	\$	110.06
				Vendor Karen Darr Total:	\$ 110.06

Vendor: LA County Sheriff Dept.

7177	10/22/2014	LA County Sheriff Dept.	Sheriff's Contract for Deputy Patrol	\$	8,047.08
				Vendor LA County Sheriff Dept. Total:	\$ 8,047.08

Vendor: Lamar Companies

7137	10/09/2014	Lamar Companies	Billboard Advertising - Digital Sign	\$	1,500.00
				Vendor Lamar Companies Total:	\$ 1,500.00

Vendor: Matrix Audio Visual Designs, Inc.

7138	10/09/2014	Matrix Audio Visual Designs, Inc.	Service reactivation fee	\$	1,246.96
7138	10/09/2014	Matrix Audio Visual Designs, Inc.	Goldseal 1 Year HDX8000 Series (Warranty)	\$	2,908.12
7138	10/09/2014	Matrix Audio Visual Designs, Inc.	Crestron Repair - Repair Cost	\$	291.75
7138	10/09/2014	Matrix Audio Visual Designs, Inc.	Crestron Repair - On-Site Reinstallation Charge	\$	280.00
7138	10/09/2014	Matrix Audio Visual Designs, Inc.	Crestron Repair - Travel, Fuel & Truck Surcharge	\$	75.00
				Vendor Matrix Audio Visual Designs, Inc. Total:	\$ 4,801.83

Vendor: Mauricio's Maintenance

7139	10/09/2014	Mauricio's Maintenance	cleaning of hard floors	\$	1,800.00
				Vendor Mauricio's Maintenance Total:	\$ 1,800.00

Vendor: Mobile Relay Associates

7140	10/09/2014	Mobile Relay Associates	Airtime, Commuters	\$	1,224.30
				Vendor Mobile Relay Associates Total:	\$ 1,224.30

Vendor: Motion Industries

7178	10/22/2014	Motion Industries	Petrol Transfer Hose	\$	49.39
				Vendor Motion Industries Total:	\$ 49.39

Vendor: Nelson Nygaard Cons Assoc

7179	10/22/2014	Nelson Nygaard Cons Assoc	Comprehensive Operational Analysis through July	\$	11,590.85
7179	10/22/2014	Nelson Nygaard Cons Assoc	Comprehensive Operational Analysis through June	\$	40,509.53
7179	10/22/2014	Nelson Nygaard Cons Assoc	Fare Study - through Sept. 30 2014	\$	4,089.64
7141	10/09/2014	Nelson Nygaard Cons Assoc	Comprehensive Operational Analysis Contract	\$	1,185.25
				Vendor Nelson Nygaard Cons Assoc Total:	\$ 57,375.27

Vendor: Peter Barron Stark

7180	10/22/2014	Peter Barron Stark	Relationship Strategies	\$	8,592.96
				Vendor Peter Barron Stark Total:	\$ 8,592.96



Vendor: Petty Cash

7181	10/22/2014	Petty Cash	W. Jones- Reimbursement for HR Training	\$	45.69
7181	10/22/2014	Petty Cash	Fuel for AVTA owned Vehicle	\$	10.00
7181	10/22/2014	Petty Cash	K. Darr- Planner and Coasters	\$	65.82
7181	10/22/2014	Petty Cash	K. Darr- Picture Frame	\$	17.16
7181	10/22/2014	Petty Cash	L. Engel-DVD Labels	\$	19.61
7181	10/22/2014	Petty Cash	C. Konisek- File Folders	\$	31.04
				Vendor Petty Cash Total:	\$ 189.32

Vendor: Pinnacle Petroleum Inc

7182	10/22/2014	Pinnacle Petroleum Inc	Fuel Sept. 2014	\$	22,887.17
7182	10/22/2014	Pinnacle Petroleum Inc	Fuel Sept. 2014	\$	22,879.10
7142	10/09/2014	Pinnacle Petroleum Inc	Fuel, Sept. 2014	\$	23,490.97
7142	10/09/2014	Pinnacle Petroleum Inc	Fuel, Sept. 2014	\$	23,405.40
7142	10/09/2014	Pinnacle Petroleum Inc	Fuel, Sept. 2014	\$	23,336.93
7142	10/09/2014	Pinnacle Petroleum Inc	Fuel, Sept. 2014	\$	23,851.28
7142	10/09/2014	Pinnacle Petroleum Inc	Fuel, Sept. 2014	\$	10,941.59
7142	10/09/2014	Pinnacle Petroleum Inc	Fuel, Sept. 2014	\$	12,514.20
				Vendor Pinnacle Petroleum Inc Total:	\$ 163,306.64

Vendor: Richards, Watson & Gershon

7143	10/09/2014	Richards, Watson & Gershon	General Counsel- Aug. 2014	\$	2,014.00
7143	10/09/2014	Richards, Watson & Gershon	Board meeting Attendance- Aug. 2014	\$	1,010.50
7143	10/09/2014	Richards, Watson & Gershon	Subpoenas	\$	212.00
				Vendor Richards, Watson & Gershon Total:	\$ 3,236.50

Vendor: SignWarehouse, Inc.

7183	10/22/2014	SignWarehouse, Inc.	ORAGUARD 290 54X150 GLOSS CAST LAMINATING PVC FILM	\$	520.00
7183	10/22/2014	SignWarehouse, Inc.	BUND-TS-MK-1204- Oraguard Laminating film	\$	566.00
7183	10/22/2014	SignWarehouse, Inc.	PJ/VJ ECO ULTRA INK MAGENTA (MSINK3A-MA220)	\$	67.00
7183	10/22/2014	SignWarehouse, Inc.	VJ-1204 MAINTENANCE KIT	\$	181.90
7183	10/22/2014	SignWarehouse, Inc.	PJ/VJ ECO ULTRA INK CYAN (MSINK3A-CY220)	\$	67.00
7183	10/22/2014	SignWarehouse, Inc.	PJ/VJ ECO ULTRA INK YELLOW (MSINK3A-YE220)	\$	67.00
7183	10/22/2014	SignWarehouse, Inc.	COR-PLAST 24 X 18 WHITE	\$	121.50
7183	10/22/2014	SignWarehouse, Inc.	3M CONTROLTAC V3 48" X 150 FT	\$	680.00
7144	10/09/2014	SignWarehouse, Inc.	ORAGUARD 290 54X150 GLOSS CAST LAMINATING PVC FILM	\$	526.00
7144	10/09/2014	SignWarehouse, Inc.	PJ/VJ ECO ULTRA INK CYAN (MSINK3A-CY220)	\$	126.74
7144	10/09/2014	SignWarehouse, Inc.	PJ/VJ ECO ULTRA INK MAGENTA (MSINK3A-MA220)	\$	67.00
7144	10/09/2014	SignWarehouse, Inc.	PJ/VJ ECO ULTRA INK YELLOW (MSINK3A-YE220)	\$	67.00
7144	10/09/2014	SignWarehouse, Inc.	3M CONTROLTAC V3 48" X 150 FT	\$	678.00
7144	10/09/2014	SignWarehouse, Inc.	VJ-1204 MAINTENANCE KIT	\$	157.90
				Vendor SignWarehouse, Inc. Total:	\$ 3,893.04

Vendor: Sinclair Printing Company

7184	10/22/2014	Sinclair Printing Company	Commuter Brochures	\$	3,196.97
7184	10/22/2014	Sinclair Printing Company	Local Transit Brochures	\$	3,196.97
7145	10/09/2014	Sinclair Printing Company	Local Transit Schedules	\$	3,196.97
				Vendor Sinclair Printing Company Total:	\$ 9,590.91

Vendor: Southern California Edison

7146	10/09/2014	Southern California Edison	Utilities- Electricity 8/19/14-9/18/14	\$	8,737.11
				Vendor Southern California Edison Total:	\$ 8,737.11

Vendor: Standard Insurance Company

7147	10/09/2014	Standard Insurance Company	Dental Insurance (EE Portion), October 2014	\$	648.74
7147	10/09/2014	Standard Insurance Company	Dental Insurance (ER Portion), Oct. 2014	\$	2,185.54
7147	10/09/2014	Standard Insurance Company	Vision Insurance (EE Portion), Oct. 2014	\$	123.18
7147	10/09/2014	Standard Insurance Company	Vision Insurance (ER Portion), Oct. 2014	\$	440.34
				Vendor Standard Insurance Company Total:	\$ 3,397.80



Vendor: The Balloon Factory

7148	10/09/2014	The Balloon Factory	tank rental and helium	\$	283.45
				Vendor The Balloon Factory Total:	\$ 283.45

Vendor: The Customer Service Experts

7185	10/22/2014	The Customer Service Experts	CPOS Reimbursement-sept. 2014	\$	50.00
				Vendor The Customer Service Experts Total:	\$ 50.00

Vendor: The Gas Company

7149	10/09/2014	The Gas Company	Utilities- gas, 8/20/14-9/19/14	\$	245.32
				Vendor The Gas Company Total:	\$ 245.32

Vendor: Time Warner Cable Ad Sales/LA

7186	10/22/2014	Time Warner Cable Ad Sales/LA	Travel Training Commercials	\$	1,629.00
				Vendor Time Warner Cable Ad Sales/LA Total:	\$ 1,629.00

Vendor: Time Warner Cable Business Class

7187	10/22/2014	Time Warner Cable Business Class	Cable Services, 10/11/14-11/10/14	\$	242.39
7187	10/22/2014	Time Warner Cable Business Class	Internet Service, 10/13/14-11/12/14	\$	1,440.00
				Vendor Time Warner Cable Business Class Total:	\$ 1,682.39

Vendor: Transdev

7188	10/22/2014	Transdev	Commuter Ops and Maintenance-August 14	\$	146,851.14
7188	10/22/2014	Transdev	Local & Commuter Liquidated Damages-August 14	\$	(4,550.00)
7188	10/22/2014	Transdev	Local Ops and Maintenance-August 14	\$	909,607.50
7188	10/22/2014	Transdev	Pass through- Transmission	\$	5,444.55
7188	10/22/2014	Transdev	Pass-through-Customer service Reps, Aug. 2014	\$	8,333.16
				Vendor Transdev Total:	\$ 1,065,686.35

Vendor: Transit Information Products

7189	10/22/2014	Transit Information Products	On board Information centers	\$	2,374.80
7189	10/22/2014	Transit Information Products	two screen setups	\$	196.20
				Vendor Transit Information Products Total:	\$ 2,571.00

Vendor: United Parcel Service

7150	10/09/2014	United Parcel Service	Shipping	\$	126.38
				Vendor United Parcel Service Total:	\$ 126.38

Vendor: US Bank

7151	10/09/2014	US Bank	Credit- Airport Shuttle	\$	(18.00)
7151	10/09/2014	US Bank	C. Konisek- Registration- CTA Conference	\$	390.00
7151	10/09/2014	US Bank	W. Jones- Registration- Fundamentals of HR	\$	468.00
7151	10/09/2014	US Bank	J. Fry- Airfare- FTA TRAMS training	\$	132.20
7151	10/09/2014	US Bank	C. Konisek- R. Nemeschy- Lodging- Tyler User Group	\$	489.56
7151	10/09/2014	US Bank	J. Austin & W. Williams- AVBOT Luncheon	\$	40.00
7151	10/09/2014	US Bank	Staff Lunch- PB Stark Team building session	\$	124.46
7151	10/09/2014	US Bank	Pay pal set up fee	\$	1.95
7151	10/09/2014	US Bank	J. Austin- Meal - APTA annual conference	\$	44.66
7151	10/09/2014	US Bank	W. Williams- Registration- CTA Conference	\$	390.00
7151	10/09/2014	US Bank	C. Konisek- Lodging- Cost Allocation Training	\$	238.40
7151	10/09/2014	US Bank	Refund- Lodging- One Room APTA Annual Conf	\$	(174.33)
7151	10/09/2014	US Bank	L. Block- DAR RFP ad in Transit Talent	\$	115.00
7151	10/09/2014	US Bank	M. De Los Santos- Promo Items for Streets of Lanc.	\$	190.60
7151	10/09/2014	US Bank	M. De Los Santos- Balloon Closures	\$	318.55
7151	10/09/2014	US Bank	N. Pitkin- Bus Wrap Supplies for E-bus	\$	1,100.51
				Vendor US Bank Total:	\$ 3,851.56

Vendor: Van Scoyoc

7190	10/22/2014	Van Scoyoc	Van Scoyoc Retainer Sept. 2014	\$	4,000.00
				Vendor Van Scoyoc Total:	\$ 4,000.00



Antelope Valley Transit Authority

Cash Disbursement Report

ATTACHMENT CC 2.C

By Vendor Name

Payment Dates 10/1/2014 - 10/31/2014

Vendor: Verizon California

7153	10/09/2014	Verizon California	Phone-09/13/14	\$	572.69
				Vendor Verizon California Total:	\$ 572.69

Vendor: Volantis Corp

7154	10/09/2014	Volantis Corp	6X10 American Flag sewn	\$	182.90
7154	10/09/2014	Volantis Corp	California Republic 6x10 flags	\$	236.45
				Vendor Volantis Corp Total:	\$ 419.35

Vendor: Waste Management

7155	10/09/2014	Waste Management	Utilities-Waste, Sept. 2014	\$	618.44
				Vendor Waste Management Total:	\$ 618.44

Vendor: Windes

7191	10/22/2014	Windes	FY14 Audit Services	\$	40,000.00
				Vendor Windes Total:	\$ 40,000.00

Vendor: Xerox Corporation

7192	10/22/2014	Xerox Corporation	Usage 9/10/14-9/24/14	\$	119.76
7156	10/09/2014	Xerox Corporation	Usage, 7/30/14-9/10/14	\$	313.81
				Vendor Xerox Corporation Total:	\$ 433.57

Grand Total: \$ 1,636,414.45



STATEMENT OF NET POSITION

	As of September 30, 2014	As of September 30, 2013
ASSETS		
CURRENT ASSETS		
Cash and cash equivalents	\$ 23,780,852	\$ 19,829,731
Due from other governments	1,104,812	1,177,860
Other receivables	159,343	240,419
Inventory	237,783	319,953
Prepaid items	251,251	250,128
Total Current Assets	<u>25,534,042</u>	<u>21,818,090.66</u>
NONCURRENT ASSETS		
Capital assets, net of depreciation	<u>49,206,879</u>	<u>50,084,765</u>
Total Assets	<u>74,740,921</u>	<u>71,902,856</u>
LIABILITIES AND NET ASSETS		
CURRENT LIABILITIES		
Accounts payable	2,577,745	2,664,131
Due to Federal Transit Administration	-	4,371
Accrued payroll	(4,203)	(3,767)
Compensated absences	322,034	264,642
Deferred Revenue - Prop 1B	2,328,040	2,778,767
Total Current Liabilities	<u>5,223,616</u>	<u>5,708,144</u>
Deferred inflows of resources		
Unearned Revenue	4,000	-
Total Liabilities	<u>5,227,616</u>	<u>5,708,144</u>
NET POSITION		
Invested in Capital Assets	49,206,879	50,084,765
Restricted for Capital Acquisition	4,909,185	4,426,457
Unrestricted	15,397,241	11,683,489
Total Net Assets	<u>\$ 69,513,304</u>	<u>\$ 66,194,711</u>



STATEMENT OF REVENUES, EXPENSES AND CHANGES IN NET ASSETS
GOVERNMENT AUDITING STANDARDS PRESENTATION
 (INCLUDING DEPRECIATION EXPENSE)

	For the 3 Months ending September 30, 2014	For the 3 Months ending September 30, 2013
OPERATING REVENUES		
Charges for services:		
Passenger fares	\$ 1,238,243	\$ 1,241,228
Total operating revenues	<u>1,238,243</u>	<u>1,241,228</u>
OPERATING EXPENSES		
Purchased transportation services:		
Outside transit contract	3,442,665	3,101,667
Fuel	677,680	764,336
Other operating costs	203,870	434,056
General and administrative	1,038,609	889,392
Total operating expenses, net of depreciation	<u>5,362,825</u>	<u>5,189,451</u>
Operating gain/(loss), net of depreciation	(4,124,581)	(3,948,223)
Depreciation	1,317,079	1,274,353
Total operating expenses	<u>6,679,903</u>	<u>6,463,804</u>
Operating gain/(loss)	<u>(5,441,660)</u>	<u>(5,222,576)</u>
NONOPERATING REVENUES/(EXPENSES)		
Interest Income	4,226	2,744
Local grants - MTA	2,083,993	2,109,346
Proposition 1B	-	-
Federal non-capital grants	1,394,022	1,263,973
Member agency contributions	822,976	838,022
Grantable expenses	(402,858)	(198,254)
Gain/(Loss) on sale of capital assets	-	-
Other	133,297	53,375
Total nonoperating revenues and expenses	<u>4,035,657</u>	<u>4,069,206</u>
Gain/(Loss) before capital contributions	<u>(1,406,003)</u>	<u>(1,153,370)</u>
CAPITAL CONTRIBUTIONS		
Capital grants	25,003	508,702
Member agency contributions	115,225	-
Total capital contributions	<u>140,228</u>	<u>508,702</u>
NET CHANGE IN NET ASSETS	(1,265,776)	(644,668)
NET ASSETS, BEGINNING OF PERIOD	<u>70,780,480</u>	<u>66,839,380</u>
NET ASSETS, END OF PERIOD	<u>\$ 69,514,704</u>	<u>\$ 66,194,711</u>



STATEMENT OF CASH FLOWS

	For the 3 Months ending September 30, 2014	For the 3 Months ending September 30, 2013
CASH FLOWS FROM OPERATING ACTIVITIES		
Cash received from customers	1,238,243	1,241,228
Non-operating miscellaneous revenue received	133,297	53,375
Cash payments to suppliers for goods and services	(5,114,019)	(3,696,224)
Cash payments to employees for services	(85,614)	(843,099)
Net cash used in operating activities	<u>(3,828,093)</u>	<u>(3,244,720)</u>
CASH FLOWS FROM NONCAPITAL FINANCING ACTIVITIES:		
Operating grants received	3,400,928	4,266,307
Contributions received from member agencies	848,892	838,022
Net cash provided by non-capital financing activities	<u>4,249,821</u>	<u>5,104,328</u>
CASH FLOWS FROM CAPITAL AND RELATED FINANCING ACTIVITIES:		
Acquisition of capital assets	(1,742,488)	(577,684)
Proceeds received from sale of capital assets	-	-
Capital grants received	115,970	1,411,176
Capital expenses	(402,858)	(198,254)
Capital contributions received from member agencies	110,209	-
Net cash used in capital and related financing activities	<u>(1,919,166)</u>	<u>635,238</u>
CASH FLOWS PROVIDED BY INVESTING ACTIVITIES:		
Interest received	4,226	2,744
Net cash provided by investing activities:	<u>4,226</u>	<u>2,744</u>
Net increase/(decrease) in cash and cash equivalents	(1,493,212)	2,497,590
CASH AND CASH EQUIVALENTS, BEGINNING OF YEAR	<u>25,275,465</u>	<u>17,332,141</u>
CASH AND CASH EQUIVALENTS, END OF YEAR	<u><u>23,782,252</u></u>	<u><u>19,829,731</u></u>



STATEMENT OF CASH FLOWS

	For the 3 Months ending September 30, 2014	For the 3 Months ending September 30, 2013
Reconciliation of operating income (loss) to net cash used in operating activities (Indirect Method):		
Operating Loss	(4,124,581)	(5,222,579)
Adjustments to Net Cash used in Operating Activities		
Depreciation	-	1,274,353
Miscellaneous income	133,297	53,375
(Increase) decrease in other receivables	83,705	11,757
(Increase) decrease in inventory	-	-
(Increase) decrease in prepaid items	87,755	(240,514)
Increase (decrease) in accounts payable	78,347	986,855
Increase (decrease) in due to Federal Transit Administration	-	-
Increase (decrease) in accrued payroll	(85,614)	(77,971)
Increase (decrease) in compensated absences payable	-	-
Increase (decrease) in other liabilities	-	-
Increase (decrease) in deferred revenue	(1,000)	(30,000)
Net Cash used in operating activities	<u>(3,828,092)</u>	<u>(3,244,724)</u>

Notes

- 1 This set of basic financial statements is prepared on an interim basis and is unaudited.
- 2 Please see the Treasury Report for additional highlights on cash & equivalents, payroll and expenditures.

ANTELOPE VALLEY TRANSIT AUTHORITY
BUDGET VERSUS ACTUAL INCOME STATEMENT
SEPTEMBER 2014, YEAR TO DATE

REVENUE	CURRENT BUDGET - YTD	SEPTEMBER ACTUAL	YTD ACTUAL	YTD VARIANCE
Fare Revenue	\$ 1,300,630	\$ 455,130	\$ 1,238,243	\$ (62,386)
MTA Funds	2,029,407	694,664	2,083,993	54,587
FTA Funds	1,740,245	503,598	1,394,022	(346,223)
Jurisdictional Contributions	822,647	262,612	822,976	329
Other (SCE Rebates, Adv.)	87,074	65,777	137,523	50,449
TOTAL REVENUE	5,980,002	1,981,782	5,676,758	(303,244)
EXPENDITURES				
Contract Services	3,246,829	1,146,096	3,406,127	(159,298)
Fuel	816,416	194,243	677,680	138,736
Other Operating	282,250	71,850	174,891	107,359
Salaries and Wages	604,291	185,423	554,970	49,322
Benefits	270,380	76,730	224,295	46,085
Legal	29,988	10,001	30,000	(12)
Consulting	275,229	22,118	38,333	236,896
Travel	9,879	4,583	17,952	(8,073)
IT Maintenance/Licenses	42,721	38,937	54,313	(11,593)
Utilities	46,546	14,812	40,366	6,180
Administration	147,424	46,615	143,896	3,528
TOTAL EXPENDITURES	5,771,953	1,811,406	5,362,825	409,129
INCOME(LOSS)	208,048	170,376	313,933	105,885

*DEPRECIATION EXPENSE IS EXCLUDED IN THE REPORT



DATE: November 25, 2014

TO: BOARD OF DIRECTORS

SUBJECT: FY15 First Quarter Capital Reserves Report (July 1 - September 30, 2014)

RECOMMENDATION

That the Board of Directors receive and file the attached FY15 First Quarter Capital Reserves Report for the period covering July 1 through September 30, 2014.

FISCAL IMPACT

Total Capital Reserve contributions for FY14 were budgeted at \$460,896; all contributions have been received.

BACKGROUND

The Capital Reserve account was established to set aside a capital contribution from each jurisdiction in an amount sufficient to provide local match funds of 20% of the replacement cost of heavy-duty transit and commuter coaches. Current practice is to spend reserve account funds only for the matching requirements of transit and commuter buses. The Capital Reserve account segregates the assets reserved for bus purchases and is used only when approved by the AVTA Board of Directors.

As of the report date, the Capital Reserve account has an accumulated cash balance of \$4,904,959, held in accounts with the Local Agency Investment Fund (LAIF) and Bank of America. The total contributed fund balance is \$4,923,997 plus \$94,187 interest earned. The difference between cash and accrual balances, if any, is reflected in commitments receivable as of the report date. All scheduled contributions have been received through the first quarter ending September 30, 2014.

During the five-year reporting period to date, the AVTA purchased six commuter coaches and fifteen hybrid transit buses; all units were delivered by September 30, 2012. The reserve match funds required for these 21 units (\$1,436,501) were supplanted in total by the AVTA's Federal Transit Administration (FTA) 5307 funds in the form of Toll Credits and other funding sources, as follows: Toll Credits - \$99,724; Antelope Valley Air Quality Management District - \$225,110; and Prop 1B PTMISEA - \$1,111,667.

The three local transit buses originally scheduled for FY14 are expected to be delivered by June 2015. These will be purchased using toll credits for the local match. The anticipated delivery of 3 MCI commuter coaches is scheduled for December 2014, with two expansion commuter coaches (funded by JARC) expected in July 2015.

The AVTA's FY15 proposed Five-Year Capital Improvement Plan assumes the purchase of an additional 26 local transit and 18 commuter buses (including the 5 units described in the previous paragraph) between FY15 and FY19 (44 total). Due to reduced federal funding under MAP-21 and the increasing prices of buses, we anticipate using the capital reserve for local match on all of these units.

Please see the attached Capital Reserve Summary covering activity through September 30, 2014 and the Combined Jurisdiction Reconciliation Report for additional information.

ALIGNMENT WITH FY15 BUSINESS PLAN GOALS

OPERATE AN EFFICIENT TRANSIT SYSTEM: Improve financial reporting and increase investment income.

Prepared by:

Submitted by:

Colby Konisek
Director of Finance

Julie M. Austin
Executive Director/Treasurer

Attachment: A – Capital Reserve Summary Report through September 30, 2014
and Combined Jurisdiction Reconciliation Report



ATTACHMENT CC 3.A

CAPITAL RESERVE CONTRIBUTION ANALYSIS

For the Quarter Ended: **September 30, 2014**

Contributor	Accumulated Balance at 6/30/08	FY 2009	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015 Activity			RECONCILIATION	Adjustments***
								FY2015 First Quarter*	FY2015 Cash Received**	Variances***	Capital Reserve Account Balance	Second Quarter, FY2015
Lancaster	1,003,723.00	183,500.00	183,500.00	183,500.00	183,500.00	0.00	183,500.00	47,967.75	45,875.00	2,092.75	1,967,098.00	2,092.75
Palmdale	1,003,723.00	162,896.00	162,896.00	162,896.00	162,896.00	0.00	162,896.00	43,646.85	40,724.00	2,922.85	1,858,927.00	2,922.85
LA County	501,862.00	114,500.00	114,500.00	114,500.00	114,500.00	0.00	114,500.00	23,610.00	23,610.00	0.00	1,097,972.00	0.00
TOTAL	\$2,509,308.00	\$460,896.00	\$460,896.00	\$460,896.00	\$460,896.00	\$0.00	\$460,896.00	\$115,224.60	\$110,209.00	\$5,015.60	4,923,997.00	5,015.60
Interest to Date											94,187.32	
											\$5,018,184.32	

Jurisdictional share % per FY2015 formula.

Cash received per share % for past years --- invoice adjustments

Adjustments to 2nd quarter billings.

ANTELOPE VALLEY TRANSIT AUTHORITY
CAPITAL RESERVE & OPERATING SUPPORT TRACKING

Updated through: **September 30, 2014**

Description	LANCASTER					PALMDALE					LOS ANGELES COUNTY					TOTALS		
	Date	Check #	Accrual/ Payment	Operating Support	Capital Reserve	Date	Check #	Accrual/ Payment	Operating Support	Capital Reserve	Date	Check #	Accrual/ Payment	Operating Support	Capital Reserve	Accrued/ Cash Received	Operating Support (By Year)	Capital Reserve (Accumulated)
Total Balances as of the Report Date					1,961,338.06					1,853,759.53					1,089,861.00			4,904,958.59
FY 2015	<i>Note change in Jurisdictional %'s for FY15...</i>																	
Annual Due			(1,461,180.13)	(1,269,309.13)	(191,871.00)			(1,392,290.76)	(1,217,703.36)	(174,587.40)			(753,116.10)	(658,678.51)	(94,437.59)	(3,606,587.00)	(3,145,691.00)	(460,896.00)
(NOTE: Capital Reserve billing resumed 7/1/13.)																		
First Quarter Payments	7/30/2014	007367433	372,065.25	326,190.25	45,875.00	8/21/2014	00234788	362,674.50	321,950.50	40,724.00	9/17/2014	0020770158	188,280.00	164,670.00	23,610.00	923,019.75	812,810.75	110,209.00
Interest					1,327.36					1,176.52					512.84			3,016.73
Second Quarter Payments			0.00	0.00	0.00			0.00	0.00	0.00			0.00	0.00	0.00	0.00	0.00	0.00
Interest					0.00					0.00					0.00			0.00
Third Quarter Payments			0.00	0.00	0.00			0.00	0.00	0.00			0.00	0.00	0.00	0.00	0.00	0.00
Interest					0.00					0.00					0.00			0.00
Fourth Quarter Payments			0.00	0.00	0.00			0.00	0.00	0.00			0.00	0.00	0.00	0.00	0.00	0.00
Interest					0.00					0.00					0.00			0.00
Contributions for FY2015			372,065.25	326,190.25	45,875.00			362,674.50	321,950.50	40,724.00			188,280.00	164,670.00	23,610.00	923,019.75	812,810.75	110,209.00
Interest for FY2015					1,327.36					1,176.52					512.84			3,016.73
A/R for FY'15 as of the Report Date			(1,089,114.88)	(943,118.88)	(145,996.00)			(1,029,616.26)	(895,752.86)	(133,863.40)			(564,836.10)	(494,008.51)	(70,827.59)	(2,683,567.25)	(2,332,880.25)	(350,687.00)
Net Assets																		
Capital Reserve Balance to Date					1,967,098.00					1,858,927.00					1,097,972.00			4,923,997.00
Interest to Date					41,442.42					36,733.05					16,011.84			94,187.32
Total Balances as of 9/30/2014					2,008,540.42					1,895,660.05					1,113,983.84			5,018,184.32



DATE: November 25, 2014

TO: BOARD OF DIRECTORS

SUBJECT: FY15 First Quarter Grant Status Report (July 1 – September 30, 2014)

RECOMMENDATION

That the Board of Directors receive and file the attached FY15 First Quarter Grant Status Report for the period covering July 1 through September 30, 2014.

FISCAL IMPACT

Grants approved after the annual budget adoption may require reallocation of funds, which will be addressed during the mid-year budget adjustment.

BACKGROUND

The attached quarterly Grant Status Report reflects all grant applications submitted on behalf of the AVTA through the first quarter of FY15 (July 1 through September 30, 2014). During this quarter, we executed the JARC MOU with Los Angeles County Metropolitan Transportation Authority (Metro) for our two new expansion commuter buses.

We are finalizing our FFY14 FTA 5307 grant application and will be formally submitting it to FTA in the next few months. We are still awaiting announcements on our LoNo and New Ladders of Opportunity Initiative (NLOI) grant applications. The grant awards are expected to be announced in late fall.

Staff will continue to aggressively pursue all viable grant opportunities to augment existing funding sources.

ALIGNMENT WITH FY15 BUSINESS PLAN GOALS

OPERATE AN EFFICIENT TRANSIT SYSTEM: Improve financial reporting.

Prepared by:

Submitted by:

Judy Vaccaro-Fry
Grants Administrator

Julie M. Austin
Executive Director

Attachment: A – FY15 First Quarter Grant Status Report

ATTACHMENT CC 4.A

GRANT STATUS REPORT

Grant Program	Project	Amount	Date Submitted	Status	Amount Awarded
FFY14 FTA Annual 5307 Allocation – FTA	Capital Improvement Program	\$8,393,600	Pending submission to FTA	<i>Pending DOL submission</i>	Pending
FY14 New Ladders of Opportunity Initiative	Transit Center at Antelope Valley College	\$250,000	8/4/2014	<i>Pending award announcement</i>	Pending
Transportation Investment Generating Economic Recovery	25 Electric Buses + necessary infrastructure improvements and chargers	\$20,363,906	4/28/2014	No funds awarded	\$0
Low or No Emission Vehicle Deployment Program	Incremental cost of 12 zero emission buses	\$6,136,000	March 3, 2014	Submitted to SCAG.	Pending
FFY13 FTA Annual 5339 Allocation – apportioned through SCAG	Bus and Bus Facilities	\$479,681	<i>Submitted 5/15/2014</i>	Pending SCAG concurrence/DOL certification	Pending
FFY14 FTA Annual 5339 Allocation – apportioned through SCAG	Bus and Bus Facilities	\$566,453	<i>Submitted 5/15/2014</i>	Pending SCAG concurrence/DOL certification	Pending
				TOTAL GRANT AWARDS:	\$0
				TOTAL PENDING:	\$15,825,734



DATE: November 25, 2014

TO: BOARD OF DIRECTORS

SUBJECT: Semi-Annual Federal Fiscal Year (FFY) 2013/14 Disadvantaged Business Enterprise (DBE) and Small Business Enterprise (SBE) Report (April 1, 2014 – September 30, 2014)

RECOMMENDATION

That the Board of Directors receive and file the attached semi-annual FFY 2013/14 DBE and SBE Report for the period covering April 1, 2014 through September 30, 2014.

FISCAL IMPACT

No fiscal impact is associated with this report.

BACKGROUND

The Board of Directors approved the Authority's current DBE and SBE programs in May 2012. The program promotes a goal of 11.95% DBE participation. Since February 2013, staff has been following the Board-approved Purchasing Outreach Policy for contacting potential vendors in the community in an effort to achieve this goal.

The attached spreadsheet shows the current prime contract award results for DBE and SBE participation. The Semi-Annual FFY 2013/14 DBE and SBE Prime Contract Award Report details seven contracts awarded during this period, totaling \$812,931 (or 100% of total federal contracts). The major contract award was executed with the following firms for the On-Call Architectural, Engineering and Project Management Services:

- Arrow Engineering Services, Inc., Lancaster, CA
- Carpenter, Sellers, Del Gatto Architects, Las Vegas, NV
- Kennard Design Group, Los Angeles, CA
- Pennfield & Smith Engineers, Inc., Lancaster, CA

As of this date, AVTA has not reached the 11.95% DBE participation goal. However, the contractors listed above did include specialty DBE subcontractors for use, if required of a future project. Contractors are also required to demonstrate further good faith efforts toward meeting the Authority's DBE participation goal when no specialty DBE firm was previously listed for any additional work. Those additional subcontracting efforts will be reviewed and approved prior to each project award. Good faith efforts are continuously

monitored throughout the life span of a contract. Staff will continue outreach and monitoring efforts in order to achieve the program's goal in the future.

In January 2015, the Authority and the City of Lancaster will host a business outreach event. This annual event is held to foster growth, interest and development of DBEs and SBEs in the Antelope Valley. The Authority will advertise through various media, including email, radio, print ads and postings throughout our bus fleet. The event is structured to share information from participating members regarding:

1. How to do business with each entity;
2. Upcoming contracts and procurement opportunities; and
3. Contact information for each agency.

Other strategies for increasing DBE and local business participation included an October 28, 2014 release of a Request for Proposals for purchase and implementation of procurement and contracting software for use as a marketing tool and to make opportunities more accessible to local businesses. This solicitation opening is scheduled for November 25, 2014, with contract award scheduled for January 2015.

ALIGNMENT WITH FY15 BUSINESS PLAN GOALS

OPERATE AN EFFICIENT TRANSIT SYSTEM: Improve financial reporting.

Prepared by:

Submitted by:

Lyle Block, CPPB
Procurement Officer

Julie M. Austin
Executive Director

Attachment: A –Semi-Annual FFY 2013/14 DBE and SBE Prime Contract Award and Subcontract Award Report



SEMI-ANNUAL FEDERAL FISCAL YEAR 2013/14 DBE AND SBE CONTRACT AWARD REPORT

Reporting Period - April 1, 2014 through September 30, 2014

Funding	Contract Type	Vendor Name	DBE or SBE	Location	Federal Share Contract Award Amount
Federal	A&E Consulting Services	Arrow Engineering Services, Inc.	SBE	Lancaster, CA	200,000.00
Federal	A&E Consulting Services	Carpenter, Sellers Del Gatto Architects	No	Las Vegas, NV	200,000.00
Federal	A&E Consulting Services	Kennard Design Group	No	Los Angeles, CA	200,000.00
Federal	A&E Consulting Services	Pennfield & Smith Engineers	No	Lancaster, CA	200,000.00
Federal	A&E Consulting Services	TJK Consulting	No	Las Vegas, NV	10,720.00
Federal	Labor Compliance	Golden State Labor Compliance	No	Palmdale, CA	1,360.00
Federal	Construction	MJS Construction	No	Palmdale, CA	851.00
				Total:	\$812,931.00



DATE: November 25, 2014

TO: BOARD OF DIRECTORS

SUBJECT: Award Contract No. 2015-05 to MUFG Union Bank for Banking Services

RECOMMENDATION

That the Board of Directors authorize the executive director to execute Contract No. 2015-05 with MUFG Union Bank for banking services.

FISCAL IMPACT

The contract will be for a period of five years, not to exceed \$50,000 per year for banking fees. Amounts exceeding this limit will be brought back for Board approval. Pricing will be based upon the firm's submitted fee schedule (Attachment CC 6.A). Sufficient funds for this service have been included in the FY15 Business Plan and will be included in the budgets for future years.

BACKGROUND

Since the inception of AVTA, the Authority has received its banking services from Bank of America. The Authority's Procurement Policy and best practices provide that a new solicitation for goods and services should be issued every five years. In recognition of the need for banking services, staff developed and circulated a Request for Proposals (RFP).

AVTA released the RFP on September 5, 2014. The solicitation documents were posted to AVTA's website and advertisements were placed in the *Antelope Valley Press* and *Our Weekly Lancaster* newsletter. The local Chambers of Commerce were also notified via their respective newsletters and email lists; a total of five firms were notified via email with the RFP attached (one each from Bakersfield and Brea with two additional firms from Los Angeles). One additional out-of-state firm from Chicago, IL registered and downloaded the RFP.

Three proposals were submitted on October 1, 2014 in response to the RFP. An evaluating committee reviewed and ranked each proposal on the following criteria: proposer's solution (30%), project budget (20%), similar projects (15%), proposer's

information (15%), project schedule/benchmarks (10%) and personnel (10%). The maximum point score was 500.

Proposals were received from the following firms:

Firm	Location	Total Score (500 Max)
MUFG Union Bank	Glendale, CA	451
Wells Fargo	Riverside, CA	427
Bank of America	Palmdale, CA	421

The evaluation panel consisted of two internal staff members and one TAC member from each jurisdiction. The panel evaluated the solicitation specifications, the submitted proposals and bank fees schedules; all submissions were found to be fair and reasonable.

MUFG Union Bank was the top scoring firm chosen by the evaluators. In addition to their slightly lower banking fees, MUFG Union Bank provided the best solution for AVTA's financial operations. They received 134 out of a total of 150 points for their proposed solution. It was obvious that their experience in the banking industry gave them a clear insight regarding AVTA's needs and expectations for this service. Most of the differences between MUFG Union Bank and Wells Fargo were in the proposed solution and project budget categories. The evaluation panel is confident that MUFG Union Bank will provide competent and timely banking services to AVTA.

ALIGNMENT WITH FY15 BUSINESS PLAN GOALS

OPERATE AN EFFICIENT TRANSIT SYSTEM: Improve financial reporting and increase investment income.

Prepared by:

Submitted by:

Lyle A. Block, CPPB
Procurement and Contracts Officer

Julie M. Austin
Executive Director

Attachment: A – Fee Schedule

EFFECTIVE JANUARY 1, 2014

Government Services

Fee Schedule



Look for these convenient online services.

Many products and services are available online through the **Financial Center**. Conducting your transactions online can help provide convenience, cost savings, and efficiencies for your business. With a single sign-on through one point of entry, you can collect or disburse funds; obtain account information; view paid checks, deposit tickets, and statements; initiate wire transfers; and more.

ACH	8-11
Commercial Deposit Supplies.....	2
Deposited Items Returned	3-4
Electronic Receivables.....	9
Image on Demand	7, 12, 22
Information Reporting.....	13-14
Lockbox.....	15-17
Online Statements.....	1
Positive Pay.....	19-20
Remote Deposit.....	22-23
Stop Payments.....	8
Tax Payment with InstaTax®.....	23
Trade Services	26-28
Wire Transfers.....	24-26

See our *All About Business Accounts & Services and Treasury Management Services Disclosure and Agreement* for additional details of terms and conditions governing your accounts and services.

All pricing subject to change without notice, except as otherwise provided by applicable law. Not all fees and charges are eligible for offset through your earnings allowance. These ineligible fees and charges will be directly charged to your account.

TABLE OF CONTENTS

GENERAL SERVICES	1
Basic Account Services.....	1
Check Processing Services.....	2
Commercial Cash Services (Centralized Cash Vaults)	4
Contractor Retention Funds Service.....	5
Corporate Trust Services.....	5
Custody Services	5
Foreign Exchange Services.....	6
Government Customer Service	6
Training and Implementation Services	8
Transportation Services.....	8
Zero Balance Account (ZBA) Service.....	8
TREASURY MANAGEMENT SERVICES	8
Automated Clearing House (ACH) Origination Services.....	8
ACH Receipt Services.....	10
Bill Concentration Service	11
Controlled Disbursement Service.....	11
Electronic Data Interchange (EDI) Services.....	12
Information Reporting Service.....	13
International Request for Transfer Service.....	14
Investment Services.....	14
Lockbox Services	15
Online Banking Services	17
Online Services @ unionbank.com	17
Integrated Payables Services.....	18
Payroll Services	19
Positive Pay Services.....	19
Property Tax Payments Via Electronic Funds Transfer Services.....	20
Receivables Straight Through Processing Services	21
Reconciliation Services.....	21
Remote Deposit Services	22
Sweep Service.....	23
Tax Payment with InstaTax® Service.....	23
Total Biller Solution Service	24
Wire Transfer Services.....	24
TRADE SERVICES	26
Collections—Incoming and Outgoing.....	26
Export Letters of Credit.....	26
Import Letters of Credit	27
Standby Letters of Credit.....	27
Other Trade Services	28

The lowest-priced option for each service or channel is highlighted for your convenience.

GENERAL SERVICES

BASIC ACCOUNT SERVICES

Account Analysis

Monthly Maintenance and Statement Delivery	Web	Mail	Both
.....per account \$	25.00	50.00	75.00

Statements (822 Format)

Monthly Maintenance	per relationship \$	30.00
Transmission Output.....	each \$	20.00

Account Analysis Earnings Allowance

The rate is set each month based on current market conditions and is subject to change without prior notice. Charges for some services are offset by collected balances, and some may be subject to direct charges.

Account Analysis Late Fee

Earnings deficits remaining unpaid by the date specified on the Account Analysis statement will be assessed a late fee (compounded monthly). Deficit and late assessment amounts are subject to direct debit to the account.

Late Fee.....per month 4%

Deposit Administration Fee

The Deposit Administration Fee may include FDIC assessment charges, financing corporation (FICO) charges, and other charges provided by law and may also include administrative expense incurred by the Bank in providing depository services. The FDIC charges member Insured Depository Institutions risk-based assessments to cover the costs associated with providing deposit insurance under the Federal Deposit Insurance Act, as well as FICO assessments to cover the financing costs associated with the Federal Savings and Loan Crisis of 1987. The Deposit Administration Fee will be assessed monthly at a rate per \$1,000 of average monthly adjusted ledger balance. The charge is variable and is subject to change by the Bank at any time without notice.

Bank Account Services

Bank Statements	Web	Mail	Both
Paper Statements (without Check Images)	5.00	35.00	40.00
Paper Statements (with Check Images)— Mailed			
Front Image.....			27.00
Front and Back Images			32.00

CD of Check Images

CD

.....	each \$	30.00
-------	---------	-------

Image Transmission

Initial Load.....	\$	100.00
Monthly Maintenance	\$	20.00
Per Transmission	each \$	10.00
Per Item	each \$.05

ATM Deposit

.....	per deposit \$	2.00
-------	----------------	------

Branch/Night Depository/Mail Deposit

.....	per deposit \$	2.00
-------	----------------	------

Checks Paid Against Account Per Month

.....	each \$.18
-------	---------	-----

Vendor Services..... consult account manager

Vendor Bill Processing Fee..... \$ 7.50

Night Depository Rental

.....	per month \$	2.00
-------	--------------	------

Foreign Currency Demand Account (FCDA)

Monthly Maintenance

.....	per account \$	50.00
-------	----------------	-------

Third-Party Control Account

Setup.....	per account \$	500.00
Monthly Maintenance	per account \$	50.00
Non-Standard Bank Agreement.....	each \$	500.00

Excess Activity Charge

For each limited transaction in excess of six each monthly statement cycle (money market accounts) or calendar month (savings accounts and money market accounts where the statement cycle date was requested to be on a specific day); includes telephone, online, and overdraft transfers.

Savings.....	per excess activity \$	15.00
Money Market.....	per excess activity \$	15.00

Checks/Deposit Slips Printed/Barcode Labels

.....	consult account manager
-------	-------------------------

Rejects of > 2% of Checks Written and Deposit Slips

as the Result of Non-Standard MICR.....	per item \$.25
Deposit Receipt Mailed	each \$	1.50

Nonsufficient Available or Uncollected Funds (NSF/UCF)

Nonsufficient Available Funds (NSF) Item Charges

Checks or other transactions presented against nonsufficient available funds, whether paid or unpaid:

Nonsufficient Funds.....	per item \$	34.00
Daily Maximum.....		10 items

Overdraft Balance Charges

Overdraft balances and related fees are charged the Union Bank Reference Rate plus 4.0% per annum, computed daily, with a minimum daily charge of \$10.00, assessed from the time such overdraft balances are created and related fees are incurred. The minimum daily charge and accrued interest are then added to the overdraft balance.

Uncollected Funds (UCF)

Customer usage of UCF is charged the Union Bank Reference Rate plus 4.0% per annum (10% minimum), computed on the average daily usage of uncollected funds for the month in question.

Other Services

ACH Blocked Account Monthly Maintenance

ACH Blocked Debit/Credit		
First Account.....	\$	20.00
Accounts 2+.....	each \$	5.00

ACH Debits and Credits

.....	per item \$.15
-------	-------------	-----

Alerts

.....	each \$.50
-------	---------	-----

Check Cashing.....

.....	per item \$	2.75
-------	-------------	------

Clerical Work (Minimum \$50).....

.....	per hour \$	50.00
-------	-------------	-------

Commercial Deposit Supplies..... refer to unionbank.com/supply

Foreign Drafts Purchase.....

.....	each \$	45.00
-------	---------	-------

Money Order Purchase.....

.....	each \$	5.00
-------	---------	------

Official Check Purchase (Cashier's Check)

.....	each \$	7.00
-------	---------	------

Financial Center Security Tokens.....

.....	each \$	FREE
-------	---------	------

Special Handling and Special Processing Options..... consult account manager

Training and Implementation Services..... see page 8

CHECK PROCESSING SERVICES

Our Check Processing services provide flexible options and convenience for improved cash flow and easier transaction reporting.

The prices for all of the following customer service options are in addition to the basic returned item fee.

Check Processing

Check-Only Deposits

Service Center — Un-Encoded.....	per deposit \$	2.00
Service Center — Pre-Encoded.....	per deposit \$	2.00
ATM.....	per deposit \$	2.00
Branch/Night Depository/Mail Deposit.....	per deposit \$	2.00

Checks Deposited	Branch	ATM	Service Center
Union Bank Items.....	per item \$.09	.08	.05
Local Clearing House.....	per item \$.10	.08	.08
Local Fed, District 12.....	per item \$.14	.09	.08
Other Fed.....	per item \$.15	.125	.10
Canadian Checks in USD.....	per item \$ 2.25	2.25	2.25
Encoding Fee.....	per item \$ N/A	N/A	.0325

Subventions (Customer).....	each \$	5.00
Subventions (Non-Customer).....	each \$	35.00

Pre-Sort/Co-Endorsed Service

Per Deposit — Equity Participant.....	per bank, per day \$	9.50
Per Deposit — Regular Participant.....	per bank, per day \$	25.00

Exception Processing

Reject Processing

Check Processing Rejects > .7%.....	per item \$.65
Non-Machinable Deposit.....	per deposit \$	2.00
Non-Machinable Items.....	per item \$.60

Reject Reporting

Daily Faxed Report.....	per month/per acct \$	50.00
Monthly Faxed Report.....	per month/per acct \$	40.00
Monthly Mailed Report.....	per month/per acct \$	90.00

Deposit Corrections

Deposit Correction Notice.....	per item \$	7.00
Documentation for Deposit Corrections Less Than \$50.....	per document \$	6.00

Deposit Correction Reporting — Fax

Daily Reconciliation.....	per month/per acct \$	75.00
Daily Reconciliation Summary.....	per month/per acct \$	25.00
Daily Location Detail.....	per month/per acct \$	50.00

Adjustments

Pre-Encoded Errors.....	per adjustment \$	3.00
Pre-Sort Encoding Errors.....	per adjustment \$	16.00

Check Sent for Collection.....	\$	30.00
--------------------------------	----	-------

Deposited Items Returned

Deposited Items Returned

Returned Item — Charge Back to Account.....	per item \$	9.00
Re-Cleared Item — Re-Deposited.....	per item \$	4.00

Charge Back and Re-Clear Reporting

Copy/Image or Deposited Items Returned on the Web		
Items Made Available for Inquiry.....	each \$	1.50
Items Made Available for Decisioning.....	each \$	2.50
Monthly Maintenance.....	\$	5.00
Daily Data Transmission.....	per transmission \$	20.00
.....	per item \$.25
Fax—Image		
Image Fax Notification.....	per item \$	10.00
Duplicate Advice.....	per item \$	10.50

Returned Item Large Dollar Early Warning Report	Web	Info Reporting*
Warnings Reported.....	per item \$ 5.00	6.00

*Additional fees may apply. Please see Information Reporting Service.

Custom Instruction Options

Alternate Account Number.....	per account \$	5.00
Alternate Mailing Address.....	\$	FREE
Data Entry for Custom Fields.....	per keystroke \$.01
Disposition Change.....	per item \$	7.50
Endorsement Guaranteed.....	per item \$	5.00

Alternate Bank of First Deposit

Monthly Maintenance.....	per account \$	30.00
Requalified Returned Item.....	per item \$	6.00

Endpoint Analysis Reports

These reports provide deposit profile information based on an individual deposit or a daily or cycle summary. Each report contains the item count and dollar value associated with each endpoint, indicates the percentage breakdown of item and dollar amount by Federal Reserve District, and provides the receipt time by deposit and availability assigned by item.

Float Analysis	Relationship	Account	Location
Individual Deposits.....	per report \$ N/A	100.00	N/A
Single Day Report.....	per report \$ 300.00	200.00	N/A
Multiple Day Report (Up to One (1) Month).....	per report \$ 500.00	300.00	150.00
File Output.....	per report \$ 500.00	500.00	500.00

COMMERCIAL CASH SERVICES (CENTRALIZED CASH VAULTS)

Union Bank offers Commercial Cash services with state-of-the-art high-speed currency verification systems for your cash deposits and cash order needs. Commercial cash vaults are located in major cities throughout the United States.

Deposit Services

Cash Vault Deposits

Cash and Coin Only.....	per deposit \$	1.45
Mixed Deposits (Cash and Checks Combined).....	per deposit \$	3.00
Envelope Deposits.....	per envelope \$	1.75
Currency Deposited — Strapped (Per \$1,000).....	\$.95
Currency Deposited — Unstrapped (Per \$1,000).....	\$	1.20

Coin Deposited

Standard Bag/Bulk Coin (FRB Specifications).....	each \$	2.75
Non-Standard.....	per bag \$	3.50
Rolled Coin in Bag Surcharge.....	per bag \$	5.00

Deposit Adjustments.....	each \$	7.00
--------------------------	---------	------

Cash Order Services

Cash Vault Orders

Prearranged Standing Orders.....	each \$	2.50
Batch Cash Order.....	each \$	2.00
Touchtone Cash Orders.....	each \$	3.25
Cash Order—Web.....	each \$	2.50
Telephone/Customer Service Associate Orders.....	each \$	12.00
Late Order (After 11:00 a.m. PT).....	each \$	14.50
Emergency Order.....	each \$	50.00
Currency Orders (Per \$1,000).....	\$	1.00
New Currency (When Available, Per \$1,000).....	\$	1.20

Coin Orders

Per Box.....	\$	4.25
Per Half-Box (\$.05, \$.25, and \$1).....	\$	2.50
Per Roll (Individual Rolls).....	\$.15
Per Bag (Bulk).....	\$	2.00
Changed/Cancelled Cash Order	\$	8.00
Daily Cash Order Report	per month \$	100.00
Cash Vault Reporting	per location/month \$	5.00
Partial Strap Surcharge	per strap \$	2.00

Branch Office

Cash Services — Night Depository/ATM/Branch

Cash Deposit Verified (Per \$1,000).....	\$	1.50
Coin Deposited		
Standard Bags (FRB Specifications).....	per bag \$	10.00
Loose Coin Bagged.....	per bag \$	7.00
Cash Orders (Per \$1,000).....	\$	1.30
Coin Orders.....	per roll \$.25
.....	per box \$	5.00

CONTRACTOR RETENTION FUNDS SERVICE

Union Bank's contractor retention escrow focuses exclusively on helping contractors keep their retention funds working for them. Retention funds can be a source of revenue for your business.

Setup Interest-Earning Retention Accounts (One Time).....	\$	FREE
Setup Pledged Securities Escrow		
and Standby Letters of Credit (One Time).....	per account \$	1,250.00
Disbursement Fee for Pledged Securities Escrow		
and Standby Letters of Credit Accounts Only	each \$	125.00

CORPORATE TRUST SERVICES

Acceptance Fee	consult account manager
Annual Administration	consult account manager
Service to Holders	
Original Issuance of Bonds Transfers Between Holders.....	\$ 5.00
Preparation and Reporting Payment to Holders	
on Form 1099 and Form 599 (Minimum \$150).....	\$ 1.50
Calls/Redemptions (Minimum \$350).....	\$ 5.00
Transaction Charges	
Investment Agreements (Purchase and Setup).....	\$ 500.00
Dissemination Agent (If Applicable).....	per event \$ 225.00
Disbursement.....	\$ 35.00
Investment.....	\$ 60.00
Check or Wire Transfer.....	\$ 35.00

Charges for out-of-pocket expenses such as stationery, postage, insurance, telecommunication, and publication of notices and fees of such outside professionals as attorneys, accountants, or other agents will be billed at 6% of the annual administration fee.

CUSTODY SERVICES

Union Bank provides a comprehensive range of custody and securities lending services for public and nonprofit agencies, including asset safekeeping, trade settlement, principal and income collection, daily cash management, monthly cash and asset statements, and Internet

account access. Depending on unique portfolio holdings, transaction activities, and reporting requirements, customized fee schedules will typically include the following components:

Monthly Administration Fees

Transactions.....	consult account manager
Holdings.....	consult account manager
Disbursements.....	consult account manager
Pay Downs.....	consult account manager
Minimum Annual Aggregated Fee.....	consult account manager

FOREIGN EXCHANGE SERVICES

Improve international trade profitability using Union Bank's competitively priced Foreign Exchange services — from basic spot purchases to hedging strategies, and from wire payment services to foreign currency demand accounts and foreign currency time deposits.

In addition to the wire fees,* the Bank charges an exchange rate markup, subject to transaction size, market conditions, and other factors. For additional information, contact Global Markets Foreign Exchange at (800) 325-9422.

*Please see *Wire Transfer Services*.

GOVERNMENT CUSTOMER SERVICE

Receive fast, efficient service from the convenience of your computer or telephone. Government customer service offers online and touchtone access, 24 hours a day, 7 days a week. Customer service associates are available between 7:00 a.m. and 6:00 p.m. PT, Monday through Friday.

Information Services

Provides ledger balance and status of checks and deposits.

Inquiries	Touchtone	Cust. Service
Balance Inquiry.....	each \$ 7.00	25.00
Status of Specific Check.....	per inquiry \$ 7.00	25.00
Status of Specific Deposit.....	per inquiry \$ 7.00	25.00
Last Four Checks.....	per inquiry \$ 7.00	25.00
Last Four Deposits.....	per inquiry \$ 7.00	25.00

Single Check Inquiry*.....	each \$	Web 2.00
Range Check Inquiry*.....	each \$	3.00

*Additional fees may apply. Please see *Information Reporting Service*.

Retrieval Services

Provides images and copies of checks, deposit slips, deposited items, and statements. Also available through Information Reporting Service.

View Image of Check and Deposit Slip	Online Statements	Touchtone*	Mail or Fax
Up to 120 Days			
Images 1-20.....	each \$ FREE	7.00	35.00
Images 21-50.....	each \$.10	7.00	35.00
Images 51+.....	each \$.25	7.00	35.00

*Not available for deposit slips.

View Image of Deposited Items (Non-Union Bank Item)	Online Statements	Touchtone	Mail or Fax
Less Than 30 Days.....per item \$.50	N/A	35.00
31-60 Days.....per item \$.75	N/A	35.00
61-90 Days.....per item \$	1.00	N/A	35.00
91-120 Days.....per item \$	1.25	N/A	35.00
121+ Days.....per item \$	2.00	N/A	35.00

Image on Demand

Web Image Inquiry for checks, deposit slips, and deposited items

Monthly Maintenance \$ 5.00

Per-Image Fee

Images 0-100..... \$ FREE

Images 101+..... per image \$.25

Image Transmission

Initial Load.....\$ 100.00

Monthly Maintenance.....\$ 20.00

Per Transmission.....each \$ 10.00

Per Item.....each \$.05

View Image of Deposited Items Returned	Web	Touchtone	Mail	Fax
Items Made Available for Inquiryper item \$	1.50	N/A	30.00	33.00
Items Made Available for Decisioningper item \$	2.50	N/A	30.00	33.00
Monthly Maintenance.....\$	5.00	N/A	N/A	N/A

Copy of Statement*	Web	Touchtone	Mail	Fax
Previous Month(s).....per copy \$	5.00	5.00	35.00	N/A
.....per page \$	N/A	N/A	N/A	35.00
Snapshot.....per copy \$	N/A	12.00	35.00	N/A
.....per page \$	N/A	N/A	N/A	35.00

*Statement copies do not include checks or images of checks.

Deposit Reconstruction — Per Occurrence

Customer Service Associate (Minimum \$50).....per hour \$ 50.00

Internal Bank Transfers	Web	Touchtone	Branch/ Direct Access	Cust. Service
Book Transfer.....per transfer \$	5.00	N/A	N/A	30.00
Account Transfer.....per transfer \$	2.50	5.00	6.00	30.00
Mailed Confirmation of Transfer.....each \$	N/A	2.00	5.00	5.00

Miscellaneous Services—Customer Service Associate

Endorsement Verification.....per inquiry \$ 15.00

Wire Transfer Research.....per inquiry \$ 6.00

Standard Bank Confirmations.....each \$ 15.00

Miscellaneous Special Handling (Minimum \$50).....per hour \$ 50.00

Legal Processing Fee.....per item \$ 50.00

LAIF Activity

LAIF (Customer).....each \$ 20.00

LAIF (Non-Customer).....each \$ 25.00

Research Services

Research (Minimum \$50).....per hour \$ 50.00

Stop Payments*	Web	Touchtone	Cust. Serv.
Stop Payment.....each \$	10.00	15.00	32.00
Automatic 6-Month Renewal.....each \$	10.00	10.00	10.00
Range Stop.....each \$	15.00	N/A	26.00

*Stop payment orders for bank accounts and Full and Partial Reconciliation plans.

Official Check (Cashier's Check).....each \$ 10.00

Money Orders.....each \$ 10.00

TRAINING AND IMPLEMENTATION SERVICES

Union Bank offers convenient and cost-effective Web-based training sessions several times per week for many of our products. Telephone and on-site training are also available.

Web-Based Training.....per hour \$ FREE

Telephone Training (Minimum \$25).....per 30-minute increment \$ 25.00

On-Site Training (Including Travel Time).....per hour \$ 100.00

TRANSPORTATION SERVICES

Our Transportation Services Group coordinates the safe, convenient, and efficient transportation of banking materials between Union Bank and its customers using armored car and courier services. Armored car and courier services are the direct agents of the customer; however, Union Bank will recommend the service that best satisfies customer needs and requirements. Negotiable items (currency, coin, bearer bonds, etc.) are transported in dual custody by armored cars, while courier services accommodate checks, bookkeeping material, and other non-negotiable items.

Armored Car and/or Courier Services.....cost + 50%

ZERO BALANCE ACCOUNT (ZBA) SERVICE

The Zero Balance Account (ZBA) service provides consolidation of funds within Union Bank into one concentration account in order to reduce borrowing, maximize cash control, and reduce the costs of multiple account transfers. Account balances are automatically offset on a daily basis from the concentration account, thereby maintaining a constant balance of zero.

Monthly Maintenance

Concentration Account..... \$ 35.00

Accounts 1-10.....each \$ 25.00

Accounts 11+.....each \$ 15.00

TREASURY MANAGEMENT SERVICES

AUTOMATED CLEARING HOUSE (ACH) ORIGINATION SERVICES

ACH Origination services provide a variety of payment services that electronically make and collect payments. Funds disbursement facilitates direct deposit and vendor payments. Funds collections, such as loan payments, dues, and contributions, can be made using a variety of electronic payment options, such as recurring payments,

Web- or telephone-initiated payments, and business payments with remittance information. ACH Origination services also enable collection of truncated checks.

Web Delivery

Provides complete ACH file formatting, database management, and transmission capabilities.

Setup (One Time).....	per service \$ 200.00
Monthly Maintenance	
Per Account.....	\$ 100.00
Transaction Fees (Debit and/or Credit)	
Items 1-100.....	\$ FREE
Items 101+.....	each \$.25
Internet Batch Release (Maximum \$60).....	each \$ 6.00

Electronic Receivables Services

Provides Online Bill Pay, Telephone Pay, and Check Conversion services.

Setup (One Time).....	per setup \$ 200.00
Monthly Maintenance (Per Service)	
First Account.....	\$ 125.00
Additional Accounts (Maximum \$400).....	each \$ 75.00
Transaction Fees (ACH Debit)	
All Consumer-Authorized Transactions, Volume up to 5,000 Transactions.....	each \$.51
All Consumer-Authorized Transactions, Volume Greater Than 5,000 Transactions.....	each \$.15
All Corporate Card or Debit (CCD) Transactions, Volume up to 500 Transactions.....	each \$.90
All CCD Transactions, Volume from 501 to 1,000 Transactions.....	each \$.75
All CCD Transactions, Volume from 1,001 to 5,000 Transactions.....	each \$.60
All CCD Transactions, Volume Greater Than 5,000 Transactions.....	each \$.48
Credit Card Authorization.....	each \$.51
ON US (Remote Deposit Checks Only).....	each \$.08
Transit (Remote Deposit Checks Only).....	each \$.10
Returns (Returns/Total Volume %)	
Returns (0-0.99%).....	each \$.50
Returns (1-1.49%).....	each \$.75
Returns (1.5-1.99%).....	each \$ 1.00
Returns (2-2.49%).....	each \$ 1.50
Returns (2.5%+).....	each \$ 2.50
Standard ACH Return/File	each \$ 2.00
Batch Release	each \$ 1.00

Direct Send and Vendor Delivery

Customers can automate disbursements and collections by using ACH to electronically originate direct deposits and payments.

Setup (One Time).....	per setup \$ 250.00
Monthly Maintenance	\$ 125.00
Transaction Fees (Debit and/or Credit)	
All Volume up to 500 Transactions.....	each \$.105
All Volume from 501 to 5,000 Transactions.....	each \$.095
All Volume from 5,001 to 10,000 Transactions.....	each \$.085
All Volume Greater Than 10,000 Transactions.....	each \$.065
Transmissions (Maximum \$220).....	each \$ 20.00
Vendor (ADP and/or Ceridian).....	each \$ 10.00

ACH Standard Charges

ACH Suspended File	each \$ 75.00
ACH Over the Limit	per batch/file \$ 50.00
Addenda Records	each \$.05
Additional Testing	per test \$ 100.00
Composite File	per month \$ 20.00
Dishonored/Disputed Entries	each \$ 15.00
Manual Maintenance	per account \$ 75.00
NACHA Rule Book	each \$ 45.00

Notification of Change/Return	Web/Transmission*	Fax	Mail
.....per item \$	5.00	7.00	15.00

*Transmission fees apply.

Premium Window Surcharge	per item \$.10
Redistribution	each \$.10
Rejects/Reversals/Deletions	per item/batch \$ 25.00
Tracers	each \$ 25.00
Optional Output Reports	per month \$ 125.00
.....	per item \$.15
ACH Acknowledgment Report (Via Web and Email).....	each \$ 5.00
Custom Reports/Programming (Minimum \$130).....	per hour \$ 130.00
Automatic 2-Day Account Prefunding	per month \$ 25.00
Automatic 2-Day Account Prefunding NSF	per month \$ 50.00
Manual Account Prefunding	per batch/file \$ 125.00
Fines	cost + 35%
Research (Minimum \$50).....	per hour \$ 50.00
ACH International	
Credit (North America).....	each \$ 5.00
Return/Notification of Change (North America).....	each \$ 10.00
Credit (Europe).....	each \$ 5.00
Return/Notification of Change (Europe).....	each \$ 10.00
Training and Implementation Services	see page 8

ACH RECEIPT SERVICES

ACH Receipt services facilitate the secure receipt of payments and remittance data while protecting your accounts against fraud.

Electronic Payment Authorization

Our Electronic Payment Authorization service allows you to restrict incoming ACH activity, which safeguards your accounts against the posting of unauthorized ACH transactions.

Setup (One Time).....	per account \$ 25.00
Monthly Maintenance	
First Account.....	\$ 15.00
Accounts 2-10.....	each \$ 10.00
Accounts 11+.....	each \$ 8.00
Manual Maintenance	per account \$ 75.00
Trading Partner Setup (Account Filters)	
Partner and Instruction.....	each \$ 15.00
Change to Existing Authorization (Purge, Revoke, Reset).....	each \$ 5.00
Tracers	each \$ 20.00
Reporting and Decisioning	per month \$ 10.00
Training and Implementation Services	see page 8

Government Electronic Payments

Setup (One Time).....	per setup \$ 200.00
Monthly Maintenance	per account \$ 125.00
Transaction Fees (Debit and/or Credit).....	per item \$.15

Universal Payment Identification Code (UPIC)

Universal Payment Identification Codes (UPICs) are permanent and secure bank account identifiers that allow companies to receive electronic credit payments without divulging sensitive bank information. UPICs enable you to openly share your account information (e.g., print on invoices) to promote the receipt of electronic payments. Debits initiated with UPICs are blocked, reducing the risk of unauthorized debits to your accounts.

Setup (One Time).....	per setup \$	25.00
Monthly Maintenance	per account \$	15.00

BILL CONCENTRATION SERVICE

Our Bill Concentration service expedites the receipt and reporting of payments from electronic bill payment services such as online banking and consumer payments originated online. The biller electronically receives a concentrated lump sum credit to a designated checking account. Remittance detail is transmitted in standard or custom format to be uploaded to the biller's accounts receivable system.

Setup (One Time).....	per setup \$	150.00
Custom Programming (Optional)—Minimum \$75.....	per hour \$	75.00
Monthly Maintenance	per account \$	150.00
Transmissions	per file \$	10.00
Items 1-250.....	each \$.10
Items 251-1,000.....	each \$.09
Items 1,001+.....	each \$.08

Returned Items

Manual Fax.....	per item \$	25.00
-----------------	-------------	-------

Reversals	per item \$	15.00
------------------------	-------------	-------

Remake of Transmission	each \$	20.00
-------------------------------------	---------	-------

Tracers	each \$	20.00
----------------------	---------	-------

Research (Minimum \$50).....	per hour \$	50.00
-------------------------------------	-------------	-------

Training and Implementation Services	see page 8
---	------------

CONTROLLED DISBURSEMENT SERVICE

The Controlled Disbursement service provides early-morning dollar totals of checks presented for payment at the disbursing bank. Daily presentments are funded from your Union Bank accounts. The service provides maximum control over daily disbursements and eliminates the uncertainty of when checks will be presented for payment.

Monthly Maintenance

1 Account.....	\$	225.00
2 Accounts.....	each \$	150.00
3 Accounts.....	each \$	125.00
4 Accounts.....	each \$	100.00
5-9 Accounts.....	each \$	85.00
10+ Accounts.....	each \$	75.00

Zero Balance Accounts	\$	FREE
------------------------------------	----	------

Account Analysis	\$	FREE
-------------------------------	----	------

Statements	\$	FREE
-------------------------	----	------

Paid Checks

	Truncated
Items 1-5,000.....	each \$.15
Items 5,001-10,000.....	each \$.14
Items 10,001+.....	each \$.13

Check Verification—Missing Signatures	per check \$	50.00
--	--------------	-------

Check Processing Rejects > 2%	per item \$.75
---	-------------	-----

Returned Items	per check \$	10.00
-----------------------------	--------------	-------

Copy/Image Services

 Web Image Inquiry/Copy of Checks

Monthly Maintenance.....	per account \$	FREE
--------------------------	----------------	------

Image on Demand

 Web Image Inquiry for checks, deposit slips, and deposited items

Monthly Maintenance.....	\$	5.00
--------------------------	----	------

 Per-Image Fee

Images 0-100.....	\$	FREE
-------------------	----	------

Images 101+.....	per image \$	0.25
------------------	--------------	------

Fax of Non-Check Items	per item \$	12.00
-------------------------------------	-------------	-------

Image Transmission

Initial Load.....	\$	100.00
-------------------	----	--------

Monthly Maintenance.....	\$	20.00
--------------------------	----	-------

Per Transmission.....	each \$	10.00
-----------------------	---------	-------

Per Item.....	each \$.05
---------------	---------	-----

CD	each \$	20.00
-----------------	---------	-------

.....	per image \$.03
-------	--------------	-----

Reproduced CD.....	each \$	35.00
--------------------	---------	-------

Fees supersede Analyzed Checking Account and Account Reconciliation per-item charges.

Activity Notification Using Information Reporting Service*

**Additional fees may apply. Please see Information Reporting Service.*

Training and Implementation Services	see page 8
---	------------

ELECTRONIC DATA INTERCHANGE (EDI) SERVICES

EDI services include Origination and Receipt capabilities. EDI Origination provides the ability to collect and disburse funds with associated payment information. Funds can be disbursed by ACH or check. EDI Receipt provides access to electronic payments with remittance information in electronic or paper format. We offer a variety of formats, such as 820—Payment, 827—Return, 824—Acknowledgment Detail, 997—Acknowledgment Summary, 823—Lockbox, and 822—Account Analysis.

Origination

Setup.....	per account \$	150.00
------------	----------------	--------

Monthly Maintenance.....	per account \$	150.00
--------------------------	----------------	--------

Transactions.....	each \$.10
-------------------	---------	-----

 Addendum

Addenda 1-1,000.....	each \$.10
----------------------	---------	-----

Addenda 1,001-5,000.....	each \$.05
--------------------------	---------	-----

Addenda 5,001+.....	each \$.04
---------------------	---------	-----

Balancing.....	per transaction \$.01
----------------	--------------------	-----

RDFI Account Validation.....	per transaction \$.01
------------------------------	--------------------	-----

Transmissions (Maximum \$220 Per Month).....	each \$	20.00
--	---------	-------

Transmission Remake.....	each \$	20.00
--------------------------	---------	-------

Custom Programming (Minimum \$150).....	per hour \$	150.00
---	-------------	--------

Research (Minimum \$50).....	per hour \$	50.00
------------------------------	-------------	-------

Return—File.....	per item \$	2.00
------------------	-------------	------

Return—Transmission (Maximum \$220).....	each \$	20.00
--	---------	-------

Receipt

Setup.....	per account \$	150.00
------------	----------------	--------

Monthly Maintenance.....	per account \$	70.00
--------------------------	----------------	-------

Transactions		
Transactions 1-4.....	each \$	5.00
Transactions 5+		
Paper Format.....	each \$.40
Electronic.....	each \$.10
Addendum		
Paper.....	each \$.12
Electronic.....	each \$.07
Transmissions (Maximum \$220 Per Month).....	each \$	20.00
File Remake.....	each \$	25.00
Custom Programming (Minimum \$150).....	per hour \$	150.00
Fax Retransmittal.....	each \$	5.00
Research (Minimum \$50).....	per hour \$	50.00
Training and Implementation Services	see page 8	

INFORMATION REPORTING SERVICE

Our Information Reporting service is designed to assist you in the management of cash by providing information on activity posted to accounts at Union Bank and on accounts maintained at other institutions. Consolidated reports provide details of transaction activity across all bank accounts, enabling you to monitor your overall cash position.

Previous Day Reporting

Includes Positive Pay transactions in Summary/Detail, Controlled Disbursement posted transactions, and incoming domestic and international data exchange transactions.

Balance Summary/Detail Reports

(Includes U.S. Dollar and Foreign Currency Accounts)

	Web	
First Account.....	per month \$	90.00
Additional Accounts.....	each \$	80.00
Transaction Charge.....	per item reported \$.15

Consolidation Account.....per month \$ 100.00

Automated Report of Deposit

Per Month.....	per account \$	60.00
Transaction Charge.....	per deposit reported \$.20

Consolidated Receivables Report.....per month \$ 50.00

EDI Receipt Report.....per month \$ 50.00

Entry Collection Details (ACH).....per month \$ 100.00

Transaction Charge.....per detail item \$.15

Sweep Account Report.....per month \$ 20.00

Intra-Day Reporting

Includes Controlled Disbursement transactions in Summary/Detail, Float Adjustments, and Same Day Positive Pay Exceptions.

Consolidated Summary/Detail Reports

(Includes U.S. Dollar and Foreign Currency Accounts)

	Web	
First Account.....	per month \$	75.00
Additional Accounts.....	each \$	65.00
Transaction Charge.....	per item reported \$.20

Consolidated Payables Report.....per month \$ 50.00

Wholesale Lockbox Detail Reports

First Account.....	per month \$	65.00
Additional Accounts.....	each \$	45.00
Transaction Charge.....	per deposit \$.20

Pooling Report

Setup.....	\$	250.00
Monthly Maintenance.....	per account \$	50.00

Returned Item Large Dollar Early Warning Report.....per month \$ 20.00

Data Exchange—Outgoing Balance Reporting

Domestic Monthly Maintenance.....per account \$ 120.00

Domestic Transaction Charge.....per item reported \$.25

SWIFT940 Monthly Maintenance.....per account \$ 120.00

SWIFT942 Monthly Maintenance.....per account \$ 100.00

View Image of Check or Deposit Slip up to 120 Days

Images 1-20.....each \$ **FREE**

Images 21-50.....each \$.10

Images 51+.....each \$.25

Transmissions.....each \$ 10.00

.....per item \$.18

Research/Handling (Minimum \$50).....per hour \$ 50.00

Custom Programming (Minimum \$130).....per hour \$ 130.00

Training and Implementation Services.....see page 8

INTERNATIONAL REQUEST FOR TRANSFER SERVICE

Initiate transfer requests for your accounts overseas through Union Bank's Financial Center. Using our Wire and Internal Transfer application, send transfer instructions to your foreign bank and conveniently repatriate funds, make local and/or third-party payments, or concentrate funds from multiple accounts.

Setup Fees—One-Time Only

Setup (Existing Partner Bank).....\$ 50.00

Setup (New Partner Bank).....\$ 500.00

Maintenance Fee

Monthly Maintenance.....\$ 25.00

Payment Fees

Local Currency—Payment.....each \$ 7.50

Cross Border Currency—Payment.....each \$ 10.00

Local Currency—Transmission File.....per file \$ 7.50

Cross Border Currency—Transmission File.....per file \$ 10.00

Cross Border Currency—SCORE File.....per file \$ 10.00

Per File (via Transmission).....per file \$ 10.00

Per File (SCORE).....per file \$ 10.00

Returns/Rejects/Repairs

MT101 Returned—Union Bank Fee.....each \$ 50.00

MT101 Returned—Foreign Bank Fee.....each \$ 5.00

MT101 Rejected—Union Bank Fee.....each \$ 10.00

MT101 Rejected—Foreign Bank Fee.....each \$ 5.00

MT101 Repaired—Union Bank Fee.....each \$ 35.00

MT101 Repaired—Foreign Bank Fee.....each \$ 5.00

Your foreign bank will charge fees in addition to the fees listed above.

INVESTMENT SERVICES

Investments available through UnionBanc Investment Services LLC (UBIS), a registered broker-dealer, investment advisor, member FINRA/SIPC, and subsidiary of Union Bank, N.A.:

Are NOT insured by the FDIC or by any other federal government agency

- Are NOT Bank deposits
- Are NOT guaranteed by the Bank or any Bank Affiliate
- MAY lose value

LOCKBOX SERVICES

Lockbox services provide the ability to speed collections, streamline remittance processing, and improve audit trails. You can view images of checks and correspondence online, providing convenience and potential savings for your business.

Wholesale Lockbox

Wholesale Lockbox service automates the collection and reporting of low-volume/high-dollar payments by mail. Remittance processing is performed according to customized instructions. Checks are deposited directly to the checking account for same-day ledger credit. The service provides several options, including specialized processing, reporting, data entry, and data transmissions.

	Web	Paper	Both
Monthly Maintenance..... per box \$	160.00	160.00	160.00
Image Delivery			
Monthly Maintenance..... per box \$	100.00	N/A	N/A
Item Processed..... each \$.38	.48	.48
CD Produced..... each \$	35.00	N/A	N/A
Web Access..... per image \$.03	N/A	N/A
Check Image (Black/White)..... each \$.05	N/A	N/A
Document Image (Black/White)..... each \$.10	N/A	N/A
Document Image (Color)..... each \$.12	N/A	N/A
Exception Decisioning..... per item \$	1.00	N/A	N/A
Image Transmission..... per image \$.03	N/A	N/A
Rejects/Correspondence..... per item \$.25	.50	.50
Photocopies..... per copy \$	N/A	.144	N/A
Copy Request—			
Customer Service Associate..... per item \$	11.50	11.50	11.50
Reassociate Invoice/Copies..... per item \$	N/A	.15	N/A
Image System Reports..... per month \$	N/A	50.00	N/A
Custom System Reports..... per month \$	N/A	200.00	N/A
Special Stapling..... per item \$	N/A	.06	N/A
Additional Mailing..... per month \$	N/A	50.00	N/A
Shipping/Handling..... per month \$	N/A	100.00	N/A

Forwarding Address Notification.....cost + 20%

Account Maintenance

First Account/Deposit Group.....	\$	FREE
Accounts 2-10/Deposit Group..... each \$		15.00
Accounts 11-25/Deposit Group..... each \$		20.00
Accounts 26+/Deposit Group.....	consult account manager	

Wholesale Lockbox Deposit..... \$ 1.60

Payee Verification

Payees 1-10.....	\$	FREE
Payees 11-20..... per item \$.08
Payees 21-30..... per item \$.12
Payees 31+..... per item \$.15

Postal Box Rental.....cost

New Client Setup..... \$ 125.00

Sorting Options

Group Sort (2 Batches)..... per item \$.10
Fine Sort (3+ Batches)..... per item \$.22
Invoice Sort..... per item \$.22

Reporting Options

Data Entry..... per keystroke \$.02
Data Transmission..... per month \$		210.00
Data Transmission/Media..... per item \$		FREE

Deposit Notification

Summary..... per month \$		125.00
With Subtotals..... per month \$		200.00
With Detail..... per month \$		175.00
Out-of-State..... additional per month \$		30.00
Returned Items..... per call \$		5.00

Additional Service Options

Early-Morning Release..... per month \$		65.00
Multiple Daily Deposits..... per month \$		55.00
Stamping Invoice..... per item \$.10
Written Notation..... per item \$.12
Date Lookup..... per item \$.10
Stop List..... per month \$		150.00
..... per item \$.05
Payment Reversal..... per item \$		10.00
Balance Invoice(s) to Check..... per item \$.05
Open Envelope by Hand..... per item \$.20
Foreign Item Processing*..... per item \$		8.50

*Additional fees may apply. Please see Foreign Exchange Services.

Cash Item..... per envelope \$		12.50
Telephone Inquiry..... per call \$		10.00
Unidentifiable Item..... per item \$		5.00
Fax..... per page \$		7.00
Custom Programming (Minimum \$130)..... per hour \$		130.00
Special Handling (Minimum \$100)..... per hour \$		50.00
Batch Preparation..... per batch \$		1.25

Incoming Certified/Express Mail..... per envelope \$ 5.00

Training and Implementation Services..... see page 8

Wholesale Lockbox

Wholesale Lockbox service automates the collection and reporting of high-volume/moderate-dollar payments by mail. Optical Character Recognition (OCR) scanlines containing remitter account number and payment due are read from the remittance coupons, compared to the actual payment amounts, and transmitted to you. Select from a wide range of processing options to customize processing instructions, view images of checks and scanned documents, and make online annotations to payments. Checks are deposited directly to your Union Bank account for same-day ledger credit. Specialized options, such as custom reports, data transmissions, check-only payments with copies, and other popular service options are available.

New Client Setup..... per box \$ 350.00

Monthly Maintenance..... per box \$ 175.00

Account Maintenance

Maximum of One Account Per Deposit Group..... \$ FREE

Wholesale Lockbox Deposit..... \$ 1.60

Image Delivery

Image Delivery Monthly Maintenance..... per box \$		100.00
Web Access..... per image \$.03
CD Produced..... each \$		35.00
Check Image (Black/White)..... each \$.05
Document Image (Black/White)..... each \$.05
Image Transmission..... each \$.03

Items Processed

Items 5,000-25,000..... per item \$.265
Items 25,001-40,000..... per item \$.242

Items 40,001+.....	per item \$.215
Check-Only Remittances.....	additional per check \$.21
Low-Volume Surcharge (< 5,000 Items Per Month).....	per item \$.13
Payee Verification (Simple Names)		
Up to 5 Payees Only.....	per item \$.50
Rejects/Correspondence	per item \$.25
Non-Matched Items	per item \$.35
OCR Scanline Repair	per keystroke \$.02
Item Options		
Coupon Copy/Image.....	per copy \$.1377
Check Copy/Image.....	per item \$.1377
Postal Box Rental	cost	
Manual Sort (Up to (4) Batches Only).....	per item \$.20
Reporting Options		
Data Entry.....	per keystroke \$.02
Summary System Report.....	per month \$	FREE
Custom System Reports.....	per month \$	200.00
Data Transmission.....	per month \$	200.00
Magnetic Media.....	per month \$	250.00
Data Transmission/Media.....	per item \$.005
Additional Transmissions.....	each \$	25.00
Deposit Notification		
Summary.....	per month \$	125.00
Out-of-State.....	additional per month \$	30.00
Additional Service Options		
Multiple Daily Deposits.....	per month \$	55.00
Stop List.....	per month \$	150.00
.....	per item \$.005
Payment Reversal.....	per item \$	10.00
Foreign Item Processing*.....	per item \$	8.50
*Additional fees may apply. Please see Foreign Exchange Services.		
Cash Item.....	per envelope \$	12.50
Telephone Inquiry.....	per call \$	10.00
Fax.....	per page \$	7.00
Additional Mailing.....	per month \$	50.00
Shipping/Handling.....	per month \$	100.00
Custom Programming (Minimum \$130).....	per hour \$	130.00
Special Handling (Minimum \$50).....	per hour \$	50.00
Copy Request—Customer Service Associate.....	per item \$	11.50
Remittance Designated Deposit Distribution.....	per month \$	600.00
Incoming Certified/Express Mail.....	per envelope \$	5.00
Training and Implementation Services	see page 8	

ONLINE BANKING SERVICES

Financial Center Add/Change/Delete a User ID		
Self-Service.....	each \$	FREE
Bank.....	each \$	5.00

ONLINE SERVICES @ UNIONBANK.COM

Access many products and services online through the Financial Center. Conducting your transactions online can help provide convenience, cost savings, and efficiencies for your business. With a single sign-on through one point of entry, you can collect or disburse funds; obtain account information; view paid checks, deposit tickets, and statements; initiate wire transfers; and more.

These services are now available online (see pages below):

• ACH.....	8-11	• Online Statements.....	1
• Commercial Deposit Supplies.....	2	• Positive Pay.....	19-20
• Deposited Items Returned.....	3-4	• Remote Deposit.....	22-23
• Electronic Receivables.....	9	• Stop Payments.....	8
• Image on Demand.....	7, 12, 22	• Tax Payment with InstaTax®.....	23
• Information Reporting.....	13-14	• Trade Services.....	26-28
• Lockbox.....	15-17	• Wire Transfers.....	24-26

INTEGRATED PAYABLES SERVICES

Outsourcing your payment processing allows you to streamline operations and reduce the cost and security risks associated with account payables-related payments.

Monthly Maintenance	per account \$	100.00
Payroll Site Monthly Maintenance	per site \$	300.00

Account Setup

ACH, Check, VCard, and/or Wires

1 Service.....	\$2,000.00
2 Services.....	\$3,500.00
3 Services.....	\$5,000.00
4+ Services.....	\$6,000.00

Online Payroll Access, Payroll 1099, Payroll W2, and/or Payroll 1042S

1 Service.....	\$2,000.00
2 Services.....	\$3,500.00
3 Services.....	\$5,000.00
4+ Services.....	\$6,200.00

Document Print, Convert Paper to Electronic

Per Account.....	consult account manager
------------------	-------------------------

Checks

Check Printing	Domestic Checks	Canada Checks	Overflow
Items 1-9,999.....	each \$.40	.60	.11
Items 10,000-49,999.....	each \$.35	.53	.09
Items 50,000-99,999.....	each \$.30	.48	.08
Items 100,000+.....	each \$.25	.42	.07
Check Reprinting.....	each \$		4.00
Manual Check Pull			
Items 1-100.....	each \$		2.75
Items 101+.....	each \$		2.50
Check Extra Logo or Signature.....	each \$		250.00

ACH and Paper to Electronic

ACH Converted Check.....	each \$.35
ACH Converted Check Overflow Page.....	each \$.08
ACH Reformatted Transactions and Canada EFT		
Items 1-9,999.....	each \$.20
Items 10,000-49,999.....	each \$.15
Items 50,000-99,999.....	each \$.14
Items 100,000+.....	each \$.13
ACH Overflow Addenda Line.....	per page \$.007

Wires	each \$	10.00
--------------------	---------	-------

Virtual Card Payments	each \$.25
Set Up or Remove Customer Account	per account \$	115.00

Other Print Charges

Invoice Printing		
Items 1-9,999.....	each \$.40
Items 10,000-49,999.....	each \$.35
Items 50,000-99,999.....	each \$.30
Items 100,000+.....	each \$.25

Oversized Mailing.....	each \$	1.75
Payroll Mailing of 1099, W2, 1042S Forms		
Items 1-20,000.....	each \$.44
Items 20,001+.....	each \$.40
Mail Advices		
Items 1-1,000.....	each \$.15
Items 1,001-2,500.....	each \$.14
Items 2,501-5,000.....	each \$.13
Items 5,001-10,000.....	each \$.11
Items 10,001+.....	each \$.10
Conversion Inserts (Our Custom) with Check.....	each \$.13
Conversion Inserts (Customer Doc) with Check.....	each \$.06
Conversion Inserts (Our Custom) Exclusive Mailing, Postage Extra.....	each \$.13
Conversion Inserts (Customer Doc) Exclusive Mailing, Postage Extra.....	each \$.06
Overnight Handling Charges		
Items 1-50.....	each \$	2.70
Items 51-500.....	each \$	5.40
Items 501+.....	consult account manager	
Overnight Mail	cost	
Postage	cost	
Training and Implementation Services	see page 8	

PAYROLL SERVICES

Our Payroll services automate the payroll process. Data may be input by telephone, transmitted forms, or personal computer. The services are offered through third-party vendors and are distinguished by the use of official checks for employee disbursements—eliminating customer expenses associated with a separate payroll account.

Official Bank Check

Monthly Maintenance.....	\$	135.00
Checks Issued.....	per item \$.29

If payroll vendor fees are paid by the Bank and applied to Account Analysis, a markup of 35% will be added.

For Direct Deposit, see ACH Origination Services.

Training and Implementation Services.....see page 8

POSITIVE PAY SERVICES

Positive Pay services provide early fraud detection and prevention by assisting in the review of suspicious or unauthorized checks before they are paid. Three plans are offered to meet your disbursement needs: Positive Pay with Account Reconciliation, Reverse Positive Pay with Account Reconciliation, and Basic Positive Pay.

	Pos. Pay	Reverse Pos. Pay
Monthly Maintenance.....per account \$	FREE	FREE

Payee Name Validation.....each \$.035

Activity Notification Using Information Reporting Service*

*Additional fees may apply. Please see Information Reporting Service.

Handling*

Exceptions Items Returned.....	each \$	12.50
Special Handling.....	per account, per day \$	25.00
Paid No Issue Exceptions		
Exceptions 1-24.....	\$	FREE
Exceptions 25+.....	each \$.25

*Additional fees may apply. Please see Reconciliation Services.

Holiday Scheduling.....per day \$ 25.00

Web Delivery	Pos. Pay	Reverse Pos. Pay
Exceptions Reported.....	each \$ 1.25	.20
View Exceptions Items.....	each \$.50	.50
View Duplicate Items.....	each \$ FREE	FREE
View History Image.....	each \$.50	.50
Upload.....	each \$.18	N/A
Manual Issue.....	each \$.25	N/A

All standard reconciliation reports will be provided at no additional charge.

Basic Positive Pay

Without Account Reconciliation

Account Base.....per account \$ 55.00

Per Paid Check

Items 1-250.....	each \$.04
Items 251-500.....	each \$.06
Items 501+.....	each \$.20

Returned Item.....per item \$ 12.50

Web Delivery

Exceptions Reported.....	each \$	1.25
View Exceptions Items.....	each \$.50
View Duplicate Items.....	each \$	FREE
View History Image.....	each \$.50
View Duplicate History Image.....	each \$	FREE

PPW Upload

Items 1-250.....	\$	FREE
Items 251-500.....	each \$.30
Items 501-750.....	each \$.45
Items 751+.....	each \$.60

PPW Manual Issue

Items 1-250.....	\$	FREE
Items 251-500.....	each \$.30
Items 501-750.....	each \$.45
Items 751+.....	each \$.60

Training and Implementation Services.....see page 8

PROPERTY TAX PAYMENTS VIA ELECTRONIC FUNDS TRANSFER SERVICES

ACH Debit

Setup.....	\$	750.00
Monthly Maintenance.....	\$	400.00
Touchtone Transaction Fee.....	\$	15.00
Fax Mailed Receipts.....	\$	15.00

Output Options—CCD + TXP Format

Consolidation of Debits and Credits.....	\$	FREE
Data Transmission.....	each \$	55.00

Information Reporting Options

Database Enrollment.....	per report \$	250.00
Daily Summary Reports.....	per report \$	50.00
Faxed/Mailed Receipt.....	\$	15.00

Electronic Credits.....\$ 2.50

RECEIVABLES STRAIGHT THROUGH PROCESSING SERVICES

Receivables Straight Through Processing automates the end-to-end cash application processing, taking the costly and time-consuming manual processes out of receivables management and greatly improving the efficiency of the collections cycle. The interactive web portal expedites back-end processing through receivables matching and deductions and exceptions workflows, including reporting and analytics.

New Client Setup	per setup \$	250.00
Monthly Maintenance	per account \$	150.00
Invoice Upload (Transmission).....	per invoice \$.25
Invoice Upload (Portal).....	per invoice \$.25
Payment Upload (ACH, Lockbox, Wires, RDC, CV, etc.).....	per payment \$.10
Cash Application (Each Time RSTP Applies Cash to an Open A/R).....	per event \$.25
Input Transmissions (Maximum \$220).....	per file \$	20.00
Output File	per file \$	20.00
Custom Programming	per hour \$	200.00

RECONCILEMENT SERVICES

Our Account Reconciliation services provide better control and increased productivity over manual reconciliation of bank statements. The service has three options: Full Account Reconciliation, Partial Account Reconciliation, and Deposit Reconciliation.

Full Account Reconciliation: Reports and reconciles check activity and requires check issue input from the customer.

Partial Account Reconciliation: Reports check activity and requires no additional input from the customer.

Deposit Reconciliation: Reports deposit activity by deposit location and type.

	Full	Partial	Deposit*
Monthly Maintenance..... per box \$	110.00	65.00	60.00
Deposit Reconciliation..... per deposit \$	N/A	N/A	.15
Issue Input			
Transmissions..... each \$	10.00	N/A	N/A
(Minimum \$25)..... per issue \$.065	N/A	N/A
MICR Copy (with \$100 Maintenance)..... per issue \$	2.00	N/A	N/A
Issue Input—Additional Data..... per issue \$.11	N/A	N/A
Rejected Issue Re-Entry..... per issue \$.15	N/A	N/A
File Maintenance..... per transaction \$.15	N/A	N/A
Record Maintenance..... per issue \$	1.50	N/A	N/A
..... per range \$	25.00	N/A	N/A
Checks Paid Per Month with Truncation			
Checks 1-5,000..... each \$.12	.14	N/A
Checks 5,001+..... each \$.095	.12	N/A

*Additional fees may apply. Please see Basic Account Services.

Reconciliation Services—Optional Features

Online Reporting

PDF Reports..... \$ **FREE**

(Additional fee may apply for Optional Reports)

CSV Format Reports

Per Month.....	per account \$	20.00
Reports Downloaded		
0-10,000 items.....	each \$.015
10,001+ items.....	each \$.008

Copy/Image Services

Web Image Inquiry/Copy of Checks		
Monthly Maintenance.....	per account \$	FREE

Image on Demand

Web Image Inquiry for checks, deposit slips, and deposited items		
Monthly Maintenance.....	\$	5.00
Per-Image Fee		
Images 0-100.....	\$	FREE
Images 101+.....	per image \$.25

Image Transmission

Initial Load.....	\$	100.00
Monthly Maintenance.....	\$	20.00
Per Transmission.....	each \$	10.00
Per Item.....	each \$.05

CD..... each \$ 30.00

Check Capture.....	per item \$.05
CD Reproduction (> 45 Days Following Original Production).....	per CD \$	90.00

Transmission Output..... each \$ 12.50
..... per item \$.025

Stale Date Feature (Includes Reports)

Monthly Maintenance.....	\$	10.00
Stale Date Listing (Minimum \$15).....	per item \$.006

Delivery

Paper Report Printing and Shipping.....	per package \$	75.00
Special Handling.....	additional per package \$	5.00

Snapshot Reconciliation (Does Not Include Statement Charge)..... each \$ 25.00

Additional Cutoff (Demand)—Does Not Include Statement Charge..... each \$ 50.00

Returned Items..... each \$ 12.50

Stop Payments..... each \$ 25.00

Stop Payment Renewal..... each \$ 8.00

Optional Reports

Outstanding Only.....	per report \$	10.00
Paid No Issue.....	per report \$	10.00
Cancel Check.....	per report \$	7.50
Additional Copies.....	per report \$	10.00
Other (Non-Standard).....	per report \$	10.00

Clerical Services (Minimum \$50)..... per hour \$ 50.00

Custom Reports/Programming..... per hour \$ 130.00

Training and Implementation Services..... see page 8

REMOTE DEPOSIT SERVICES

Our Remote Deposit services automate check deposits, increase funds availability, and improve efficiencies. No matter where your operations are or how many locations you have, you can electronically scan and deposit all of your checks directly into your account at Union Bank.

Web Software Delivery

Customers can use our Web-based software and certified scanner to submit deposits. See your deposit manager for current scanner options and pricing.

Setup (One Time)	\$ 200.00
Monthly Maintenance	
First Account.....	\$ 125.00
Additional Accounts (Maximum \$400).....each \$	75.00
Transaction Fees — ON USeach \$.08
Transaction Fees — Transiteach \$.10
Deposit Submittedeach \$	1.00

Image Cash Letter/Direct Send Delivery

Customers can submit deposits using an industry standard image deposit file.

Setup (One Time)	\$ 250.00
Monthly Maintenance	
First Account.....	\$ 125.00
Additional Accounts.....each \$	40.00
Transaction Feeseach \$.06
File Transmitted (Maximum \$220)each \$	20.00

Vendor Delivery

Customers can submit deposits via payment-processing vendor using an industry standard image deposit file.

Setup (One Time)	\$ 250.00
Monthly Maintenance	
First Account.....	\$ 125.00
Additional Accounts.....each \$	40.00
Transaction Feeseach \$.06
Deposit Submitted (Maximum \$100)each \$	5.00

SWEEP SERVICE

The Sweep service can make your daily treasury management more efficient and less time consuming. End-of-day collected balances are used to determine daily investment/loan transaction amounts so that you do not have to estimate your end-of-day excess cash position.

Monthly Maintenance	\$ 250.00
----------------------------------	-----------

TAX PAYMENT WITH INSTATAX® SERVICE

Our InstaTax service provides for the deposit of business taxes electronically online or by touchtone telephone. Experience speed, convenience, and reliability, since the InstaTax service eliminates the need for check writing, mailing, and coupon completion.

	Web	Touchtone
Setup per access code \$	15.00	15.00
Monthly Maintenance per access code \$	5.00	15.00
Transaction Processing		
Payments, Cancellations, and Deletions..... each \$	2.00	5.00
Payment Reversal..... each \$	10.00	10.00

Receipts/Statements

Fax Receipt.....each \$	1.00
Mail Receipt.....each \$	5.00
Monthly Statement.....each \$	15.00
Quarterly Statement.....each \$	15.00

Training and Implementation Services.....see page 8

TOTAL BILLER SOLUTION SERVICE

Our Total Biller Solution service provides payment acceptance through Internet, Mobile Web, IVR, and CSR payment channels and modules and supports Electronic Bill Payment and Presentation services. Also, it supports electronic transaction processing through Re-Direct and Web Services/XML processes.

Basic Package A*

Setup per Account.....	per setup \$ 1,500.00
Monthly Maintenance.....	per account \$ 400.00

Plus Package B*

Setup per Account.....	per setup \$ 2,000.00
Monthly Maintenance.....	per account \$ 600.00

Suite Package C*

Setup per Account.....	per setup \$ 3,000.00
Monthly Maintenance.....	per account \$ 400.00

*Package A includes up to 8 hours of implementation services and up to 5 Customer Service Representative (CSR) seats.

*Package B includes up to 12 hours of implementation services and up to 10 CSR seats.

*Package C includes up to 20 hours of implementation services and up to 15 CSR seats.

Transaction Fees

All Volume up to 3,000 Transactions.....	each \$.60
All Volume from 3,001 Transactions to 5,000 Transactions.....	each \$.50
All Volume from 5,001 Transactions to 10,000 Transactions.....	each \$.45
All Volume from 10,001 Transactions to 25,000 Transactions.....	each \$.30
All Volume from 25,001 Transactions to 50,000 Transactions.....	each \$.25
All Volume Greater Than 50,000 Transactions.....	each \$.17

Supporting Services

Additional CSR.....	each \$ 20.00
Professional Services (Per Hour).....	each \$ 200.00

Other Modules and Services (Not All Are Available with Package A)

Setup Mobile Web (Site).....	each \$ 750.00
Monthly Maintenance Mobile Web.....	each \$ 500.00
Setup Interactive Voice Response (IVR).....	each \$ 750.00
Monthly Maintenance IVR.....	each \$ 500.00
Per Minute IVR.....	cost + 35%
Other IVR (Misc).....	cost + 35%
SMS Messages (Mobile Terminated and Mobile Originated).....	each \$.08
Setup EBPP.....	each \$ 750.00
Monthly EBPP Maintenance.....	each \$ 500.00
Bill Load.....	each \$.01
ePresentation up to 5,000 items.....	each \$.30
ePresentation from 5,001 to 25,000 items.....	each \$.25
ePresentation Greater Than 25,000 items.....	each \$.20
Email Bill Delivery.....	each \$.30
Re-Direct Setup.....	each \$ 3,000.00
Monthly Maintenance Re-Direct.....	each \$ 500.00
Web Services/XML Setup.....	each \$ 2,000.00
Monthly Maintenance Web Services/XML.....	each \$ 1,000.00
Token Stored.....	each \$.04
Token Processed.....	each \$.04

WIRE TRANSFER SERVICES

Our Wire Transfer services provide flexible features for securely initiating wires and receiving notification of wire activity.

Incoming Wire Transfers

Domestic.....	per wire \$ 10.00
International (USD and Foreign).....	per wire \$ 11.00

Domestic with Repair	per wire \$	12.00
International (USD and Foreign) with Repair	per wire \$	13.00

	Web	Branch/ Direct Access	Cust. Service
Monthly Maintenance	\$ 50.00	N/A	N/A
Domestic Wires—Outgoing (Each)			
Wires 1-100.....	\$ 9.50	N/A	N/A
Wires 101+	\$ 7.50	N/A	N/A
Repetitive	\$ N/A	20.00	75.00
Non-Repetitive.....	\$ N/A	25.00	75.00
EFTPS (Tax Payment)	\$ 8.50	12.00	75.00
International Wires* (Each)			
Repetitive (USD)	\$ 15.00	40.00	75.00
Repetitive (Foreign)	\$ 15.00	35.00	65.00
Non-Repetitive (USD).....	\$ 16.00	40.00	75.00
Non-Repetitive (Foreign).....	\$ 16.00	35.00	65.00
Drawdown/Reverse Wire (Each)			
Third-Party Drawdown Request (with Outgoing Wire).....	\$ N/A	16.00	N/A
Domestic Outgoing Drawdown (Incoming Wire).....	\$ 8.50	20.00	30.00
Wire Template Maintenance (Add, Modify, or Delete Template)	\$ 5.00	N/A	N/A
Wire Template Storage	\$.50	N/A	N/A

*Additional correspondent fees may apply. Please see Foreign Exchange Services.

Transmission EDI Format (Monthly)..... \$ 220.00

Outgoing EDI Format	Domestic	Int'l
Wires 1-100..... each \$	8.50	8.50
Wires 101+..... each \$	7.50	7.50

Charges to Sender—Pay-In-Full*
 each \$ | 45.00 |

*Additional fees apply for Pay-In-Full wires generated outside of Web Wires.

Wire Exception Repair..... each \$ 10.00

Investigations	Domestic	Int'l
.....each \$	50.00	50.00
.....additional per telex/phone \$	15.00	15.00

Payments by Cash or Check..... each \$ 10.00

Standing Instructions

Domestic	each \$	10.00
International (USD and Foreign).....	each \$	15.00

Wire Notification	Fax	Mail	Phone
Incoming/Outgoing.....per wire \$	8.00	15.00	22.00

Fast Fax Report
 per month \$ | 45.00 |

Security Tokens
 \$ | FREE |

	Web	Touch- tone	Branch/ Direct Access	Cust. Service
Internal Bank Transfers				
Book Transfer	\$ 5.00	N/A	8.00	30.00
Account Transfer	\$ 2.50	5.00	8.00	30.00

Foreign Currency Demand Account (FCDA)*	Incoming	Outgoing
Wire Transfer Payment.....per wire \$	15.00	30.00
Web Wire Transfer.....per wire \$	N/A	17.00
Internal Bank Transfer.....each \$	N/A	15.00
Fax Confirmation.....each \$	15.00	15.00

*Additional correspondent fees may apply. Please see Foreign Exchange Services.

Training and Implementation Services..... see page 8

Other Intermediary Bank fees may apply. See your All About Business Accounts & Services and Treasury Management Services Disclosure and Agreement as well as your Master Funds Transfer Agreement.

TRADE SERVICES

Our comprehensive trade services provide importers and exporters with the ability to handle most transactions from beginning to end, eliminating the need for documents to be processed through third-party banks.

COLLECTIONS—INCOMING AND OUTGOING

Documentary

Sight.....	\$ 110.00
Usance (Time).....	\$ 125.00
Direct Collection—Web-Initiated.....	\$ 75.00
Direct Collection.....	\$ 90.00
Clean Collections	\$ 85.00

Other Collection Services

Amend Instructions.....	\$ 90.00
Partial Payments	\$ 75.00
Dishonored.....	cost + \$ 75.00
Maintenance—Items Unpaid from Due Date.....	per month \$ 65.00

EXPORT LETTERS OF CREDIT

Advice

Original	
Union Bank Client—Web-Initiated	\$ 100.00
Union Bank Client.....	\$ 125.00
Add Confirmation	by arrangement, minimum \$ 250.00

Amendment

Advice of Amendment—Web-Initiated.....	\$ 75.00
Advice of Amendment.....	\$ 90.00

Examination/Payment

Export Letter of Credit	1/8% per set of documents, minimum \$ 150.00
Reimbursement with Another Bank	by arrangement, minimum \$ 150.00
Government Credit.....	by arrangement, minimum \$ 350.00
Documents Sent Unexamined.....	per set of documents \$ 135.00
Payment on Reserve	by arrangement, minimum \$ 125.00
Acceptance.....	2% p.a./draft, minimum \$ 150.00
.....	or as arranged
Discount Interest.....	by arrangement, minimum \$ 100.00
Prepayment Penalty If Paid Before Maturity	\$ 100.00
Deferred Payment.....	2% p.a./draft, minimum \$ 150.00
Discrepancy.....	per set of documents \$ 80.00

Re-Examination and Pre-Examination Fee.....	per set of documents	\$ 75.00
Expired, Unutilized, or Cancelled.....		\$ 125.00
Transfer Letter of Credit	by arrangement, minimum	\$ 250.00
Direction to Pay Proceeds	minimum	\$ 250.00

IMPORT LETTERS OF CREDIT

Issuance		
Import Letter of Credit—Web-Initiated.....	1/8% per quarter, minimum	\$ 125.00
Import Letter of Credit.....	1/8% per quarter, minimum	\$ 150.00
Government Letter of Credit.....	by arrangement	
Amendment		
Increase Amount or Extension — Web-Initiated		
.....	1/8% per quarter, minimum	\$ 100.00
Increase Amount or Extension.....	1/8% per quarter, minimum	\$ 150.00
Narrative or Other — Web-Initiated.....		\$ 100.00
Narrative or Other.....		\$ 150.00
Examination/Payment		
Import Letter of Credit.....	1/4% per set of documents, minimum	\$ 135.00
Government Letter of Credit.....	by arrangement	
Acceptance.....	2% p.a./draft, minimum	\$ 150.00
.....	or as arranged	
Prepayment Penalty If Paid Before Maturity.....		\$ 100.00
Discount Interest.....	by arrangement, minimum	\$ 100.00
Deferred Payment.....	2% p.a./draft, minimum	\$ 150.00
Shipside Bond/Air Cargo Release — Web-Initiated		
.....	1/4% per quarter, minimum	\$ 200.00
Shipside Bond/Air Cargo Release.....	1/4% per quarter, minimum	\$ 200.00
Discrepancy—Web-Initiated.....	per set of documents	\$ 100.00
Discrepancy.....	per set of documents	\$ 100.00
Expired, Unutilized, or Cancelled.....		\$ 125.00
Transfer Letter of Credit	by arrangement, minimum	\$ 250.00
Direction to Pay Proceeds	minimum	\$ 250.00
Purchase Order Processing	by arrangement	

STANDBY LETTERS OF CREDIT

Issuance		
Opening Commission.....	2% p.a., minimum	\$ 500.00
.....	plus processing fee if paid by invoice	\$ 150.00
Auto-Renewal/Evergreen.....	per renewal period	\$ 250.00
Amendment		
Increase or Extension.....	2% p.a., minimum	\$ 500.00
Narrative.....		\$ 150.00
Advice		
Advising Fee.....		\$ 150.00
Amendment.....		\$ 150.00
Add Confirmation	by arrangement, minimum	\$ 250.00
Examination/Payment		
Standby Letter of Credit.....	1/4% per drawing, minimum	\$ 200.00
Direct Pay Examination/Payment.....		\$ 150.00
Discrepancy.....	per examination	\$ 100.00
Expired, Unutilized, or Cancelled.....		\$ 150.00
Drafted but Not Issued.....	per draft	\$ 250.00
Transfer Letter of Credit	by arrangement, minimum	\$ 250.00
Direction to Pay Proceeds	minimum	\$ 250.00

OTHER TRADE SERVICES

Domestic Postage		\$ 15.00
Registered/Certified Mail		\$ 25.00
Courier		
Domestic.....		\$ 30.00
International.....		\$ 65.00
Handling Charge (Customer's Courier).....		\$ 10.00
Scan Shipping Documents		\$ 10.00
Fax/Email Messages		
Domestic.....		\$ 10.00
International.....		\$ 20.00
SWIFT or Telex		
Short (1 Page).....		\$ 35.00
Long (2+ Pages).....		\$ 75.00
Payment		
Fedwire or CHIPS.....		\$ 35.00
Cashier's Check.....		\$ 45.00
Foreign Currency Processing		\$ 65.00
International Services Activity Statement — Mailed		
.....	account charge/quarter	\$ 45.00
.....	payment by invoice/year	\$ 180.00
Special Handling — Excess Detail	by arrangement, minimum	\$ 125.00
Consultation Fee (Applies to Drafting Only)—Minimum \$250.....	per hour	\$ 250.00
Credit Reports	by arrangement, minimum	\$ 100.00
Tracers		\$ 35.00
Trade Finance Investigations	per hour	\$ 75.00
.....	plus cable charges	
Training and Implementation Services		see page 8

Note: Fees assessed by other banks will be additional. This fee schedule may not apply to all customers. Transactions that are not standard may be subject to different charges. Union Bank reserves the right to charge for services not covered by this fee schedule and to effect any alterations or amendments as we may consider necessary.

All pricing subject to change without notice, except as otherwise provided by applicable law.



unionbank.com

©2013 Union Bank, N.A. All rights reserved.

Member
FDIC

84060-GOV (01/14) 03



DATE: November 25, 2014

TO: BOARD OF DIRECTORS

SUBJECT: Award Contract No. 2015-06 to The "G" Crew for Labor Compliance Services

RECOMMENDATION

That the Board of Directors authorize the executive director to execute Contract No. 2015-06 for Labor Compliance Services to The "G" Crew, Glendale, CA, a certified DBE firm.

FISCAL IMPACT

The contract will be for a period of five years in an amount not to exceed \$125,000 per year. Amounts exceeding this limit will be brought back for Board approval. Pricing will be based upon the firm's submitted fee schedule (Attachment NB 3.A). Task Orders will be assigned for each applicable public works project. Sufficient funds for this service and applicable project have been included in the FY15 Business Plan and will be included in future year budgets for planned projects.

BACKGROUND

The Board approved the first transportation improvement project, the Bus Stop Improvement Program, in January of 2010. Since that time, AVTA has awarded and completed several transit-related improvement projects. AVTA currently contracts with a local firm to provide labor compliance services, which will expire by the end of January 2015. Utilizing these types of contracts allows the Authority to move forward with a project once it is awarded. Staff believes it is in AVTA's best interest to continue this practice by utilizing ongoing contracts. Current projects needing these services include Wireless Inductive Charging Stations, Bus Stop Amenities and the Bus Rapid Transit System. Recognizing the need for ongoing labor compliance support for these projects, staff developed and circulated a Request for Proposals (RFP).

AVTA released the RFP on September 13, 2014. The solicitation documents were posted to AVTA's website and advertisements were placed in the *Antelope Valley Press* and *Our Weekly Lancaster* newsletter. The local Chambers of Commerce were also notified via their respective newsletters and email lists; a total of three firms were notified via email

with the RFP attached (one each from Palmdale, CA, Riverside, CA and Lake Havasu City, AZ). Ten additional firms registered and downloaded the RFP; 8 California firms (one each from El Monte, Galt, Garden Grove, Glendale, Lancaster, Palmdale, and two each from Los Angeles) and two from out of state (IL and FL).

Three proposals were submitted on October 6, 2014 in response to the RFP. A review committee evaluated and ranked each proposal on the following criteria: proposer's solution (30%), project budget (20%), similar projects (15%), proposer's information (15%), project schedule/benchmarks (10%) and personnel (10%). Three hundred possible total points were available.

Submitted proposals were received from the following firms:

Firm	Location	Total Score (300 Max)	\$0 - \$50,000 Construction Costs – Labor Compliance Fee
The "G" Crew	Glendale, CA	278	\$2,170
Labor Compliance Providers	Riverside, CA	268	\$2,280
Golden State Labor Compliance, Inc.	Palmdale, CA	251	\$3,520

The evaluation panel consisted of three internal staff members. The panel evaluated the solicitation specifications and submitted proposals and project fees, which were all found to be fair and reasonable.

The "G" Crew was the top choice of the evaluators. In addition to their lower cost, they provided the best solution for our operations. They received 83 out of a total of 90 points for their proposed solution. It was obvious that their experience in this field gave them a clear insight into our service needs. Most of the differences between Labor Compliance Providers and The "G" Crew were in the proposed solution and project budget categories. The evaluators are confident that The "G" Crew will do an excellent job. In addition, they are certified as a Disadvantage Business Enterprise (DBE) firm.

Prepared by:

Submitted by:

Lyle A. Block, CPPB
Procurement and Contracts Officer

Julie M. Austin
Executive Director

Attachment: A – Fee Schedule



RFP NAME: On-Going Labor Compliance Program Services – RFP Number: 2015-06
SUBMITTED TO: Antelope Valley Transit Authority (AVTA)
SUBMITTED BY: The “G” Crew (TGC)
DATE: 10/06/2014

TGC'S SCHEDULE OF FEES

Project Construction Cost	Project Duration	Prime and Subcontractors	TGC Fees
\$0 - \$50,000	Work not to exceed 1 month	1 Prime & 0 Subcontractor	\$2,170.00
\$50,001 - \$100,000	Work not to exceed 2 months	1 Prime & 2 Subcontractors	\$3,875.00
\$100,001 - \$250,000	Work not to exceed 3 months	1 Prime & 3 Subcontractors	\$5,425.00
\$250,001 - \$500,000	Work not to exceed 4 months	1 Prime & 4 Subcontractors	\$8,525.00
\$500,001 - \$750,000	Work not to exceed 7 months	1 Prime & 5 Subcontractors	\$11,625.00
\$750,001 - \$1 million	Work not to exceed 10 months	1 Prime & 6 Subcontractors	\$16,662.50
\$1,000,001 - \$3 million	Work not to exceed 1-1/2 years	1 Prime & 8 Subcontractors	\$27,512.50
\$3,000,001 - \$5 million	Work not to exceed 2 years	1 Prime & 12 Subcontractors	\$38,362.50
\$5,000,001 - \$10 million	Work not to exceed 2-1/2 years	1 Prime & 16 Subcontractors	\$54,250.00

TGC's Proposed Fees in the required separate envelope marked "Schedule of Fees" are fully-burdened as required on the RFP's Section H, Item 9, page 42 – all benefits, labor, overhead, profit, taxes.

The all-inclusive breakdown proposed includes all incidentals, travel and per diems.

Please note that the fees are based on the specifics of the RFP documents, Addendum 1, Addendum 2, and the email of Mr. Lyle Block to Ms. Ella Daya, dated September 29, 2014 in response to Ms. Daya's Questions, requesting clarifications for several RFP items.

Any deviation, changes in the specifics of actual Task Orders assigned, upon TGC's selection, may result in adjustments to these fees to reflect these changes and deviations.

TGC is a certified DBE and does not have any Subconsultant for this Contract.



DATE: November 25, 2014

TO: BOARD OF DIRECTORS

SUBJECT: Adoption of Recommendations Included in the Route to Success – Antelope Valley Transit Authority (AVTA) Comprehensive Operational Analysis & Ten-Year Plan

RECOMMENDATION

That the Board of Directors adopt major recommendations as outlined in the Route to Success – AVTA Operational Analysis & Ten-Year Plan.

FISCAL IMPACT

There is no current fiscal impact. However, the plan calls for expansion of transit services and passenger amenities including transit centers, Bus Rapid Transit and expansion of the electric bus fleet. Staff will return for Board approval of each item as the timeline for implementation approaches.

BACKGROUND

In November 2013 the Board approved a contract with Nelson\Nygaard to prepare a comprehensive operational analysis. AVTA is undertaking a variety of new and exciting projects such as an electric bus demonstration project and evaluation of the feasibility of a bus rapid transit project. These projects, along with the increased ridership demands, underscored the need for a new comprehensive operational analysis and long-range plan.

The goals for the COA were:

1. Develop a refined vision for transit in the Antelope Valley over the next 3, 5 and 10 years that reflect expected growth and development patterns;
2. Study the feasibility of a battery electric bus project;
3. Study the feasibility of a Bus Rapid Transit project linking the commercial area along Lancaster Boulevard (Lancaster) with the Antelope Valley Mall area (Palmdale) possibly using the 10th Street West corridor;

4. Recommend a short-range (1-3 years) service and financial plan at moderate and optimistic service levels;
5. Recommend long-term marketing strategies and/or programs that would enable public transportation to market its services to the community, as well as to the region; and,
6. Evaluate existing passenger facilities and amenities, and where needed, identify and recommend additional locations (i.e. transfer locations, transit centers, and park and ride facilities) that could be implemented with proposed service alternatives.

After completing a study of existing conditions and conducting extensive customer and stakeholder outreach, the consultant developed several recommendations as outlined in Attachment A.

Staff recommends adoption of the Route to Success as it will provide a good framework for taking the Antelope Valley Transit Authority to the next level of service to our communities.

ALIGNMENT WITH FY15 BUSINESS PLAN GOALS

OPERATE AN EFFICIENT TRANSIT SYSTEM – Implement Board-approved recommendations from Route to Success Study.

Prepared by:

Submitted by:

Len Engel
Director of Operations and Maintenance

Julie M. Austin
Executive Director

Attachments: A – Recommendations
 B – Route to Success Report

ATTACHMENT B IS INCLUDED AS A SEPARATE FILE DUE TO ITS LARGE SIZE.

SUMMARY OF RECOMMENDED RESTRUCTURE

The following table summarizes service recommendations, including revenue hours and peak vehicles for each route for FY 2015-2019.

Route	Recommendation
FY 2015 Recommendations	
1 - 10th West/Palmdale	<p>Route 1 is realigned from Avenue S to Palmdale Blvd. Route 1 is shortened from the Lancaster Metrolink Station to Fern Ave and Avenue I to reduce service duplication with Routes 4 and 11.</p> <p>Weekday headway from 30 to 20 minutes. Weekend headway from 60 to 30 minutes. Weekday service starting earlier at 5:00 a.m. Saturday night service is extended to 10:00 p.m.</p>
2 - Avenue R	<p>Route 2 is realigned from Palmdale to Avenue R in conjunction with the realignment of Route 1. Deviations to Avenue Q-8 and the Antelope Valley Mall west parking lot are eliminated. The northern terminal point is relocated to the Avenue O-8 turnaround between Walmart and Sam's Club. Route 2 remains interlined with Route 3.</p> <p>Weekend headway from 60 to 30 minutes. Weekday service starting earlier at 5:00 a.m. Saturday night service is extended to 10:00 p.m.</p>
3 - Avenue S	<p>Route 3 is realigned from Avenue R to Avenue S in conjunction with the realignment of Routes 1 and 2. Deviations to Antelope Valley Mall west parking lot are eliminated. The northern terminal point is relocated to the Palmdale Transportation Center. Route 3 remains interlined with Route 2.</p> <p>Weekend headway from 60 to 30 minutes. Weekday service starting earlier at 5:00 a.m. Saturday night service is extended to 10:00 p.m.</p>
10 – Palmdale / Lancaster	<p>Route 10 is discontinued and its resources are reallocated to Route 1.</p>
50 - Lake Los Angeles / Lancaster	<p>Route 50 replaces the northern half of Lake LA Express and provides all-day service to shopping destinations in East Lancaster, as well as Lancaster City Park. The southern half of Lake LA Express will be served by Route 51.</p> <p>Flex service was evaluated for Lake Los Angeles but is not recommended due to the low densities and distance to existing transit centers.</p> <p>Route 50 will not be interlined with Route 51 due to varying headways and operating hours.</p>
51 - Lake Los Angeles / Palmdale	<p>Route 51 replaces the southern half of Lake LA Express and provides more consistent service (60 minute all-day headway) to major shopping and employment destinations in East Palmdale. Route 51 also has a longer</p>

Route	Recommendation
	<p>service span than the current Lake LA Express. Routes 51 will serve Palmdale Transportation Center during peak hours only. During these times, it will not serve 47th Street East.</p> <p>Flex service was evaluated for Lake Los Angeles but is not recommended due to the low densities and distance to existing transit centers.</p>
52 - Littlerock/Pearblossom	<p>Route 52 replaces segments of Routes 6 and 15 and provides more frequent and consistent service (60 minute all-day headway) to major shopping and employment destinations in East Palmdale. Route 52 also has a longer service span than the current routes 6 and 15.</p> <p>Flex service was evaluated for Littlerock and Pearblossom but is not recommended due to the low densities and distance to existing transit centers.</p> <p>Route 52 may be interlined with Route 51 during non-peak hours to minimize looping along Avenue R-8, 55th Street East, and Avenue S.</p>
FY 2016 Recommendations	
4 – Eastside Lancaster	<p>Realigned from Avenue K and 20th Street East to Sierra Highway between Avenue I and Avenue K. New Route 13 will serve most areas losing service.</p> <p>Weekday span is extended to 10:00 p.m., and weekday headway is improved from 60 minutes to 30 minutes. Due to the high percentage of customers traveling to social services and medical destinations, which are only open on weekdays, weekend service is discontinued.</p> <p>Weekend headway from 60 to 30 minutes.</p> <p>Weekend service is eliminated.</p>
5 – Avenue L	<p>Realigned from Columbia Way and 50th Street West to 60th Street West & Avenue L to better serve Quartz Hill HS.</p> <p>Weekday service extended to 10:00 p.m.</p>
7 – Quartz Hill	<p>Realigned from 60th Street West and Avenue H to Avenue L, 30th Street West, Avenue K, 15th Street West, Avenue J, and 10th Street West. The northern terminal point is relocated to Fern Avenue and Avenue I to allow direct connections with Routes 1 and 4.</p> <p>Weekday service starting earlier at 5:00 a.m.</p> <p>Weekend headways 60 to 30 minutes.</p> <p>Saturday night service to 10:00 p.m.</p>
11 – Avenue I	<p>Route 11 is shortened along Avenue I from 40th Street East to 20th Street East, where it will be interlined with Route 12. The western terminal of Route 11 is relocated from Lancaster City Park to Valley Central Way and Avenue J to reduce service duplication with Routes 7 and 12.</p> <p>Weekday service starting earlier at 5:00 a.m.</p> <p>Weekend headways 60 to 30 minutes.</p> <p>Saturday night service to 10:00 p.m.</p> <p>Sunday night service to 8:00 p.m.</p>
12 – Avenue J	<p>Route 12 is shortened along Avenue I from 40th Street East to 20th Street East, where it will be interlined with Route 11.</p>

Route	Recommendation
	<p>The deviation to Lancaster and Valley Central is discontinued. At its southern end, Route 12 is realigned from 20th Street West and Avenue K-8 to Avenue K and 10th Street West to improve access to retail centers.</p> <p>Weekday service starting earlier at 5:00 a.m.</p> <p>Weekend headways 60 to 30 minutes.</p> <p>Saturday night service to 10:00 p.m.</p> <p>Sunday night service to 8:00 p.m.</p>
13 – Avenue K	<p>Route 13 is a new route serving segments currently served by Routes 4 and 11. Route will provide improved directness from residential areas in Lancaster to nearby major destinations (Lancaster City Park and Walmart).</p> <p>Service identical to Routes 11 and 12.</p>
1, 2, 3, 5, 7, 11, 12, 13, 50 & 51	<p>Add Holiday Service at Sunday level. New Year's Day, Memorial Day, Independence Day, Labor Day, Thanksgiving Day & Christmas Day.</p>
FY 2017 Recommendations	
785 - Downtown LA	<p>Additional trips are added to Route 785 to increase service span and anticipate ridership growth.</p>
786 - Century City/LA	<p>Additional trips are added to Route 786 to increase service span and anticipate ridership growth.</p>
787 - West SF Valley	<p>Additional trips are added to Route 787 to increase service span and anticipate ridership growth.</p>
790 - TRANSporter	<p>Additional trips are added to Route 790 in FY 2017 to allow peak hour trips to employment generators (Six Flags amusement parks and light industrial parks along Rye Canyon Dr. Rd and Commerce Center Dr.) in West Santa Clarita. Schedule coordination with Santa Clarita Transit is crucial to create seamless transfers.</p>
FY 2018 Recommendations	
1 - 10th West/Palmdale	<p>Weekday headway is improved from 20 minutes to 15</p>
FY 2019 Recommendations	
11 - Avenue I	<p>Weekday headways from 30 to 20 minutes.</p>
12 - Avenue J	<p>Weekday headways from 30 to 20 minutes.</p>

Figure 7-3 Summary of FY 2016 Recommendations

Recommended Route	Recommendation	Annual Revenue	Peak
1 - 10th West/Palmdale	No change	45,952	10
2 - Avenue R	No change	15,422	3
3 - Avenue S	No change	15,422	3
4 - Eastside Lancaster	Realign along Sierra Hwy and Division; eliminate weekend	9,563	3
5 - Avenue L	Realign to Quartz Hill HS	5,087	1
7 - Quartz Hill	Realign to 30 th Street West and 15 th Street West	13,744	4
11 - Avenue I	Shorten to 20 th Street East and Valley Central Way	12,851	2.5
12 - Avenue J	Shorten to 20 th East	12,851	2.5
13 - Avenue K	New route replacing segments of Routes 4	10,281	2
50 - Lake Los Angeles /	No change	1,913	1
51 - Lake Los Angeles /	No change	5,509	3
52 - Littlerock/Pearblossom		2,844	1
94 - AV/Eastside HS	No change	419	1
98 - Pete Night HS	No change	228	1
785 - Downtown Los	No change	8,627	8
786 - Century City/Los	No change	5,029	4
787 - West San Fernando	No change	8,395	8
790 - North County	No change	2,379	2
Total		176,513	60

Holiday	Current	Recommended
New Year's Day	No service	Sunday
Memorial Day	No service	Sunday
Independence Day	No service	Sunday
Labor Day	No service	Sunday
Thanksgiving Day	No service	Sunday
Christmas Day	No service	Sunday

Figure 7-4 Summary of FY 2017 Recommendations

Recommended Route	Recommendation	Annual Revenue	Peak
1 - 10th West/Palmdale	No change	45,952	10
2 - Avenue R	No change	15,422	3
3 - Avenue S	No change	15,422	3
4 - Eastside Lancaster	No change	9,563	3
5 - Avenue L	No change	5,087	1
7 - Quartz Hill	No change	13,744	4
11 - Avenue I	No change	12,851	2.5
12 - Avenue J	No change	12,851	2.5
13 - Avenue K	No change	10,281	2
50 - Lake Los Angeles /	No change	1,913	1
51 - Lake Los Angeles /	No change	5,509	3
52 - Littlerock/Pearblossom	No change	2,844	1
94 - AV/Eastside HS	No change	419	1
98 - Pete Night HS	No change	228	1
785 - Downtown Los	Increase trips	10,774	10
786 - Century City/Los	Increase trips	6,354	5
787 - West San Fernando	Increase trips	9,524	9
790 - North County	Increase trips	5,610	2
Total		181,114	64

Figure 7-5 Summary of FY 2018 Recommendations

Recommended Route	Recommendation	Annual Revenue	Peak
1 - 10th West/Palmdale	Improve headway	55,068	13
2 - Avenue R	No change	15,422	3
3 - Avenue S	No change	15,422	3
4 - Eastside Lancaster	No change	9,563	3
5 - Avenue L	No change	5,087	1
7 - Quartz Hill	No change	13,744	4
11 - Avenue I	No change	12,851	2.5
12 - Avenue J	No change	12,851	2.5
13 - Avenue K	No change	10,281	2
50 - Lake Los Angeles /	No change	1,913	1
51 - Lake Los Angeles /	No change	5,509	3
52 - Littlerock/Pearblossom	No change	2,844	1
94 - AV/Eastside HS	No change	419	1
98 - Pete Night HS	No change	228	1
785 - Downtown Los	Increase trips	10,774	10
786 - Century City/Los	Increase trips	6,354	5
787 - West San Fernando	Increase trips	9,524	9
790 - North County	Increase trips	5,610	2
Total		190,231	67

Figure 7-6 Summary of FY 2019 Recommendations

Recommended Route	Recommendation	Annual Revenue	Peak
1 - 10th West/Palmdale	No change	55,068	13
2 - Avenue R	No change	15,422	3
3 - Avenue S	No change	15,422	3
4 - Eastside Lancaster	No change	9,563	3
5 - Avenue L	No change	5,087	1
7 - Quartz Hill	No change	13,744	4
11 - Avenue I	Improve headway	15,401	4
12 - Avenue J	Improve headway	15,401	4
13 - Avenue K	No change	10,281	2
50 - Lake Los Angeles	No change	6,290	2.5
51 - Littlerock/Pearblossom	No change	6,290	2.5
13 - Avenue K	No change	10,281	2
50 - Lake Los Angeles /	No change	1,913	1
51 - Lake Los Angeles /	No change	5,509	3
52 - Littlerock/Pearblossom	No change	2,844	1
94 - AV/Eastside HS	No change	419	1
98 - Pete Night HS	No change	228	1
785 - Downtown Los	No change	10,774	10
Total		195,331	70



DATE: November 25, 2014
TO: BOARD OF DIRECTORS
SUBJECT: Fare Structure Recommendations

RECOMMENDATION

Receive and file the Fare Structure Analysis and direct staff to seek public input on the recommendations outlined in the attached report.

FISCAL IMPACT

The recommended fare adjustments have the potential to increase AVTA's operating revenues by approximately \$1 million annually.

BACKGROUND

At the May 2014 Board meeting, staff was directed to conduct an analysis of the current fare structure and provide recommendations for fare restructuring. In June, AVTA contracted with Nelson Nygaard to conduct the analyses which was followed by a series of stakeholder meetings in August. The attachments include an existing conditions report and a set of recommended fare increase scenarios. The overall theme of the existing conditions report is that AVTA's ridership has increased by nearly 30% over the past three years but corresponding revenues have increased by less than 15%.

Without additional revenues, AVTA will be unable to meet the demands of this increased ridership on both local and commuter services. The Route to Success Study has identified a series of service enhancements needed to keep up with ridership growth. The Fare Structure Analysis includes two fare increase scenarios for the Commuter Service and two scenarios for the Local Transit Service (Attachment A). Staff

would like to begin moving forward with the public outreach component of the project with plans to return with final recommendations for consideration at the January Board meeting.

ALIGNMENT WITH FY15 BUSINESS PLAN GOALS

OPERATE AN EFFICIENT TRANSIT SYSTEM – Implement new fare structure per Board-approved study.

Prepared by:

Submitted by:

Wendy Williams
Director of Communications

Julie M. Austin
Executive Director

Attachments: A - Fare Restructuring Proposal
 B – Existing Conditions

**THE ABOVE ATTACHMENTS ARE INCLUDED AS SEPARATE FILES DUE TO THEIR
LARGE SIZE.**



DATE: November 25, 2014

TO: BOARD OF DIRECTORS

SUBJECT: Draft Audited Financial Statements within the Comprehensive Annual Financial Report (CAFR) and Single Audit of Federal Awards for the Year Ended June 30, 2014

RECOMMENDATION

That the Board of Directors approve the Draft CAFR and Single Audit of Federal Awards for the Year Ended June 30, 2014 (Attachments B and C).

FISCAL IMPACT

None.

BACKGROUND

Windes Inc., Audit Partner Scott Dionne and Senior Audit Manager Tom Huey will be present at the November 25, 2014 Board meeting to present the audit results and respond to any questions or concerns. Based on the draft results, it is anticipated that there will be no exceptions in the audited financial statements, and no findings or questioned costs reported in the single audit report.

As a recipient of federal, state, and local funding, the Authority is required to have an annual audit conducted by independent auditors in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits in accordance with Government Auditing Standards, issued by the Comptroller General of the United States. These audited financial statements are required to be completed within six months from the close of the preceding fiscal year.

On June 24, 2014, the Authority's Board of Directors awarded a five-year contract for audit services to Windes Inc., CPAs. The contract requires Windes to prepare and present the Authority's financial statements and single audit report to the Board of Directors.

The audit expresses an opinion as to whether the financial statements prepared by management are presented fairly in all material respects and in conformity with U.S. generally accepted accounting principles.

Staff prepared supporting documentation, the draft financial statements included within the CAFR and provided the information required in the Single Audit Report. Staff provided assistance and compiled all information requested by the Windes & McLaughry team conducting the audit fieldwork.

ALIGNMENT WITH FY15 BUSINESS PLAN GOALS

OPERATE AN EFFICIENT TRANSIT SYSTEM – Improve financial reporting and increase investment income.

Prepared by:

Submitted by:

Colby Konisek
Director of Finance

Julie M. Austin
Executive Director

Attachments: A –Windes letter to Board of Directors
B – Comprehensive Annual Financial Report, Year Ended June 30, 2014
C – Single Audit Report on Federal Awards, Year Ended June 30, 2014

THE ABOVE ATTACHMENTS ARE INCLUDED AS SEPARATE FILES DUE TO
THEIR LARGE SIZE.



DATE: November 25, 2014

TO: BOARD OF DIRECTORS

SUBJECT: Authorize Executive Director to Purchase Eight Clean Diesel Buses from Gillig Corporation under Contract No. 2014-12

RECOMMENDATION

That the Board of Directors authorize the Executive Director to purchase eight (8) clean diesel buses from Gillig Corporation under Contract No. 2014-12.

FISCAL IMPACT

The eight (8) buses will cost \$453,379 each, totaling \$3,627,032. Funds for the bus purchase are included in the FY15 budget, and have been included in the five-year capital plan. Of the total cost \$3,082,977 will be FTA 5307 funds and \$544,055 jurisdictional capital reserve. Contract #2014-12 allows for an increase in the cost of the buses based on changes to the Producer Price Index (PPI). The PPI increased 2.16% between 2013 and 2014 resulting in a per unit increase of \$8,809.

BACKGROUND

AVTA has twelve buses that were targeted for replacement in FY15. Of these, five were manufactured in 1992, one in 2001 and six in 2003. We have been having difficulty with parts availability for the 2003 buses. One of these buses has accumulated more than 860,000 miles. In addition, major component replacement is getting more costly. We have incurred additional expenditures of approximately \$323k over the past 12 months to maintain the 12 buses programmed for replacement this year.

Gillig has offered to add another shift and absorb the overtime cost in order to deliver these eight buses with the existing three bus order slated for delivery in June 2015. This is an opportunity that should be given serious consideration as a year has passed since staff originally recommended replacing 15 buses.

At the October, 2013 Board of Directors meeting the Board authorized the Executive Director to “issue a Notice to Proceed to Gillig Corporation under Contract Number 2014-12 for the purchase of twelve (12) heavy duty clean diesel buses in the amount of \$5.33 million (\$444,006 per bus).” The Notice to Proceed was conditional upon the Board taking further action on January 28, 2014.

After considerable discussion at the January meeting, the item was tabled until the next meeting. In February, the Board passed a motion instructing staff to apply for a Low or No Emission (LoNo) grant and based on the outcome of that effort return to the Board for further consideration of the October 2013 action. (Full text of the February motion is included in Attachment A.)

The LoNo grant was submitted requesting \$6,246,000 out of a total of approximately \$27M nationwide. The application included \$5M for buses and \$1.23M for additional WAVE charging equipment. Grant amounts were expected to be announced in June 2014, but at this writing no announcements have been made. The advantage with LoNo grant is that we have partnered with BYD and WAVE, and will not have to go through the lengthy RFP process. Staff will be applying for Cap and Trade funds as soon as they are available. Both vehicles and infrastructure will be eligible expenses under the \$20M-\$25M designated in the state budget this year for heavy duty zero emission vehicles. We are also investigating the availability of remaining options on the Metro order. In addition, 2015 Carl Moyer funds are available soon. Staff has begun the application process to cover infrastructure costs.

Staff recently had a scoping meeting with representatives of Southern California Edison to discuss what infrastructure will be necessary in order for us to continue expanding our electric bus fleet. Following that meeting AVTA engaged a local engineering firm to work on two projects: 1) plans for WAVE inductive charging at the two transit centers (short term); and 2) the initial engineering and cost estimate for additional charging capability at our facility. Without improved current power infrastructure we are able to charge only two more electric buses. The facility plan being developed will accommodate an electric fleet of up to 50 buses. We believe that the most expedient approach is to install a large transformer (750Kva) that is capable of servicing what could be the ultimate fleet size. We anticipate that the facility expansion plan will be a two year process with an estimated cost of \$2M.

Given our rapidly aging fleet, the increased cost of keeping these older vehicles on the road, the delay in the LoNo grant announcement, and the limited amount of LoNo funds available, staff believes that it is prudent to move forward with the purchase of these eight buses. We are optimistic that the cap and trade funds will be available to expand our electric bus fleet, but while we effectuate this transition it is also important to maintain our fleet in a state of good repair. Staff recommends that the Board authorize the Executive Director to enter into a contract for eight (8) heavy duty clean diesel buses and delay ordering the remaining four pending notification of LoNo funding awards.

Staff will continue to closely monitor the LoNo program and return for authorization to purchase additional electric buses should our efforts be successful.

Prepared by:

Submitted by:

Len Engel
Director of Operations and Maintenance

Julie M. Austin
Executive Director

Attachments: A – February 25, 2014 Board motion
 B – Revised price quote
 C – Pricing sheet
 D – Summary for Buses Recommended for Replacement

ATTACHMENT NB 5.A

Board of Director Meeting
February 25, 2014

OLD BUSINESS 1: REPORT ON ISSUES PERTINENT TO POTENTIAL PROCUREMENT OF 12 ELECTRIC BUSES AND CONSIDERATION AND RECERTIFICATION OF OCTOBER 2013 AVTA BOARD AUTHORIZATION TO PURCHASE 12 CLEAN DIESEL BUSES FROM GILLIG CORPORATION UNDER CONTRACT #2014-12

MOTION:

- A) Receive and file a report on issues pertinent to the possible procurement of 12 electric buses in lieu of diesel buses; and
- B) Direct staff to apply for the FTA 5312 Low or No Emission Vehicle Deployment (LoNo) funding by March 3, 2014 in partnership with BYD and WAVE, Inc. This application shall request funds required for the incremental cost difference between the Gillig clean diesel buses and 12 electric buses plus necessary charging equipment.
- C) Specific elements of the application shall include at least all of the following plus all items required by FTA as listed in the January 9, 2014 Federal Register:
 - a. An explanation of infrastructure required based on number of buses, i.e. one inductive charging station is required for every four electric buses funded;
 - b. Letters of support from community partners, including Lancaster, Palmdale, County of Los Angeles, AVAQMD, AV Board of Trade, and Greater Antelope Valley Economic Alliance.
 - c. Commitment letters from AVTA, BYD, WAVE, Inc. and a technical support consultant.
- D) Upon FTA notification of a LoNo grant award, the executive director shall immediately take steps to procure electric buses and/or supporting infrastructure in accordance with FTA requirements and in a number consistent with the funds allocated.
- E) Upon notification by FTA of any funds awarded through the LoNo program, place a motion for the Board at an appropriate AVTA Board meeting to reconsider and recertify the Board's October 2013 authorization to purchase 12 clean diesel buses from Gillig Corporation under Contract #2014-12.

November 17, 2014

Mr. Mark Perry
Fleet and Facility Manager
Antelope Valley Transit Authority (AVTA)
42210 6th Street West
Lancaster, CA 93534

RE: REVISED PRICE QUOTE FOR (8) 40' LOW FLOOR DIESEL POWERED BUSES

Dear Mr. Perry,

Thank you for your interest in purchasing eight (8) 40' Low Floor Diesel Powered Buses through your participation in the Central Contra Costa Transit Authority (CCCTA) consortium.

Attached you will find the price sheet that would pertain to your order. Gillig is pleased to quote the following:

EIGHT (8) 40' LOW FLOOR DIESEL BUSES - \$453,378.98 EACH

This price is FOB Lancaster, CA. Price excludes any license fees or bank fees, but does include CA Sales Tax (9.0%). These buses will be built in June, 2015, along with the 3 buses from AVTA's current order, provided we receive the purchase order by COB on 12/10/2014.

We thank you for this opportunity and appreciate your interest in Gillig and our products. We at Gillig certainly look forward to working with Antelope Valley Transit Authority again, and in so doing, continuing to build our lasting partnership. Should you have any questions, please do not hesitate to contact me at (510) 427-4652.

Sincerely,



Norm Reynolds
Regional Sales Manager
Gillig LLC

Thank You for Supporting American Jobs! 

**PRICE VARIANCE FOR CONSORTIUM MEMBER
11/17/2014
LANCASTER, CA (AVTA) ON CCCTA CONTRACT
(8) 40' LOW FLOOR DIESEL BUSES, SN: TBD**

ITEM	CCCTA	AVTA	VARIANCE
CUMMINS ENGINE	'13 ISL, 280 HP, W/ DEF	'13 ISL, 280 HP, W/ DEF	\$0.00
BRT STYLING	NO	NO	\$0.00
BRT ROOF FAIRINGS	NO	NO	\$0.00
AUXILIARY ENGINE OIL FILTER	SPINNER II, 976	NO	-\$545.00
ENGINE FUEL FILTER	DAVCO 384, HEATED	DAVCO 384, HEATED	\$0.00
STARTER	DELCO MT42	DELCO MT42	\$0.00
ALTERNATOR	EMP (450 AMP)	EMP (450 AMP)	\$0.00
ELECTRIC RADIATOR	EMP	EMP	\$0.00
E-COAT RAD/CAC, HYD COOLER	NO	NO	\$0.00
RADIATOR TANK GUARD	NO	NO	\$0.00
ENGINE OIL EXTRACTOR PORT	NO	TITAN PROBLYZER	\$44.00
TRANSMISSION	VOITH D864.5	ALLISON B400R-5	\$2,812.00
TRANS OIL EXTRACTOR PORT	NO	TITAN PROBLYZER	\$44.00
AXLE HUB SEALS	C/R OIL SEALS	C/R OIL SEALS	\$0.00
WHEEL MOUNTING	HUB PILOT	HUB PILOT	\$0.00
HUBODOMETER	ENGLER	DATA TRAC PRO	\$54.00
HUBODOMETER GUARD	NO	NO	\$0.00
AUTO TRACTION CONTROL	NO	NO	\$0.00
BRAKES	DRUMS, W/ S-CAMS	DRUMS, W/ S-CAMS	\$0.00
WHEELS	(8) MACHINE FINISHED ALUMINUM, W/ DURABRIGHT	(7) MACHINE FINISHED ALUMINUM, W/ DURABRIGHT	-\$297.00
TIRES	(8) CUSTOMER FURNISHED	(7) CUSTOMER FURNISHED	\$0.00
ELECTRIC ASSIST STEERING	TRW EASY STEER	TRW EASY STEER	\$0.00
FUEL FAST FILL	(1) EMCO WHEATON	(1) EMCO WHEATON	\$0.00
FUEL GAUGE	NO	YES	\$171.00
ENGINE HOURMETER	YES	NO	-\$50.00
A/C HOURMETER	YES	NO	-\$50.00
OIL GAUGE ON REAR RUN BOX	NO	ELECTRIC	\$50.00
TEMP GAUGE ON REAR RUN BOX	NO	ELECTRIC	\$50.00
REAR HAND THROTTLE	MORSE	MORSE	\$0.00
ELECTRICAL TOW CONNECTION	YES	NO	-\$134.00
AUTOMATIC DRAIN VALVE	NO	NO	\$0.00
BATTERIES	DEKA 8D	DEKA 8D	\$0.00
BATTERY JUMP START CONN	(2) ANDERSON 350	(1) ANDERSON 350	-\$85.00
ENGINE SKID PROTECTION	NO	W/ 2"X2" SKID PLATES	\$377.00
WHEELCHAIR RAMP	LIFT-U, LU-18	LIFT-U, LU-18	\$0.00
HVAC MOTORS (TK)	GE FIELD WOUND	EBM BRUSHLESS	\$1,733.00
HVAC COMPRESSOR (TK)	X426	S-391	\$810.00
A/C REFRIGERANT	R407C	R407C	\$0.00
FRESH AIR MAKE-UP (20%)	YES	NO	-\$156.00
DRIVERS HEATER MOTORS	BRUSHLESS	BRUSHLESS	\$0.00
FRONT STEP HEATER	NO	NO	\$0.00
EXIT DOOR HEATER	NO	NO	\$0.00
UNDERSEAT HEATER	NO	NO	\$0.00
AUX DRIVERS FAN	(1)	(1)	\$0.00

**PRICE VARIANCE FOR CONSORTIUM MEMBER
11/17/2014
LANCASTER, CA (AVTA) ON CCCTA CONTRACT
(8) 40' LOW FLOOR DIESEL BUSES, SN: TBD**

ITEM	CCCTA	AVTA	VARIANCE
AUXILIARY COOLANT HEATER	NO	NO	\$0.00
REAR DOOR	34" SWING	34" SWING	\$0.00
REAR DOOR CONTROLS	TOUCH BARS (V-TOUCH)	FULL DRIVER CONTROL	-\$783.00
EXTERIOR AD FRAMES	NO	NO	\$0.00
INTERIOR AD FRAME	NO	NO	\$0.00
PASSENGER INFO STATION	YES	NO	-\$179.00
PERMIT HOLDER	NO	YES	\$37.00
DRIVERS SEAT	RECARO ERGO METRO	RECARO ERGO METRO	\$0.00
DRIVERS SEAT SHOULDER BELT	YES	YES	\$0.00
ELECTRICAL EQUIP'T CABINET	STD 33" TALL	STD 33" TALL	\$0.00
PASSENGER SEATS	AMSECO INSIGHT	AMSECO 6468	-\$1,955.00
HINGED SETTEE SEATS	YES	YES	\$0.00
WHEELCHAIR RESTRAINTS	AMSECO ARM, W/ STD Q'STRAIT BELTS & RETRACTORS	AMSECO ARM, W/ STD Q'STRAIT BELTS & RETRACTORS	\$0.00
PASSENGER SIGNALS	PULL CORDS	PULL CORDS	\$0.00
SCHEDULE SLOTS IN SIDE OF DRIVERS BARRIER	NO	NO	\$0.00
NYLON GRAB STRAPS	NO	(6)	\$120.00
PASSENGER WINDOWS	RICON FULL-FIXED (BONDED)	RICON TRANSOM (STD FRAME)	-\$502.00
HEADLIGHTS	(4) LED	(4) LED	\$0.00
AUXILIARY BRAKE LAMPS	BOTTOM OF HVAC DOOR	BOTTOM OF HVAC DOOR	\$0.00
AUXILIARY TURN SIGNALS	NO	NO	\$0.00
FOG LIGHTS	NO	NO	\$0.00
INTERIOR LIGHTS	I/O (LED)	I/O (LED)	\$0.00
CEILING MTD FAREBOX LAMP	NO	NO	\$0.00
2-WAY RADIO	NO	NO	\$0.00
2-WAY RADIO ANTENNA	NO	YES	\$78.00
PUBLIC ADDRESS SYSTEM	PART OF ITS SYSTEM	PART OF ITS SYSTEM	\$0.00
HAND MIC	NO	NO	\$0.00
INTELLIGENT VEHICLE SYSTEM (COMPLETE CLEVER DEVICES IVN III SYSTEM)	YES	NO	-\$16,899.00
INTELLIGENT VEHICLE SYSTEM (BUDGET FOR UNDEFINED COMPLETE AVAIL SYSTEM)	NO	NO	\$0.00
PLEASURE RADIO & ANTENNA	NO	NO	\$0.00
FAREBOX	NO	NO	\$0.00
TRANSFER CUTTER	NO	NO	\$0.00
PASSENGER COUNTER	NO	NO	\$0.00
DESTINATION SIGNS (FRONT, CURBSIDE & REAR RUN)	TWIN VISION AMBER LED	HANOVER AMBER LED	-\$450.00
STREETSIDE DESTINATION SIGN	NO	NO	\$0.00
DASH MTD FRONT RUN SIGN	NO	NO	\$0.00
FLOORING MATERIAL	RCA RUBBER	ALTRO	\$400.00
ROOF HATCHES	(1)	(1)	\$0.00

PRICE VARIANCE FOR CONSORTIUM MEMBER
11/17/2014
LANCASTER, CA (AVTA) ON CCCTA CONTRACT
(8) 40' LOW FLOOR DIESEL BUSES, SN: TBD

ITEM	CCCTA	AVTA	VARIANCE
EXTERIOR MIRRORS HIGH MOUNTED (BOTH SIDES)	10X11, 2-PC, W/ REMOTE CONTROLS	8X15, 2-PC, W/ REMOTE CONTROLS	\$78.00
DRIVERS DASH GAUGES	(2)	(5)	\$150.00
ADJUSTABLE PEDALS	YES	NO	-\$1,012.00
TRANS LOCK-OUT SWITCH	NO	NO	\$0.00
DRIVERS CUP HOLDER	NO	NO	\$0.00
EXTERIOR PAINT / GRAPHICS	4-PAINT PASSES	3-PAINT PASSES	-\$941.00
FIRE SUPPRESSION SYSTEM	AMEREX V-25	AMEREX V-25	\$0.00
BIKE RACK, SPORTWORKS	DL2, POWDER COATED	DL2, BRUSHED SSSL	\$229.00
BIKE RACK MIRROR	NO	YES	\$20.00
BIKE RACK DEPLOYED LAMP	NO	DASH MOUNTED	\$30.00
VIDEO SURVEILLANCE SYSTEM	UTC PENTA DIGITAL, 7-CAMERA	PREWIRE FOR APOLLO 7-CAMERA	-\$4,135.00
TRAFFIC LIGHT PREEMPTION	NO	NO	\$0.00
MEDICAL AID KIT	NO	NO	\$0.00
BLOODBORN PATHOGEN KIT	NO	NO	\$0.00
EXT WARRANTY (ENGINE)	YES	YES	\$0.00
EXT WARRANTY (TRANSMISSION)	YES	YES	\$0.00
EXT WARRANTY (STRUCTURE)	YES	NO	-\$1,000.00
EXT WARRANTY (WATER LEAK)	YES	NO	-\$250.00
EXT WARRANTY (RAMP)	NO	YES	\$300.00
CCCTA TRAINING PACKAGE	YES	NO	-\$2,399.00

TOTAL LANCASTER, CA VARIANCES	-\$24,235.00
CCCTA 40' LOW FLOOR BASE UNIT TAXABLE PRICE (AUG '13)	<u>\$414,722.00</u>
LANCASTER, CA 40' LOW FLOOR BUS UNIT TAXABLE PRICE (AUG '13)	\$390,487.00
PPI 1413 ADJUSTMENT 231.3 (SEP '14) / 226.4 (AUG '13) = 2.16%	<u>\$8,435.00</u>
LANCASTER, CA 40' LOW FLOOR BUS ADJUSTED TAXABLE PRICE (11/17/14)	\$398,922.00

NON-TAXABLE ITEMS

NON-TAXABLE ADA EQUIPMENT (PER CCCTA SPECIFICATIONS)	\$24,954.00
NON-TAXABLE ADA EQUIPMENT ADJUSTMENT (PER AVTA SPECIFICATIONS)	<u>-\$7,649.00</u>
LANCASTER, CA 40' LOW FLOOR BUS NON-TAXABLE ADA EQUIPMENT (AUG '13)	\$17,305.00
PPI 1413 ADJUSTMENT 231.3 (SEP '14) / 226.4 (AUG '13) = 2.16%	<u>\$374.00</u>
LANCASTER, CA 40' LOW FLOOR BUS ADJUSTED NON-TAXABLE ADA EQUIPMENT (11/17/14)	\$17,679.00

CURRENT PRICE

LANCASTER, CA 40' LOW FLOOR BUS TAXABLE ADJUSTED PRICE (11/17/14)	\$398,922.00
CALIFORNIA SALES TAX (9.0 %)	\$35,902.98
LANCASTER, CA 40' LOW FLOOR BUS ADJUSTED NON-TAXABLE ADA EQUIPMENT (11/17/14)	\$17,679.00
NON-TAXABLE DELIVERY COST	<u>\$875.00</u>
LANCASTER, CA 40' LOW FLOOR DIESEL CURRENT PRICE (11/17/14)	\$453,378.98

CONFIDENTIAL

This pricing information is intended only for the personal and confidential use of the recipient(s) to whom it was originally sent. If you are not an intended recipient of this information or an agent responsible for delivering it to an intended recipient, you are hereby notified that you have received this information in error, and that any review, dissemination distribution or copying of this information is strictly prohibited

